

Abinit :
Excited states within TDLDA
& Langevin molecular dynamics

Jean-Yves Raty and Xavier Gonze

Universite de Liege

Universite Catholique de Louvain

Outlook

■ Langevin dynamics

- Background
- Implementation
- Test case
- Examples
 - a. Structural optimization via simulated annealing
 - b. Structure and dynamics of liquids

■ Time dependent LDA

- Background
- Implementation
- Test case
- Example
 - a. TDLDA spectra of carbon and silicon nanoclusters
 - b. Discussion TDLDA vs other methods

Finite T Molecular dynamics

- 2 thermostats included

- Ionmov 8 *Nose-Hoover thermostat*

- Variables : dtion, mditemp, mdftemp, noseinert

- Ionmov 9 *Langevin thermostat*

- Variables : dtion, mditemp, mdftemp, friction
mdwall, sigperm, delayperm

Langevin dynamics

Movement equations :

$$m_j \ddot{\vec{R}}_j = \vec{F}(\{\vec{R}_j\}) - \zeta m_j \dot{\vec{R}}_j + \vec{G}_j$$

ζ = friction coefficient

G_j = gaussian random force

$$\langle G_i^\alpha(t) \rangle = 0$$

$$\langle G_i^\alpha(t) G_j^\beta(t') \rangle = 2 \zeta m_i k_B T \delta_{ij} \delta(t - t')$$

Implementation

- Src9drive/moldyn.f

Tests

- Test_v2/

87. Ge liquid. Test of Nose dynamics. 2 atoms in a cell.
Allows 4 time steps. .

88. Ge liquid. Test of Langevin dynamics. 2 atoms in a cell.

Simulated annealing : Clusters optimization

- Variables :

lonmov 9

dtion ~100

Mditemp 3000

Mdftemp 300

Ntime 200

- Optional variables :

mdwall

getvel

signperm

delayperm

Si_n, Ge_n n<11

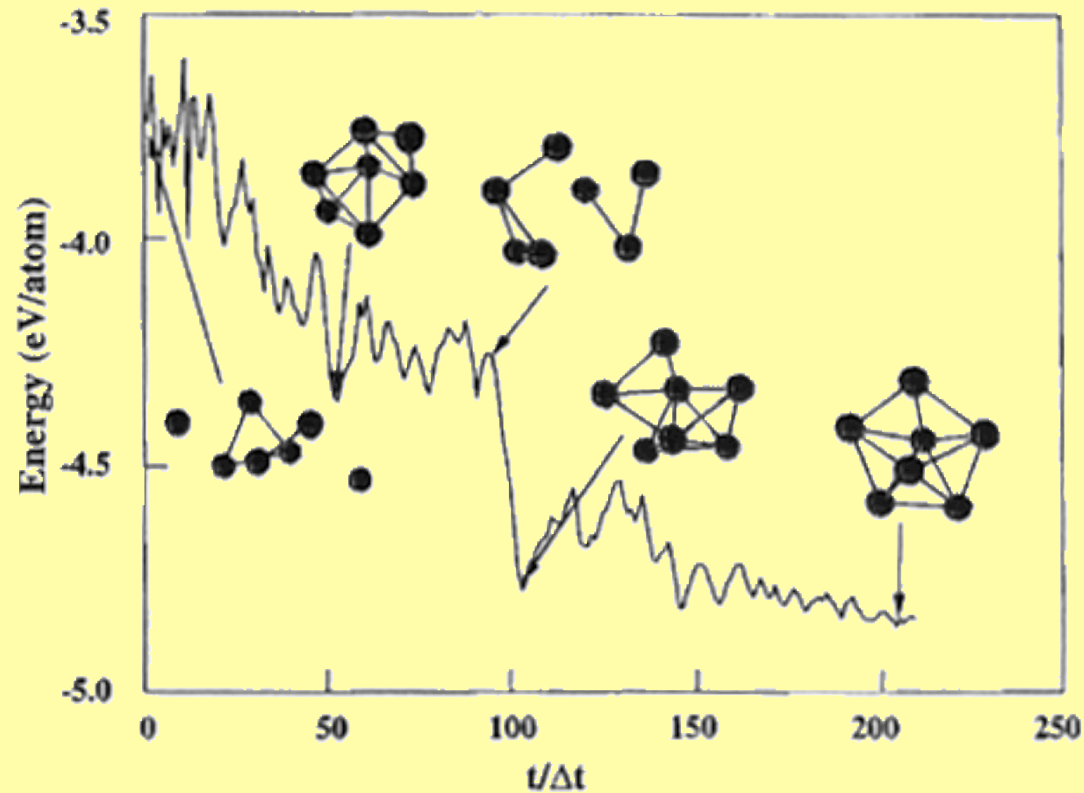


Figure 5. The binding energy of Si₇ during a Langevin simulation. The initial temperature is 3000 K; the final temperature is 300 K. Bonds are drawn for interatomic distances of less than 2.5 Å. The time step is 5 fs.

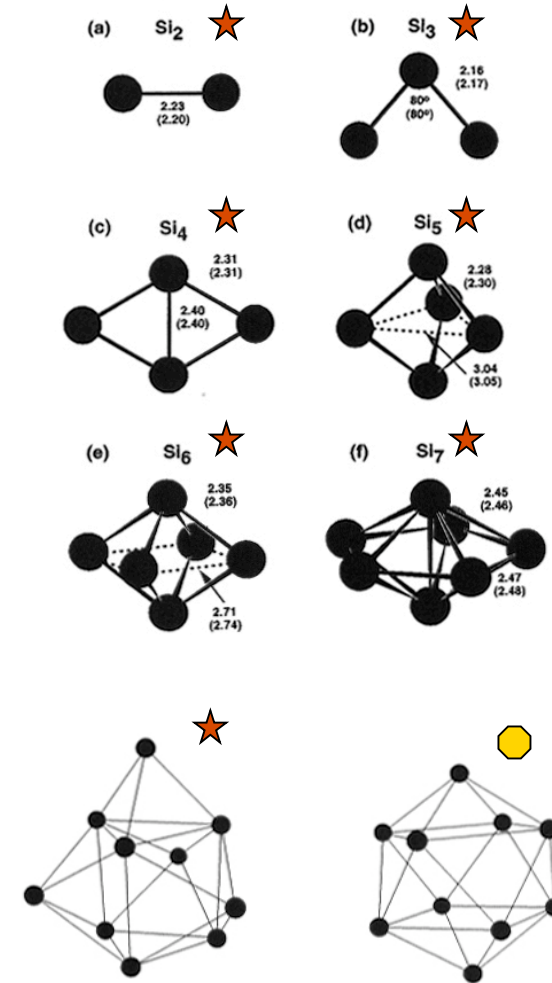


Figure 7. Two possible isomers for Si₁₀ or Ge₁₀ clusters. (I) is a tricapped trigonal prism cluster and (II) is a bicapped antiprism cluster.

```

#Cluster optimization via simulated annealing
#*****
acell 3*25
xcart .....
occopt 4

ionmov 9          #Langevin dynamics
ntime 100
dtion 100

friction 0.001
mdwall 3.0      # optional

mditemp1 3000
mdftemp1 2500
tsmear1 0.05
tolvrs1 1.0d-2

mditemp2 2500
mdftemp2 2000
tsmear2 0.04
getxcart2 -1
getvel2 -1
tolvrs2 1.0d-2
.....

# Then end with a Broyden minimization

```

Sample Input

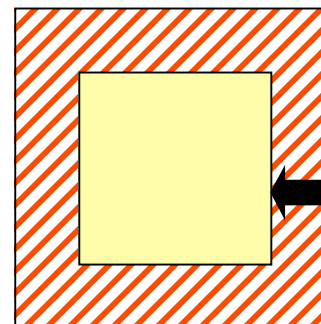
- Adapt tsmear to T_ion
- Getvel -1



At startup :

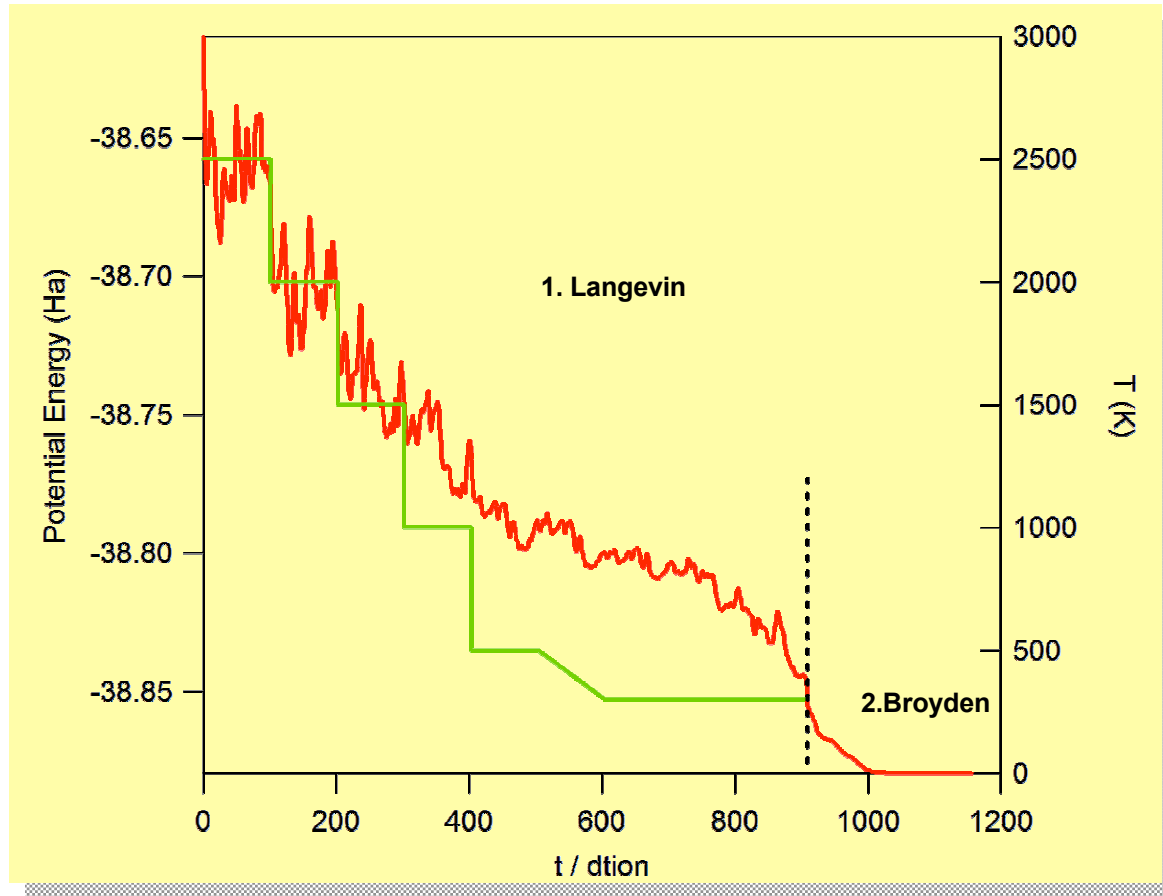
If $E_{kin} = 0$, atoms are given random velocities (mditemp)

Otherwise, velocities are rescaled to the requested mditemp.

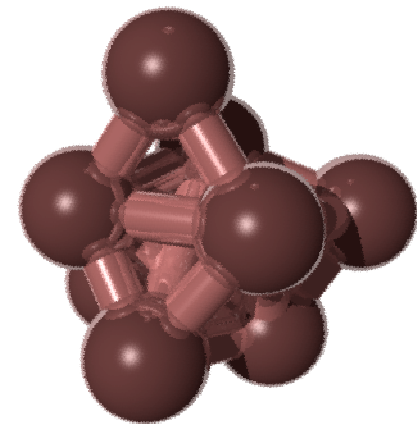
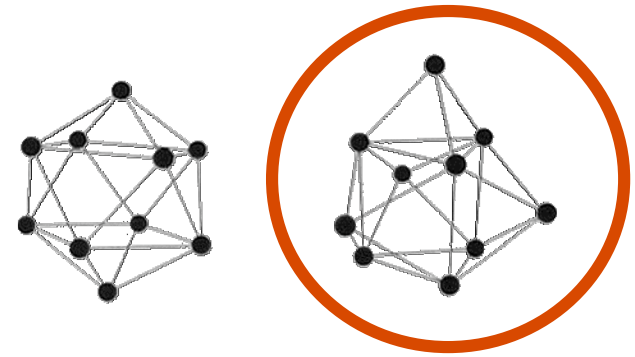


mdwall (a.u.)

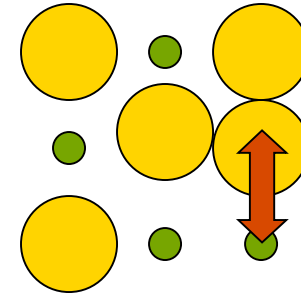
Ge10



?



Optional variables



For binary systems :

delayperm : nr of time steps between attempts for exchanging 2 atoms from different type

signperm +1 favors alternation
-1 segregation

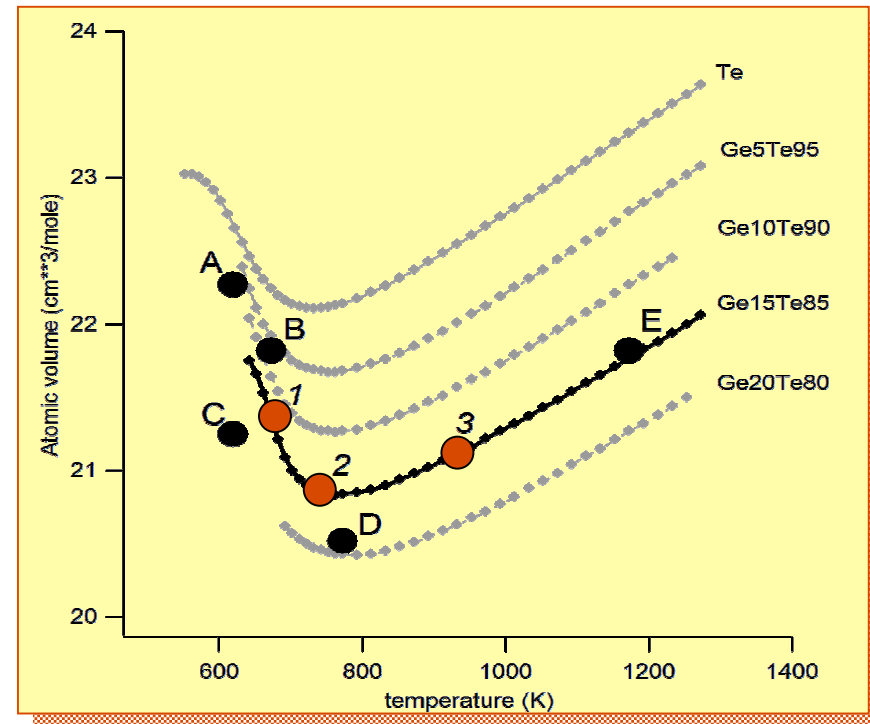
Under development, not optimized

Liquids simulation

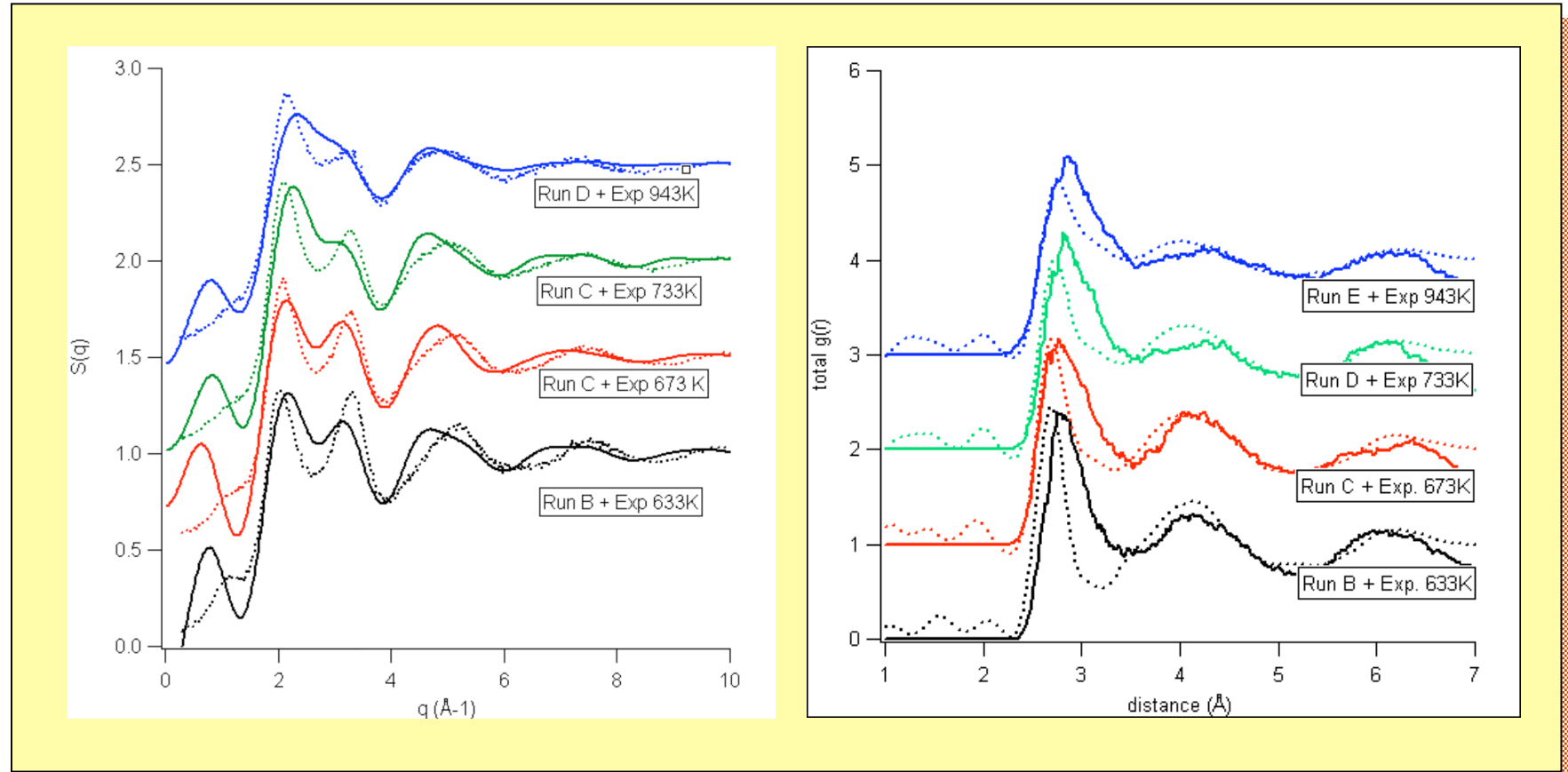
- System 56 atoms
- GS stuff LDA & GGA
- Molecular dynamics strategy
 - 1) Random cfg, 5ps at 3000K
 - 2) Temperature ramp mdtemp 3000, mdftemp 700, ntime 1000, dtion 200 (5ps)
 - 3) Thermalization at mdftemp (typical 5ps)
 - Check : diffusivity + potential energy
 - 4) Data acquisition
 - Keep *friction* as low as possible

Eutectic Ge-Te alloy

- Why ?
Glass forming,
strong anomalous behavior
pseudo Liquid-Liquid transition
- LDA vs GGA
 - LDA ok GeTe, not that ok for Ge1Te6...
- Limitation : fixed volume
 - Importance of the density



GeTe₆ : comparison with experiments

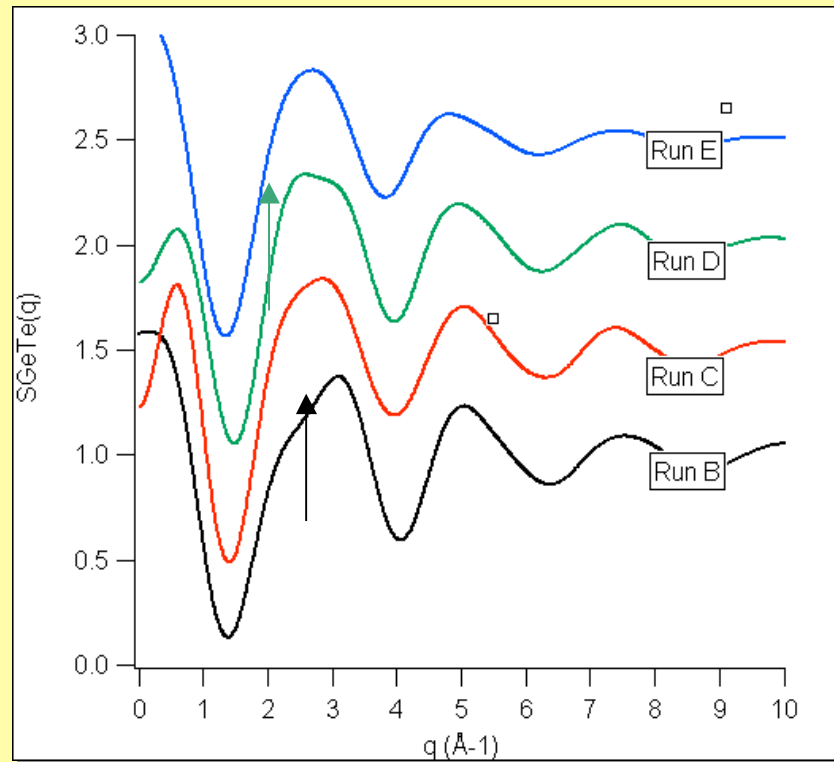


Agreement could be better but general trends OK

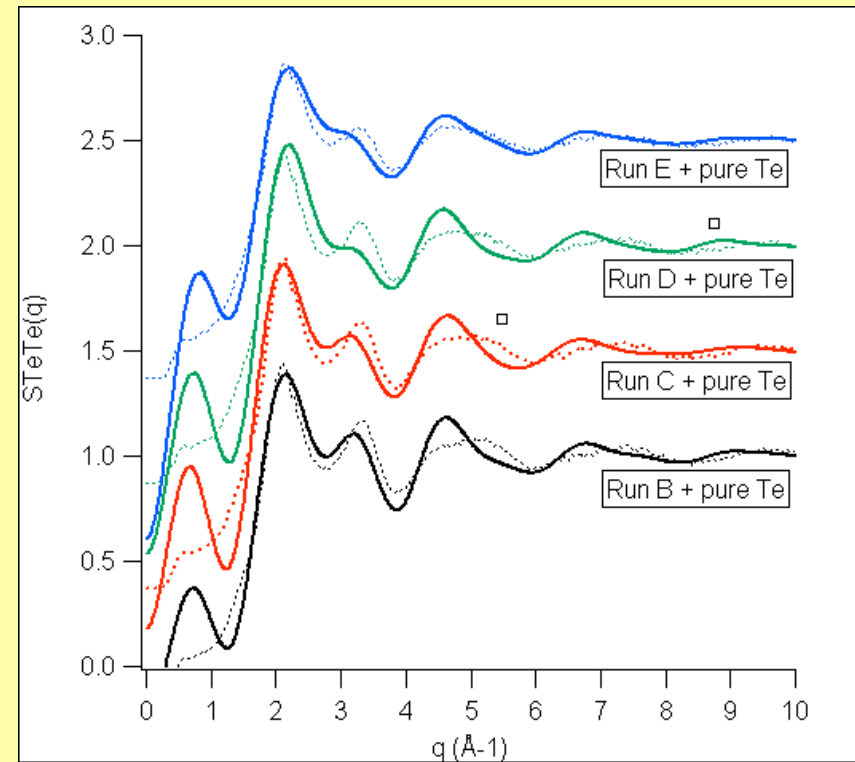
Problems : 85 % Te ! Large temperature fluctuations

GeTe₆ : partial structure factors

$S_{\text{GeTe}}(q)$

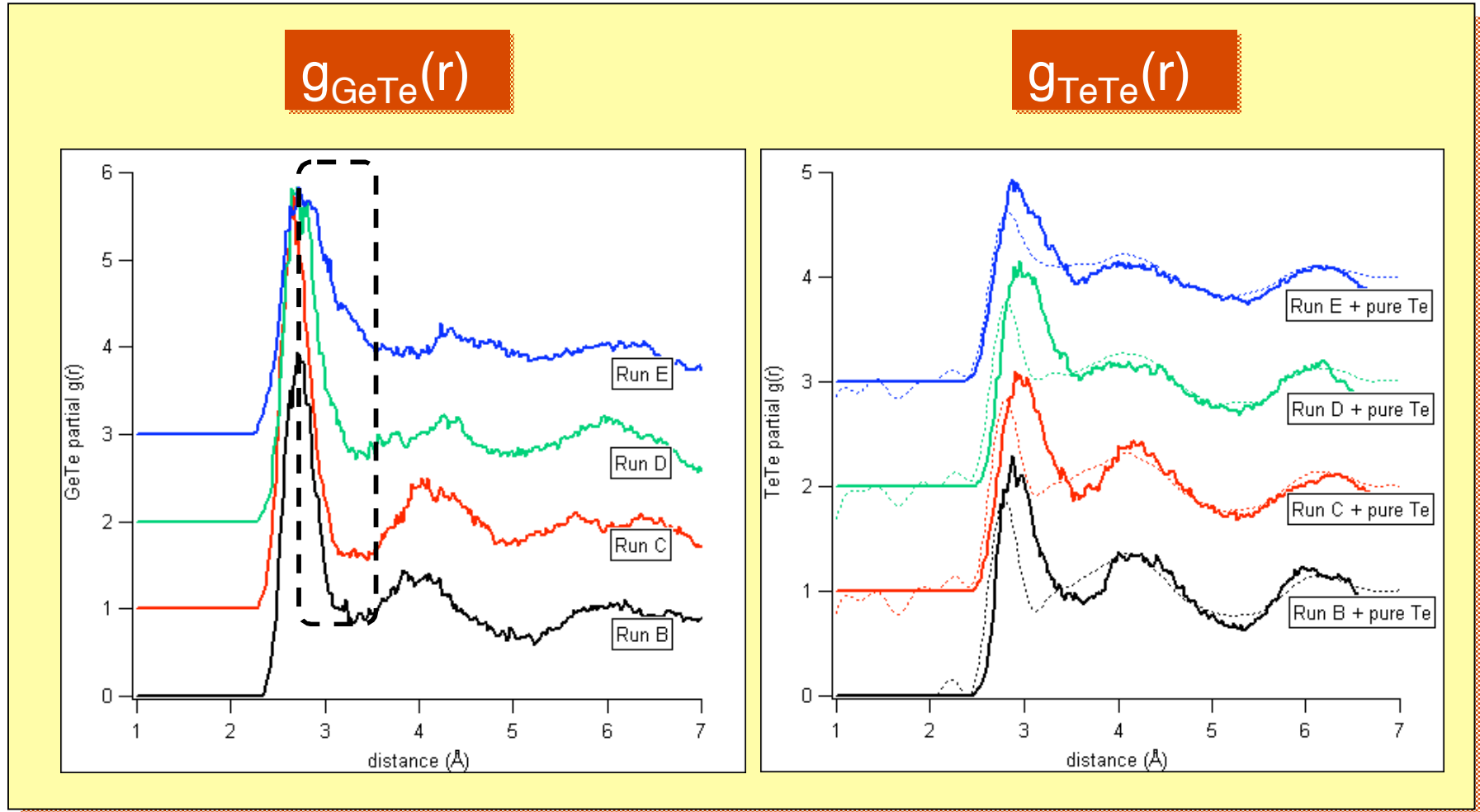


$S_{\text{TeTe}}(q)$



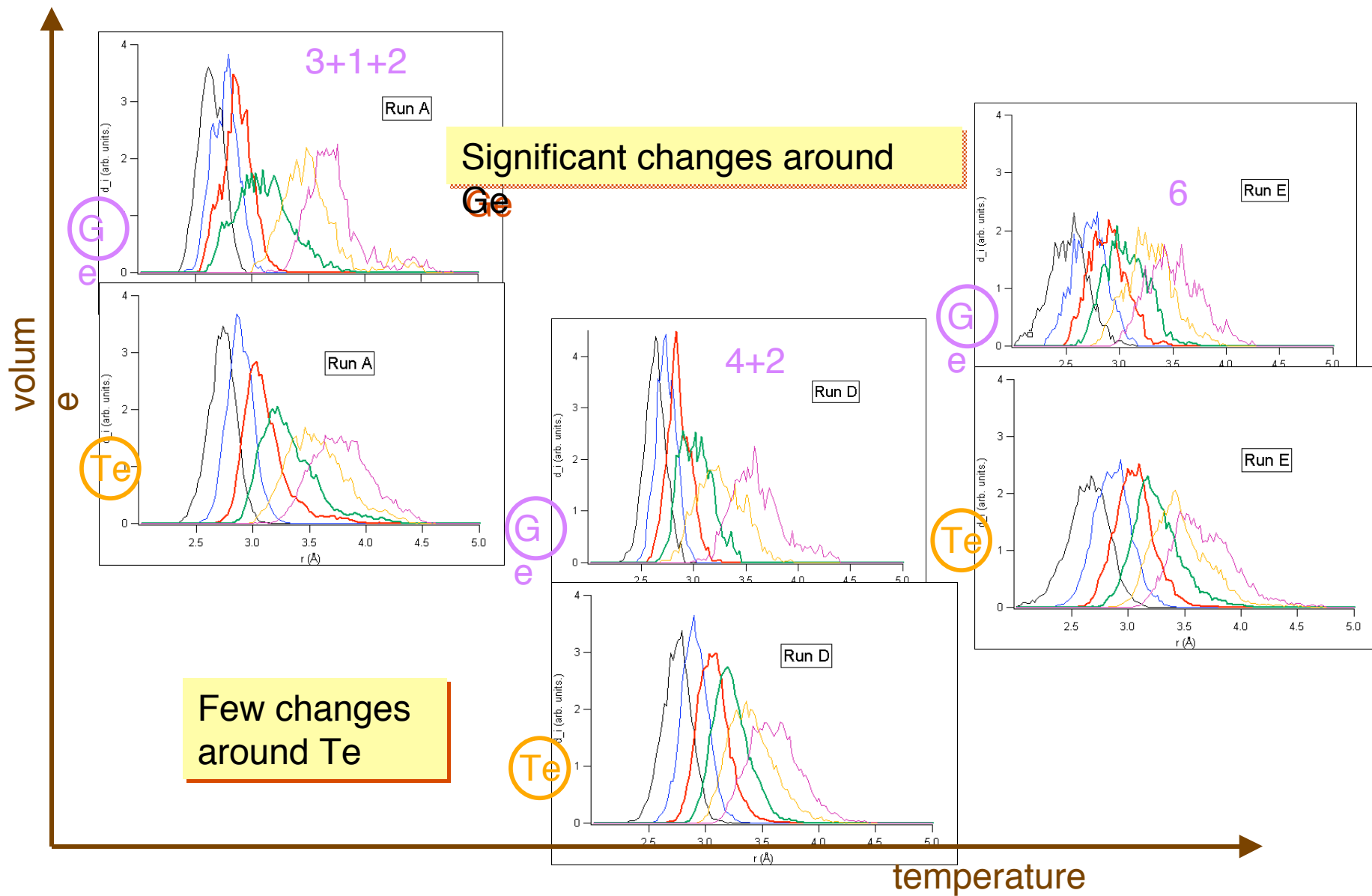
More changes on $S_{\text{GeTe}}(q)$ than on $S_{\text{TeTe}}(q)$

GeTe₆ : partial pair correlation functions



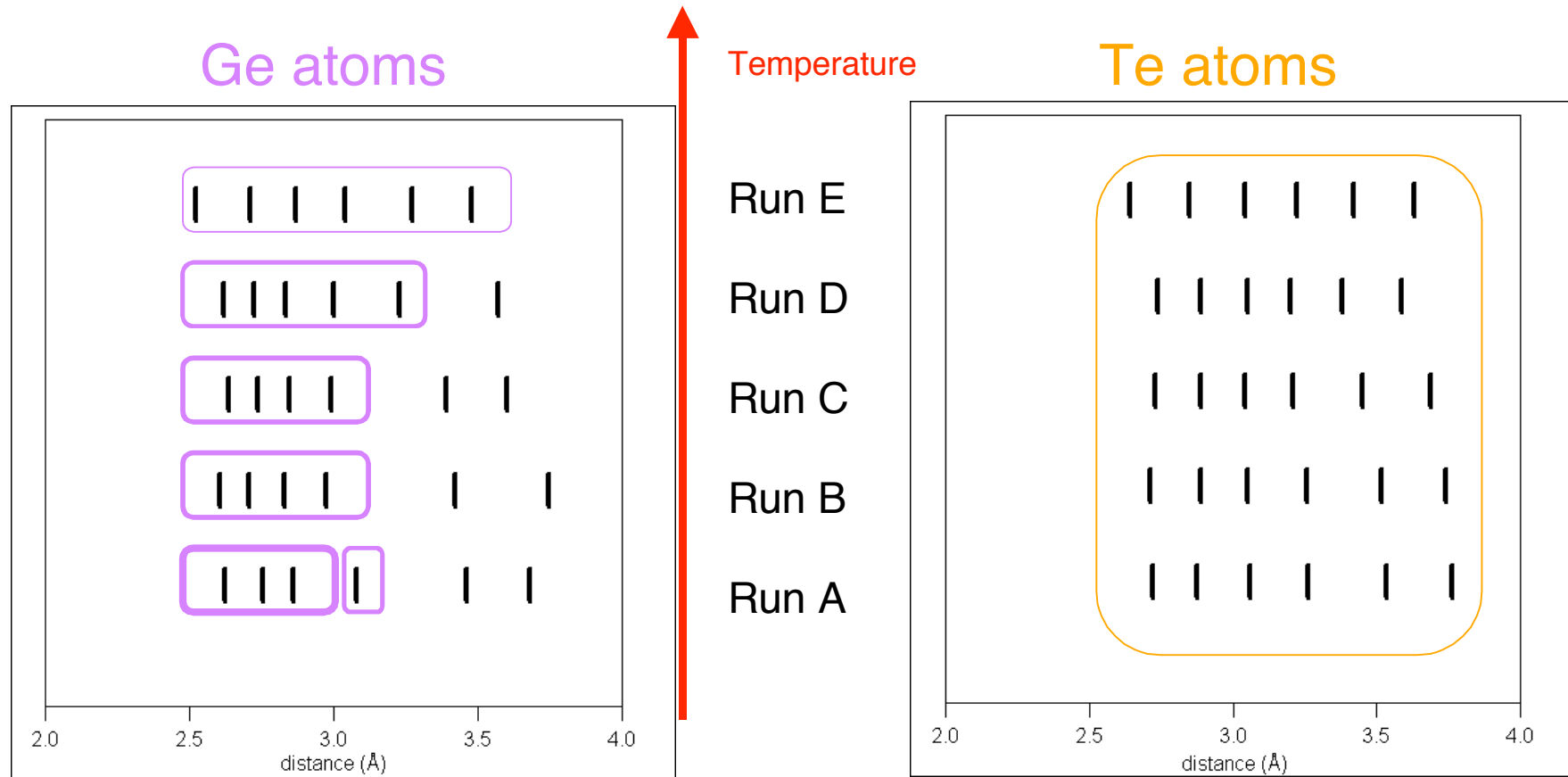
first peak of $g_{\text{GeTe}}(r)$ becomes broader
changes localized around Germanium atoms

What kind atomic arrangements ?



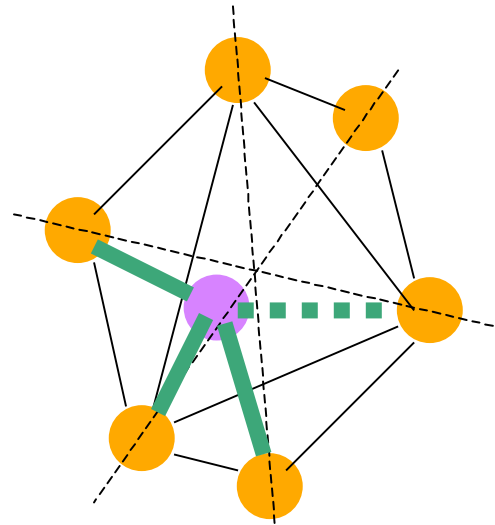
What kind atomic arrangements ?

Average distances of nearest neighbors around



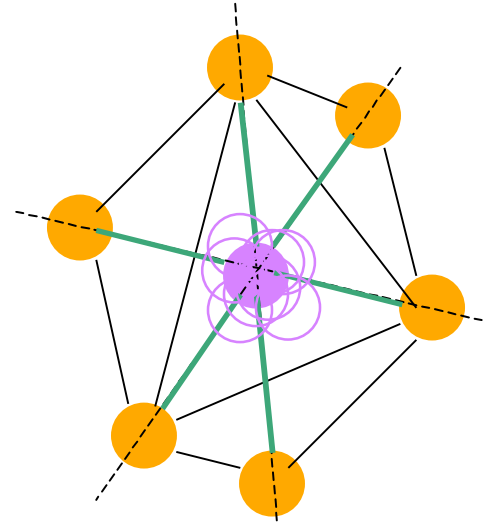
A simple picture

■ ■ ■ ■



Low temperature
Broken symmetry
Energetically favored
(Peierls like mechanism)

● Ge
● Te



High temperature
More symmetry
Entropically favored
vibrational entropy

Future developments ?

- **Constant pressure dynamics !**

Excited States : Time dependent LDA

- Need for improved description of the excited states
- Methods available : computationally demanding
GW + BSE, DFT + QMC
- TDLDA : 'cheap' calculation on top of standart LDA calculation

Time-Dependent DFT

2 ways

- Linear response method (TD-DFRT)

“*Time-Dependent Density Functional Response Theory of Molecular systems: Theory, Computational Methods, and Functionals*”, by M.E. Casida, in *Recent Developments and Applications of Modern Density Functional Theory*, edited by J.M. Seminario (Elsevier, Amsterdam, 1996).)

- Real time method

TDLDA calculation

Simple eigenvalue problem on top of LDA :

$$[\epsilon_{ij}^2 \delta_{ik} \delta_{jl} + 2 \sqrt{f_{ij} \epsilon_{ij}} K_{ij,kl} \sqrt{f_{kl} \epsilon_{kl}}] \vec{F}_n = \epsilon_n^2 \vec{F}_n$$

Where

$\epsilon_{ij} = \epsilon_j - \epsilon_i$ are the Kohn-Sham transition energies

$f_{ij} = n_i - n_j$ are the diff. in occupation numbers

ϵ_n are the TDDFT excitations energies

\vec{F}_n are related to the oscillator strengths

The coupling matrix K

Adiabatic approximation :

$$v_{xc}[\rho_t^\uparrow, \rho_t^\downarrow](\vec{r}) = \frac{\partial E_{xc}[\rho_t^\uparrow, \rho_t^\downarrow]}{\partial \rho_t^\downarrow(\vec{r})}$$

Linear response : $\partial \rho_{i\sigma}(\vec{r}, \omega) = \sum_{ij} \rho_{i\sigma}(\vec{r}) \partial P_{ij\sigma}(\omega) \rho_{j\sigma}^*(\vec{r})$

$\partial P_{ij\sigma}(\omega)$ linear response to perturbation $\rho(t)$

$$\rho_{eff}(t) = \rho(t) + \rho^{SCF}(\vec{r}, t)$$

Coupling matrix :

$$K_{ij\sigma, lk\sigma} = \frac{\partial v_{ij\sigma}^{SCF}}{\partial P_{kl\sigma}}$$

$$= \sum_{\vec{r}} \rho_{i\sigma}^*(\vec{r}) \rho_{j\sigma}(\vec{r})$$

xc term

$$\left(\frac{1}{|\vec{r} - \vec{r}'|} + \frac{\partial v_{\sigma}^{xc}(\vec{r})}{\partial \rho_{\sigma}(\vec{r}')} \right)$$

Coulomb term

$$\rho_{k\sigma}(\vec{r}') \rho_{l\sigma}^*(\vec{r}') d\vec{r} d\vec{r}'$$

Oscillator strength :

$$f_I = \frac{2}{3} (E_I - E_0) \sum_{\sigma=\hat{x}, \hat{y}, \hat{z}} \left| \langle \rho_0 | \rho | \rho_I \rangle \right|^2$$

Sum rule : $\sum_i f_I = N_e$

Src_5common/tddft.f

$$\underbrace{[\chi_{ij}^2 \chi_{ik} \chi_{jl} + 2 \sqrt{f_{ij} \chi_{ij}} K_{ij,kl} \sqrt{f_{kl} \chi_{kl}}]}_{\text{NxN Matrix to diagonalize with}} \vec{F}_n = \chi_n^2 \vec{F}_n$$

NxN Matrix to diagonalize with

$$K_{ij,kl} = \int \int \chi_{i\alpha}^*(\vec{r}) \chi_{j\alpha}(\vec{r}) \left(\frac{1}{|\vec{r} - \vec{r}'|} + \frac{\partial v_{\alpha}^{xc}(\vec{r})}{\partial \chi_{\alpha}(\vec{r}')} \right) \chi_{k\beta}(\vec{r}') \chi_{l\beta}^*(\vec{r}') d\vec{r} d\vec{r}'$$

coupling the excitation i-j with the excitation l-k

- Double loop + Mpi coming (-> src_9seqpar)
- Restart – disabled
- N Excitations window (by default, N= nval x ncond)
Optional variables : td_maxene, td_mexcit


```
# Magnesium atom. acell much too small.  
# Excited states computation
```

```
ndtset 3
```

```
iscf1 5  
nband1 1  
prtden1 1
```

```
iscf2 -1 #non-scf followed by tldda  
nband2 10  
getden2 1  
getwfk2 1
```

```
iscf3 -1 #same, but with mkmem 0  
nband3 10  
mkmem3 0  
getden3 1  
getwfk3 1
```

```
#Common
```

```
acell 17.999 18 18.001  
boxcenter 3*0
```

```
ecut 3.5
```

```
natom 1  
xangst 0 0 0
```

Test_v1/t69

- Iscf -1
- Mkmem 0 (opt) :
td_matrix is stored on disk
(for eventual restart – to debug)

$\varphi_i^*(\vec{r})\varphi_j(\vec{r}) \quad (i, j) = 1, N$
are stored on disk



Take center of molecule as boxcenter

+Test_v2/t42.in : ixc=20,21,22

```
# N2 system.
# Excited state computation, using LDA/TDLDA
# with different XC kernels
```

```
ndtset 4
```

```
#DATASET 1 SCF
```

```
#DATASET 2 TDDFT
```

```
#Common to all except GS calculations
```

```
getden 1
tolwfr 1.0d-9
iscf -1
getwfk 1
nband 12
```

```
#DATASET 3 SCF with another ixc
```

```
iscf3 5
nband3 5
prtden3 1
getwfk3 1
tolwfr3 1.0d-15
ixc3 7
```

```
#DATASET 4 TDDFT
```

```
getden4 3
getwfk4 3
ixc4 7
```

```
#Common
```

```
acell 6 2*5 Angstrom
boxcenter 3*0.0d0
diemac 1.0d0 diemix 0.5d0
ecut 25
ixc 1
```

Test_v3/t55.in

■ Test :

TDDFT excitation energies
oscillator strengths,
Polarisability
Cauchy coefficients.

Excitation window :



Default:

$N = nval * ncond$

Optional :

Td_maxene xxx :

Keep excitation i-j such

$E_j - E_i < xxx$



Td_mexcit yyy :

Keep yyy lowest KS excitations

*** TDDFT : computation of excited states ***

Splitting of 12 bands in 5 occupied bands, and 7 unoccupied bands, giving 35 excitations.

Kohn-Sham energy differences, corresponding total energies and oscillator strengths (X,Y,Z and average)-
(oscillator strengths smaller than 1.e-6 are set to zero)

```
Transition (Ha) and (eV) Tot. Ene. (Ha) Aver  XX  YY  ZZ
5-> 6 3.10921E-01 8.46059E+00 -1.92708E+01 0.0000E+00 0.00E+00 0.00E+00 0.00E+00
...
1-> 12 1.20769E+00 3.28629E+01 -1.83740E+01 1.9809E-04 0.00E+00 0.00E+00 5.94E-04
Sum of osc. strength : 2.575099E+00
```

TDDFT singlet excitation energies (at most 20 of them are printed), and corresponding total energies.

```
Excit# (Ha) and (eV) total energy (Ha) major contributions
1 3.47969E-01 9.46872E+00 -1.923375E+01 0.74( 5-> 6) 0.25( 5-> 7)
...
20 5.63495E-01 1.53335E+01 -1.901822E+01 0.76( 4-> 11) 0.17( 2-> 7)
```

Oscillator strengths : (elements smaller than 1.e-6 are set to zero)

```
Excit# (Ha) Average XX YY ZZ XY XZ YZ
1 3.47969E-01 0.000E+00 0.000E+00 0.000E+00 0.000E+00 0.00E+00 0.00E+00 0.00E+00
...
7 3.96426E-01 5.755E-02 0.000E+00 8.722E-05 1.726E-01 0.00E+00 0.00E+00 3.88E-03
...
35 1.21621E+00 2.119E-05 0.000E+00 0.000E+00 6.355E-05 0.00E+00 0.00E+00 1.43E-06
Sum of osc. strength : 2.575099E+00
```

Cauchy coeffs (au) : (-2)-> 7.978E+00, (-4)-> 2.768E+01, (-6)-> 1.077E+02
(-8)-> 4.672E+02, (-10)-> 2.236E+03, (-12)-> 1.161E+04, (-14)-> 6.415E+04

TDDFT triplet excitation energies (at most 20 of them are printed),
and corresponding total energies.

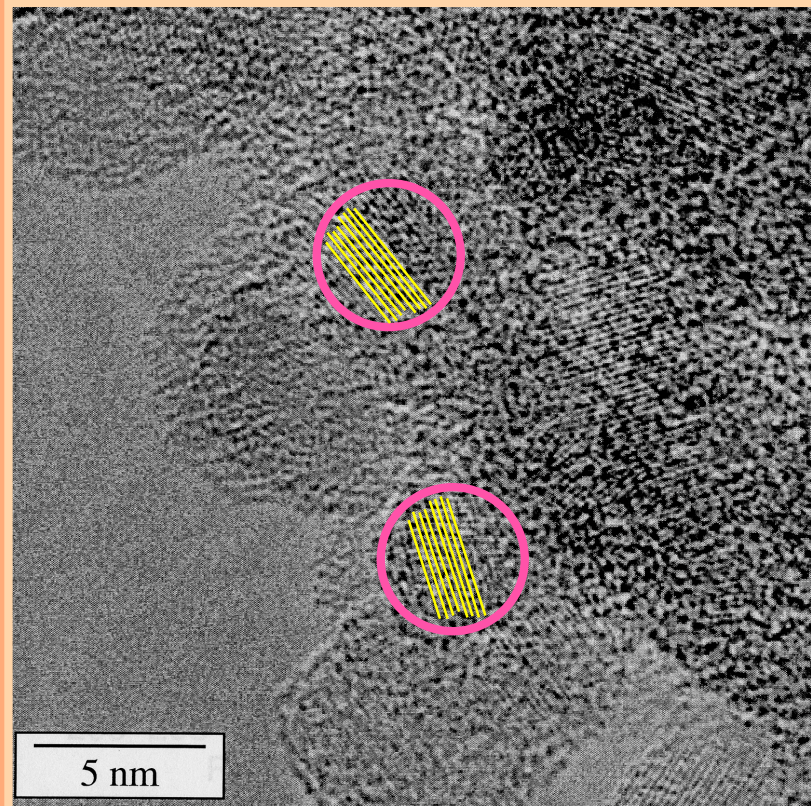
.....

Test_v3/t55.out

Application : Nanoclusters

- Carbon & Silicon clusters
- Description
- Convergence vs excitation window
- Nanodiamonds : quantum confinement
- Comparison with experiment $\text{Si}_{29}\text{H}_{24}$
- Silicon : comparison with other methods

Nanodiamonds & TDLDA



- They do exist !
 - 2-6 nanometers
 - Crystalline
 - Huge quantum confinement
- (still ~ 1 eV at 35 nm) PRL
xxxxxx

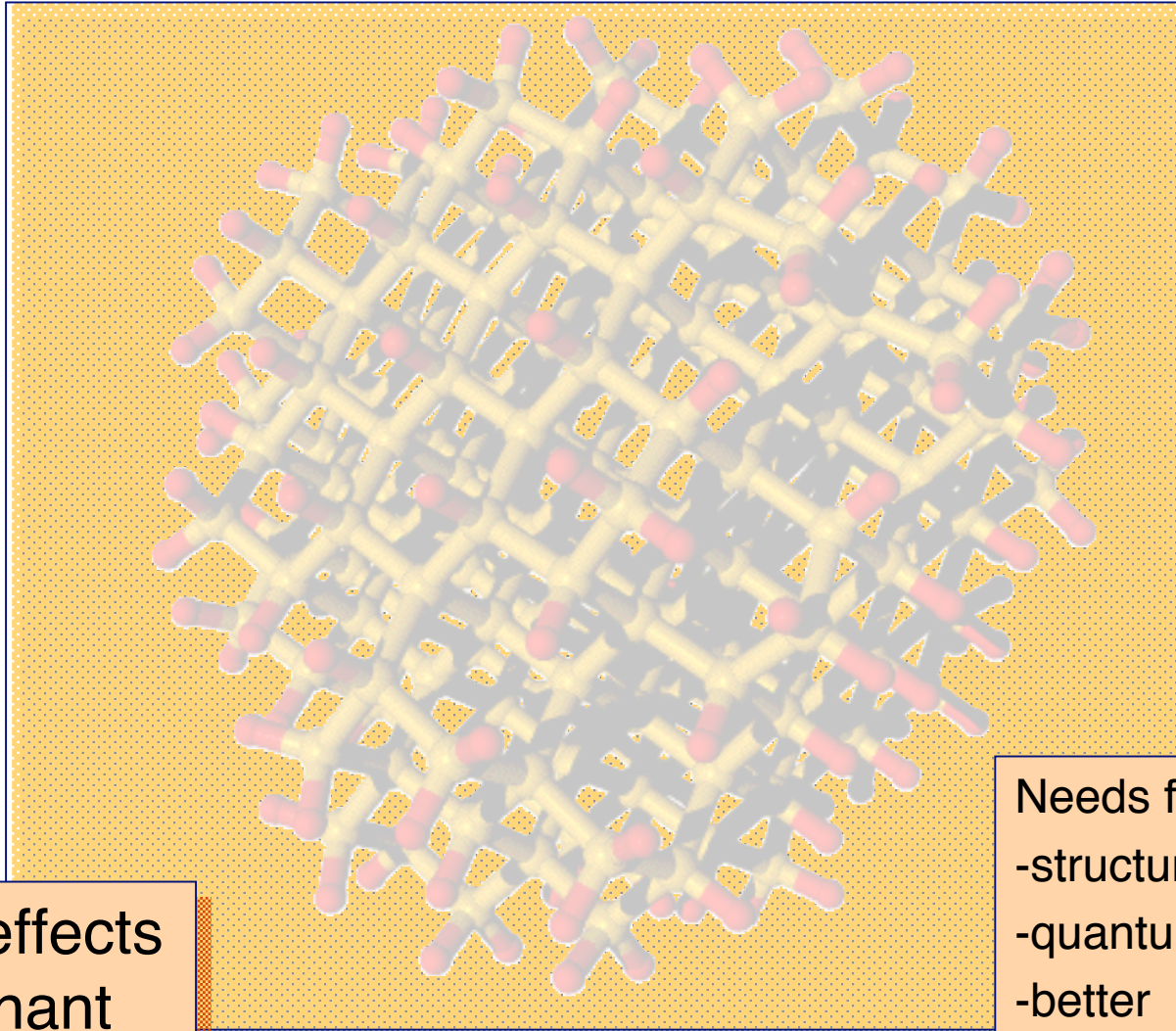
But

- LLNL x-ray exp. show *NO* QC for 3nm particles
- What is the gap of 3 nm particles ? *GGA vs TDLDA*

Simulation

C₂₁H₁₄₀

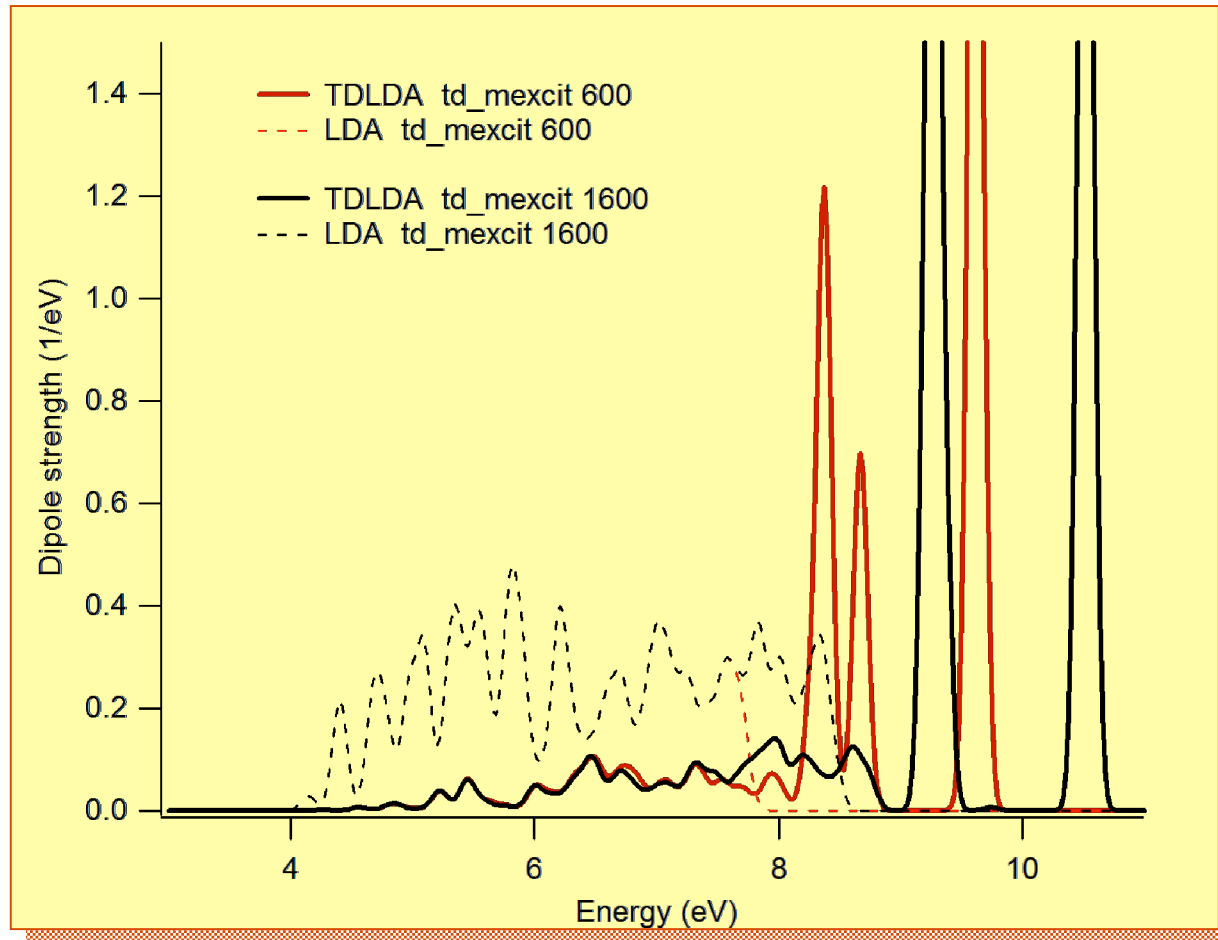
1.4 nm



Surface effects
are dominant

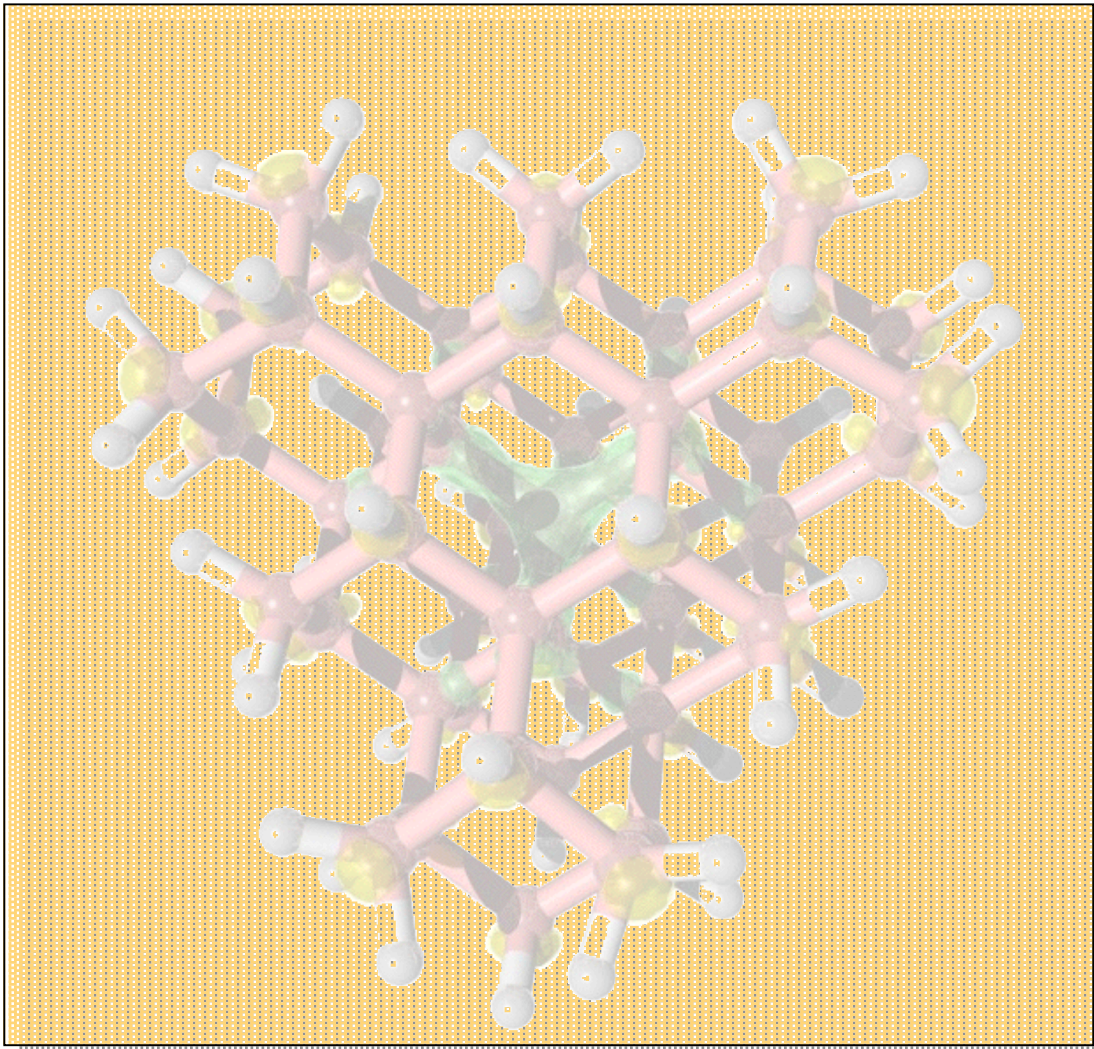
Needs for
-structure optimization
-quantum description
-better excited states

Convergence with excitations window



- Default : $N = 52 \cdot 148 = 7696$
- Td_mexcit = 600 : converged on ~ 2 eV
- Td_mexcit = 1600 : ~ 3 eV

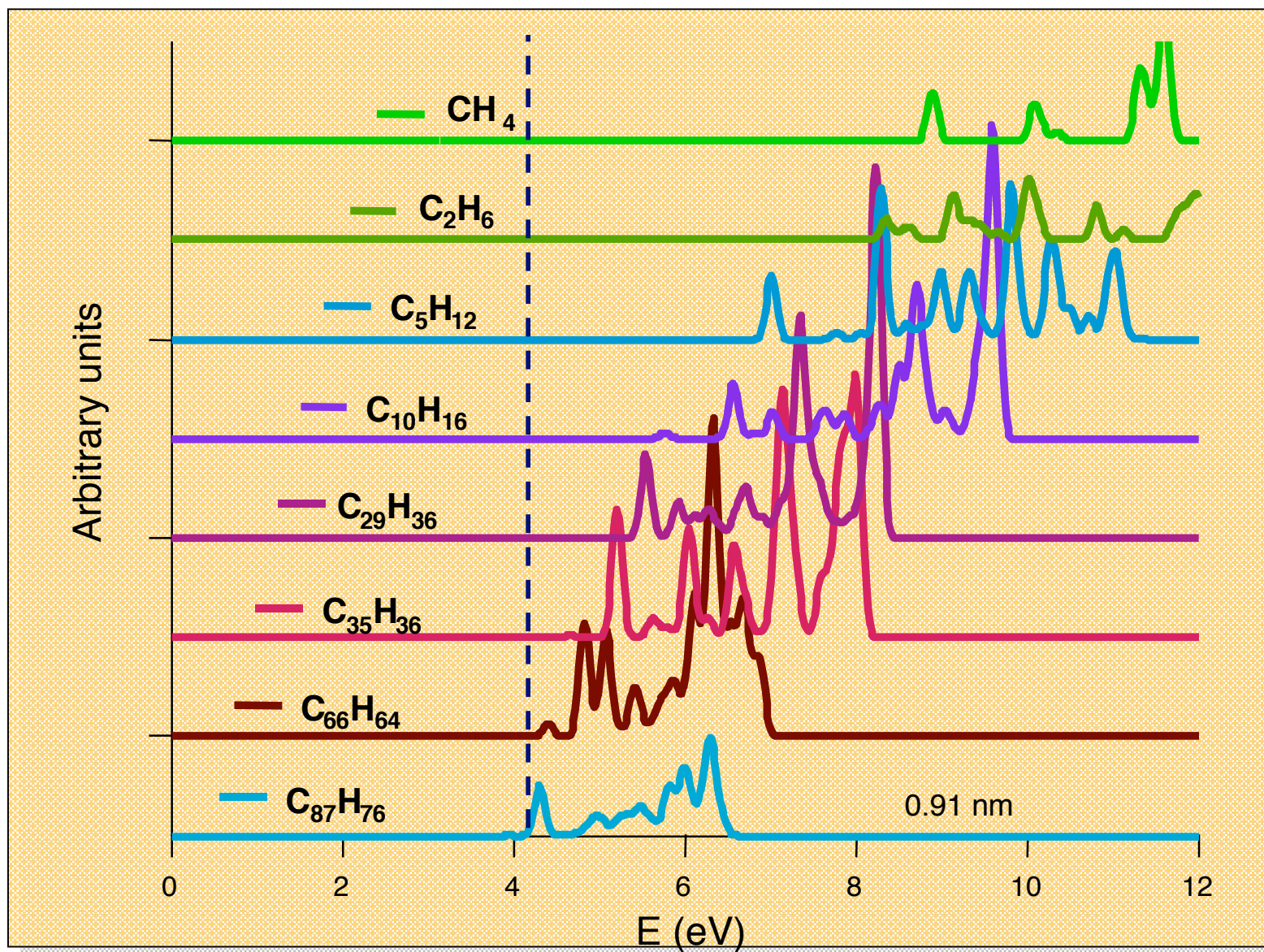
C59H60 GGA



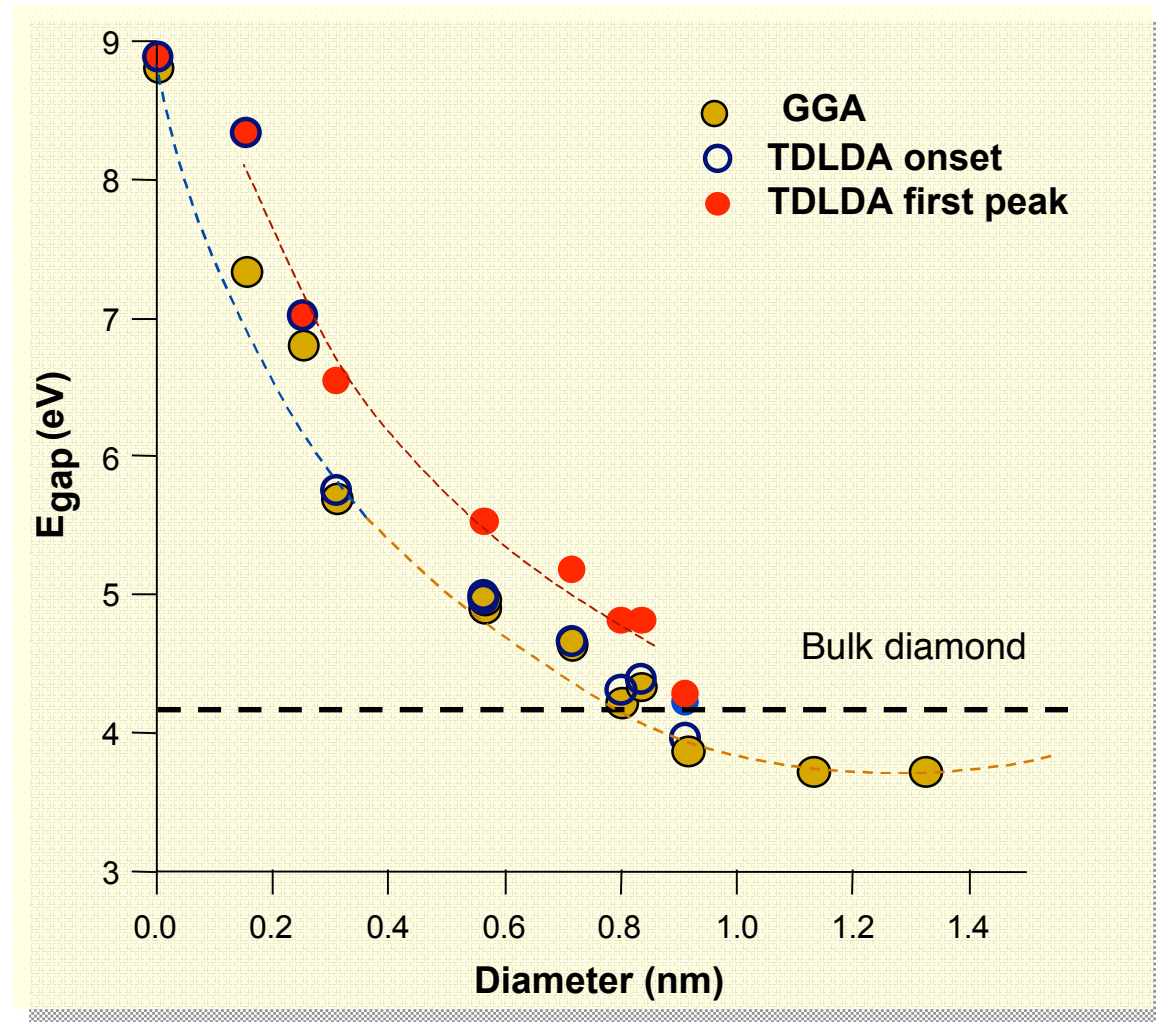
- 0.8 nm
- C-C : 1.61-1.64 Å
Bulk : 1.54 Å
- HOMO 3x deg.
- $E_{\text{gap}} = 4.3 \text{ eV}$
Bulk : 4.23 eV

Simulation

TDLDA Optical Spectra

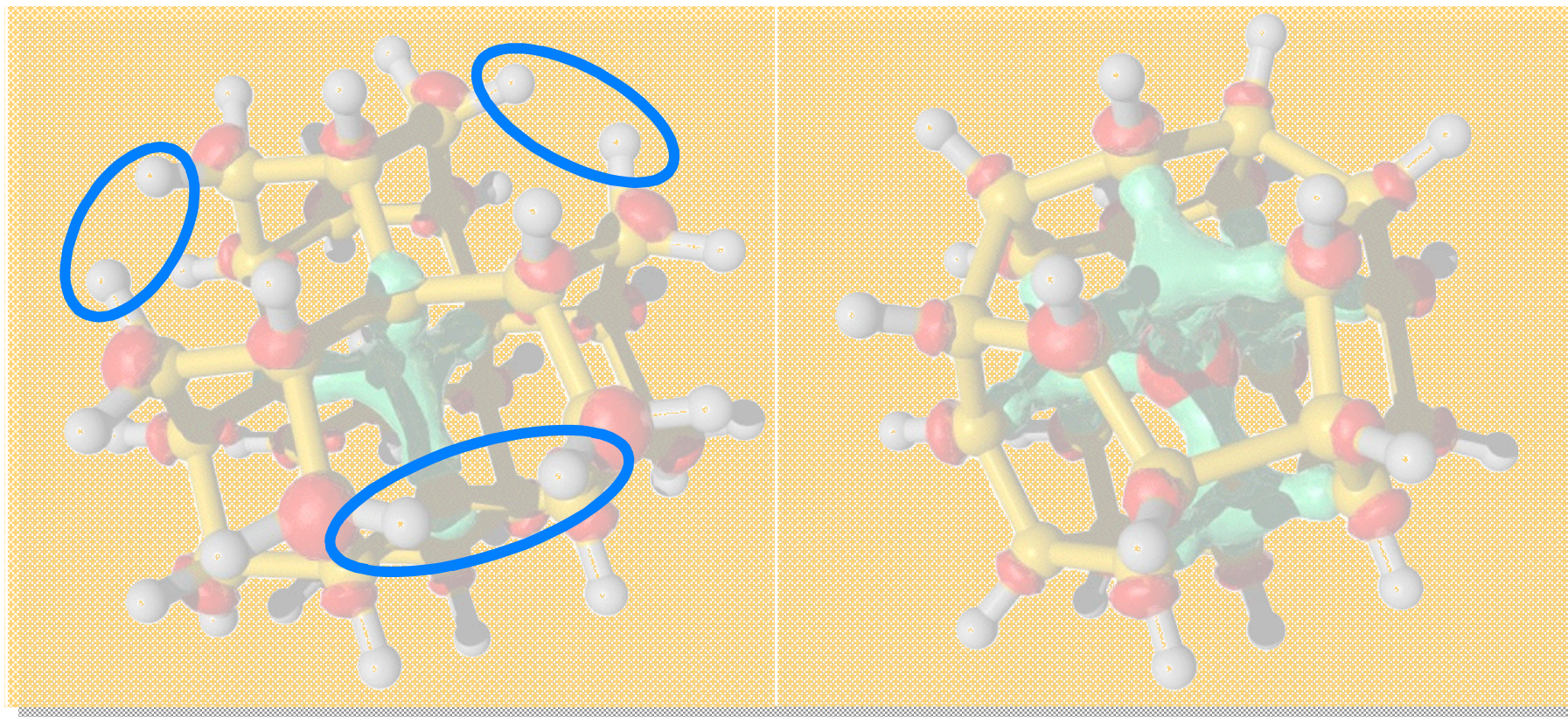


Optical gap vs size



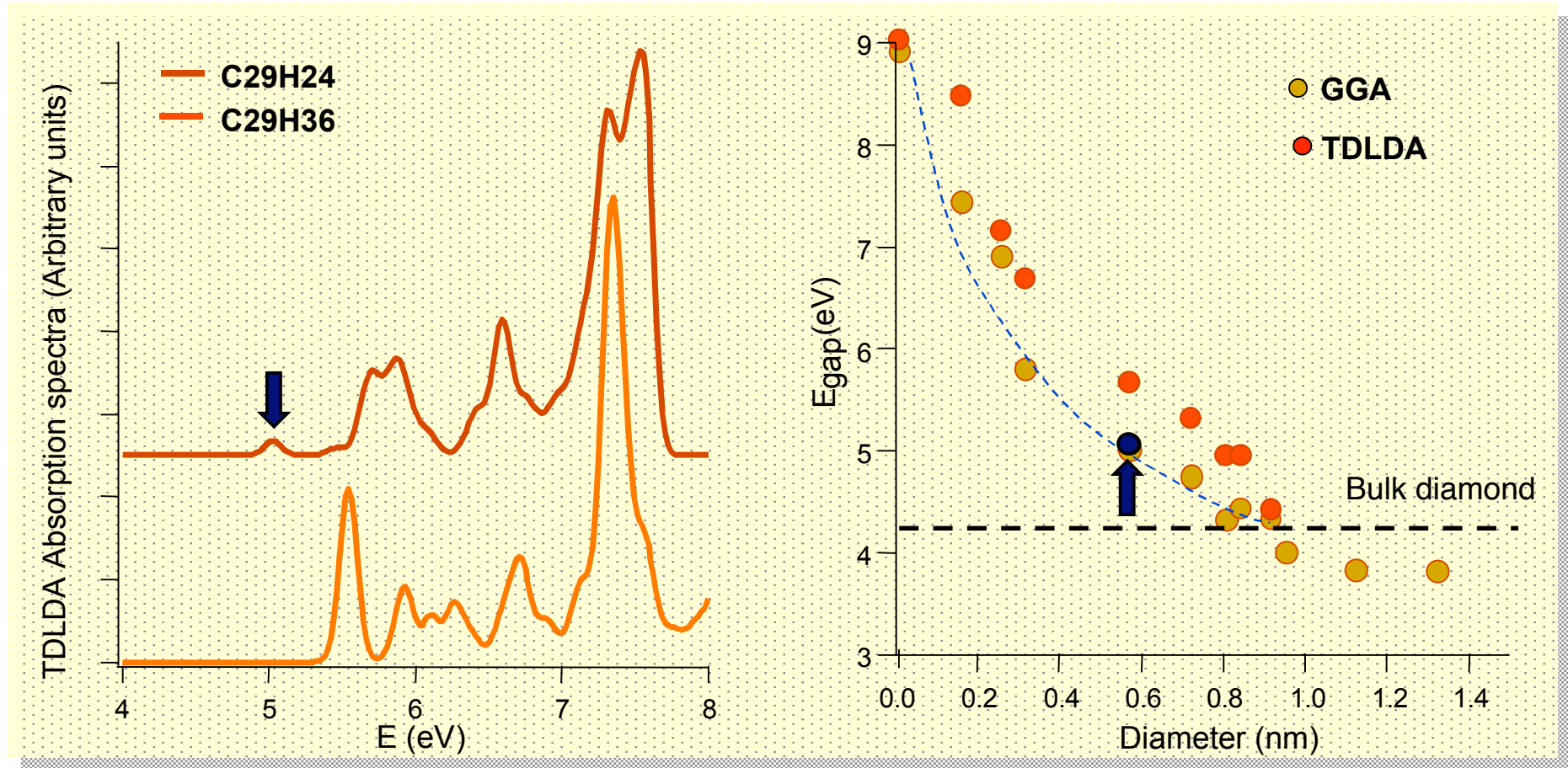
Simulation

Surface reconstruction



Simulation

Surface reconstruction effect

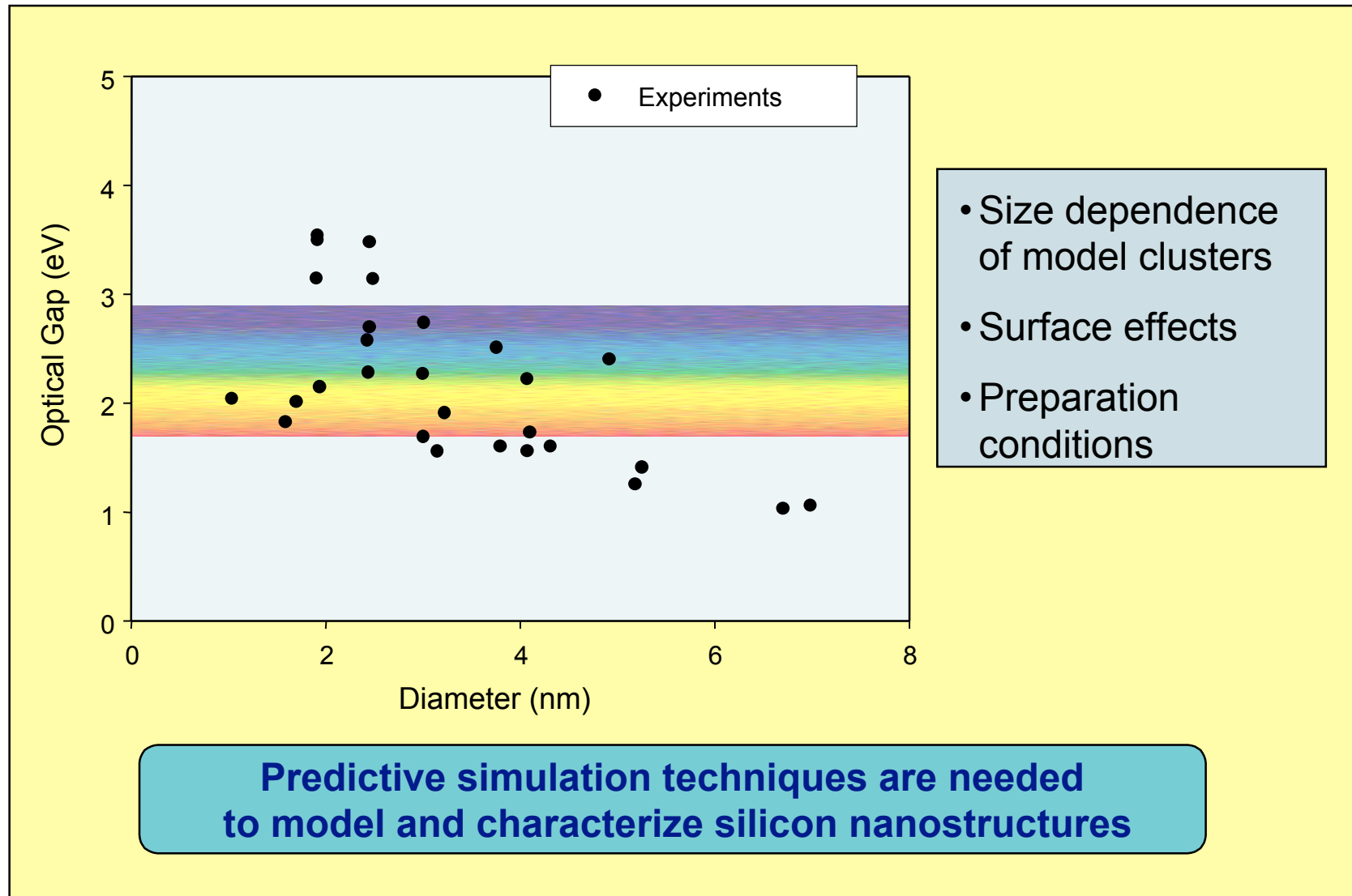


Gap is reduced by ~ 0.5 eV

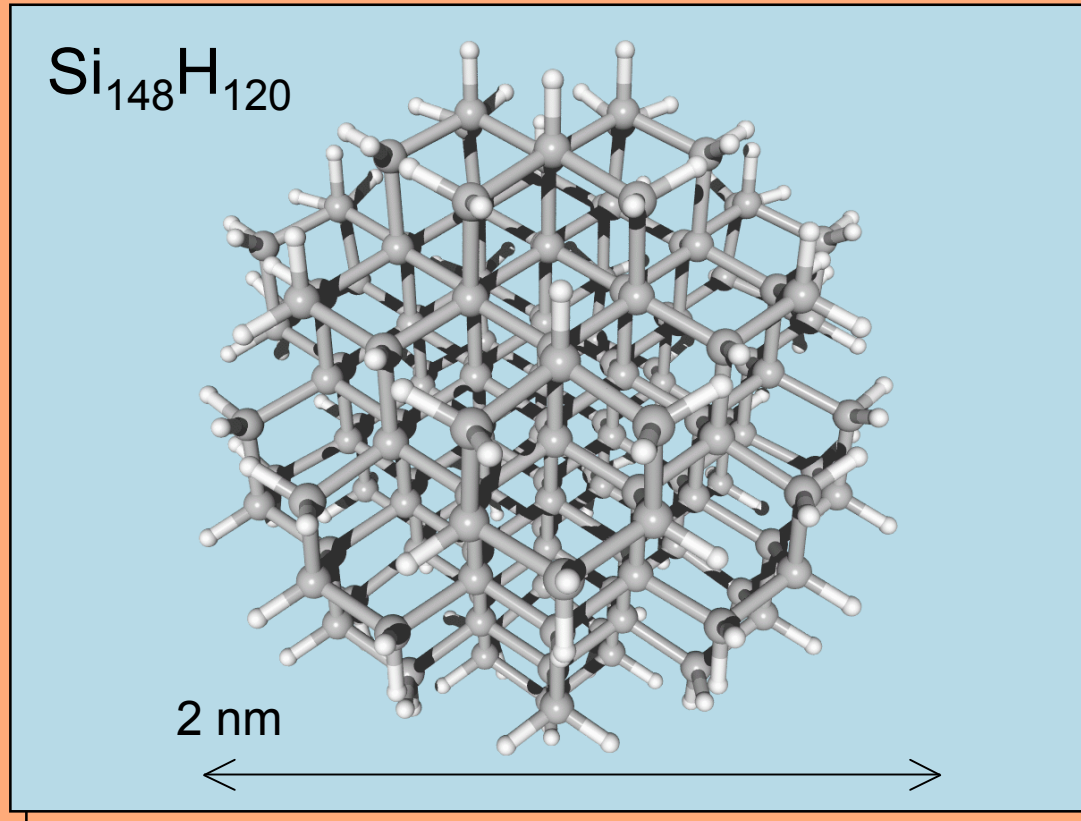
No quantum confinement effect for $D > 1-2$ nm

Simulation

Optical gaps of Si clusters



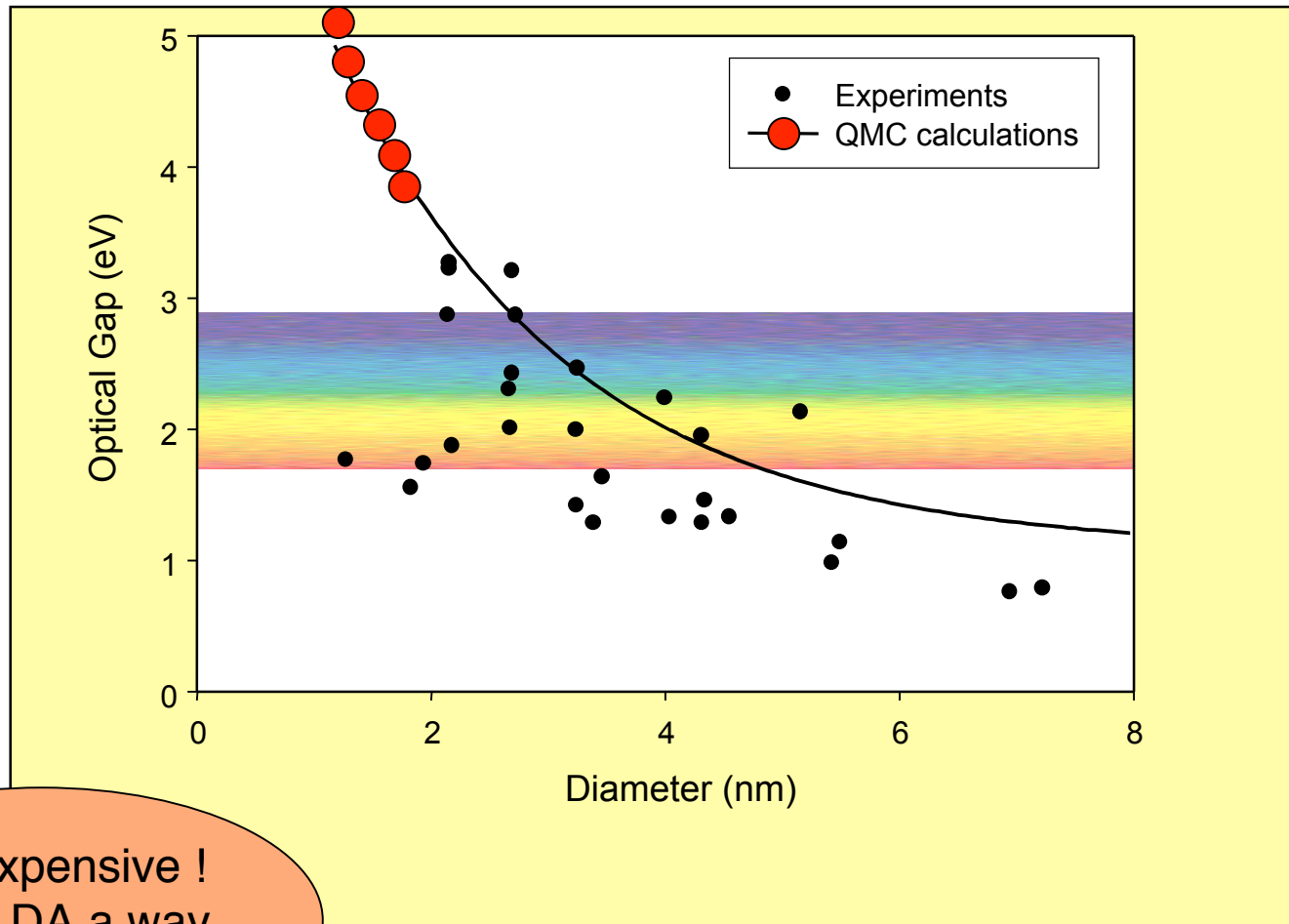
State of the simulations (QMC)



- 2 nm diameter
- 268 atoms
- 712 electrons
- >1000 CPU hours

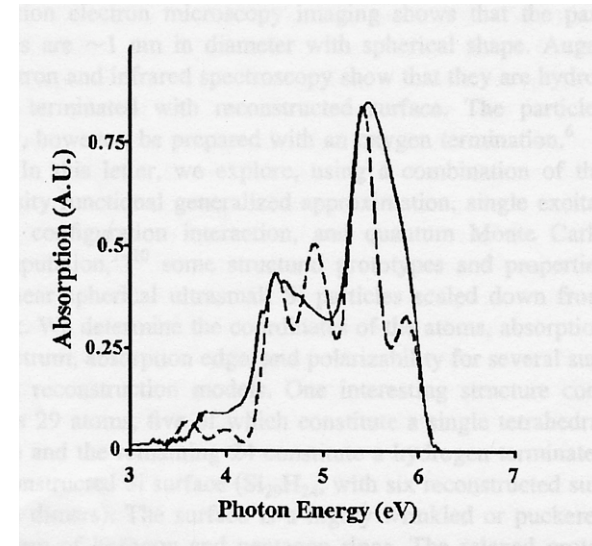
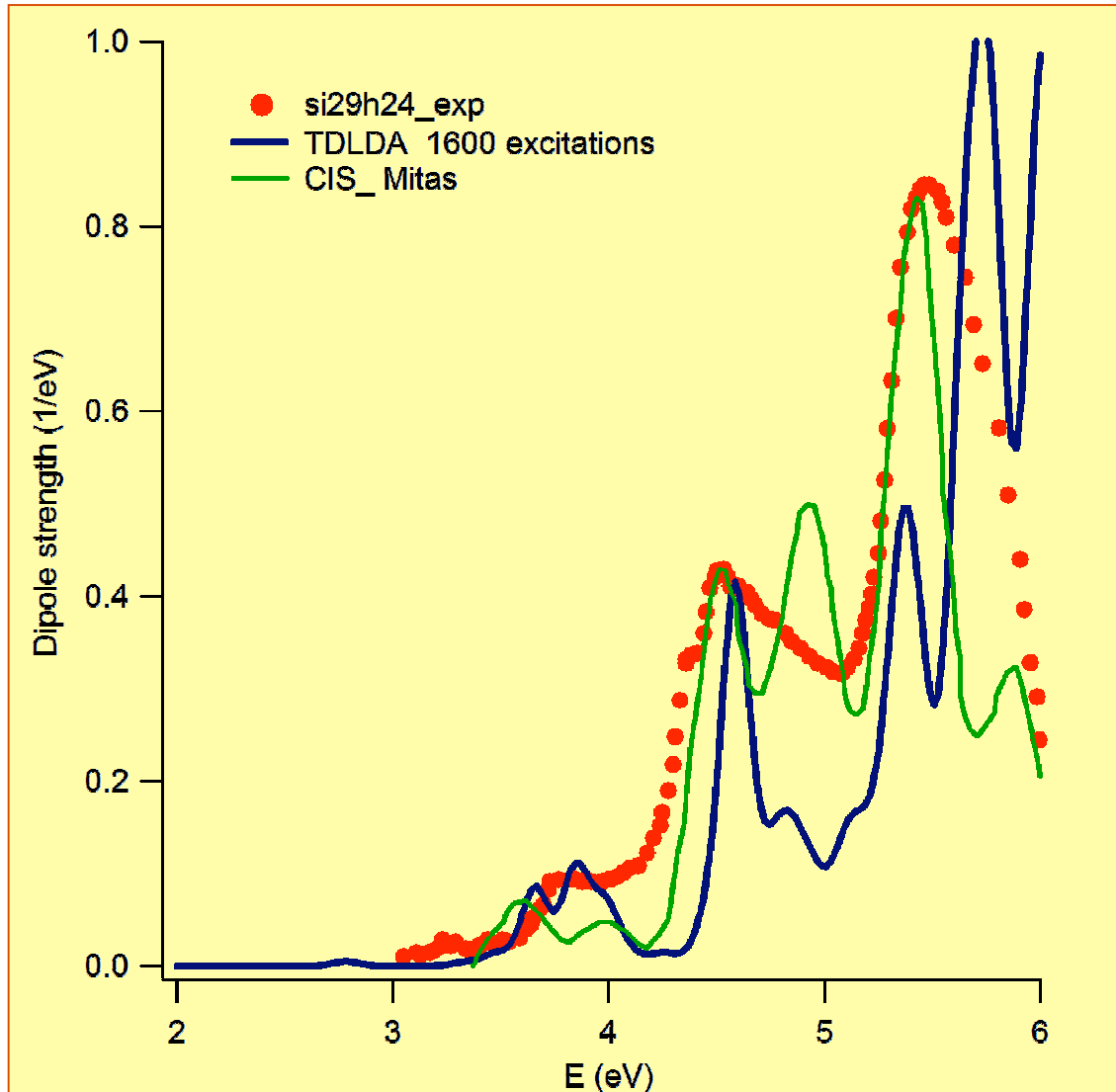
A.J. Williamson *et al.* Physical Review Letters, **87** 246406 (2001)

QMC Calculations explain the largest gaps



Too expensive !
Is TDLDA a way
to go ?

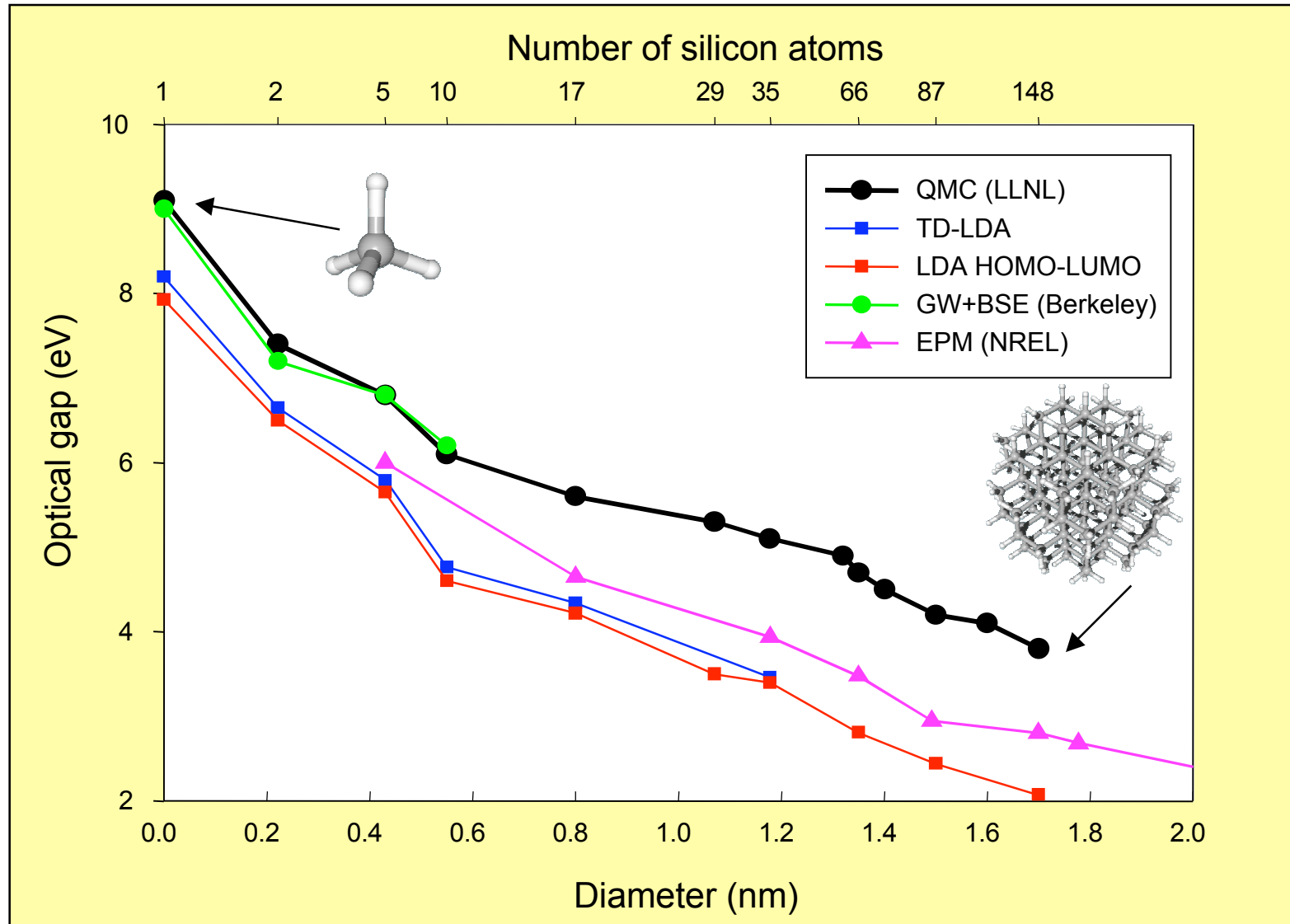
TDLDA vs Experiment



L. Mitas et al.

■ Not normalized
(sum rule not verified)

Optical Gap of Silicon Dots



TDLDA

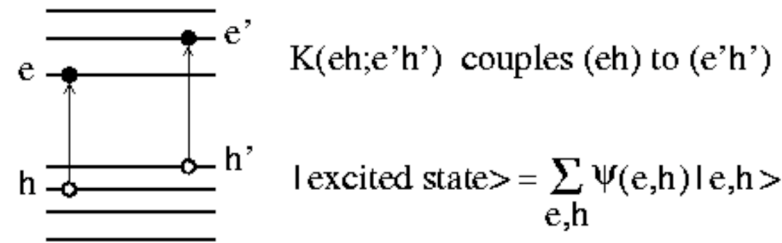
VS

BSE

Lorin Benedict et al.

Theoretical methods

must involve mixing of configurations



equation of motion for electron-hole pair wave function, $\psi(e, h)$:

$$[(E_e - E_h) - E] \psi(e, h) + \sum_{e'h'} K(eh; e'h') \psi(e', h') = 0$$

Bethe-Salpeter equation (BSE), Time-dependent local density approximation (TDLDA), Configuration interaction with singles excitations (CIS)

- 2-stage calculations: Determine single-particle E_e , E_h , ϕ_e , ϕ_h , then calculate $\psi(e, h)$ by solving electron-hole pair equation.
- Determine $\alpha(E)$ from $\psi(e, h)$, E .

TDLDA

- stage 1: determine E_e , E_h , ϕ_e , ϕ_h from LDA.
- stage 2: solve electron-hole pair equation with

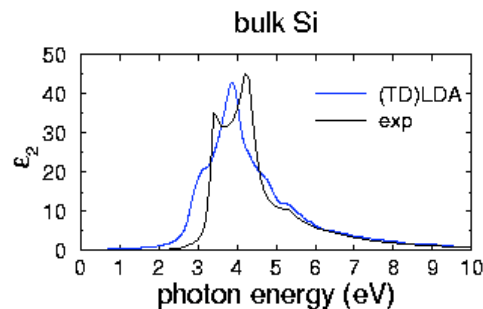
$$K(eh; e'h') = K_{Coul}(eh; e'h') + K_{xc}(eh; e'h')$$

K_{Coul} is a repulsion between the electron and hole. K_{xc} accounts for excitonic binding.

$$K_{Coul}(eh; e'h') = \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_e^*(\mathbf{r}_1) \phi_h(\mathbf{r}_1) \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} \phi_{e'}(\mathbf{r}_2) \phi_{h'}^*(\mathbf{r}_2)$$

$$K_{xc}(\mathbf{r}_1, \mathbf{r}_2; t, t') = \delta(t - t') \frac{\delta^2 E_{xc}}{\delta\rho(\mathbf{r}_1) \delta\rho(\mathbf{r}_2)}$$

Gives LDA answer for bulk



Bethe-Salpeter Equation

- stage 1: determine E_e , E_h from LDA + GW (including quasiparticle self-energies), ϕ_e , ϕ_h from LDA.

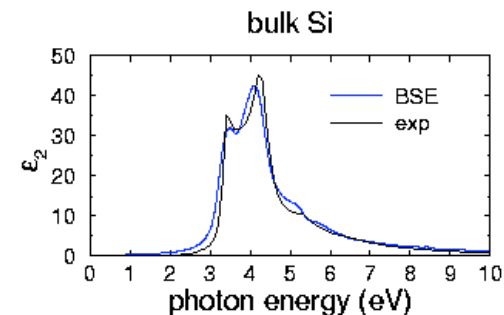
- stage 2: solve electron-hole pair equation with

$$K(eh; e'h') = K_{Coul}(eh; e'h') + K_{dir}(eh; e'h')$$

K_{dir} is attraction between electron and hole.

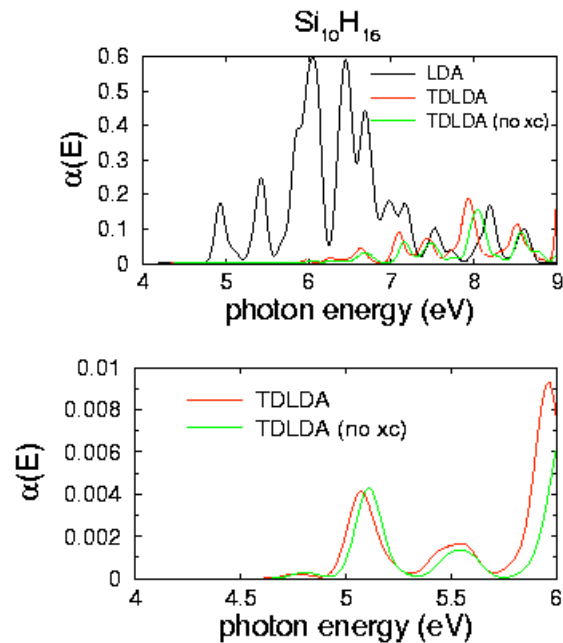
$$K_{dir}(eh; e'h') = \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_e^*(\mathbf{r}_1) \phi_h(\mathbf{r}_2) \frac{-e^2}{\epsilon(\mathbf{r}_1, \mathbf{r}_2) |\mathbf{r}_1 - \mathbf{r}_2|} \phi_{e'}(\mathbf{r}_1) \phi_{h'}^*(\mathbf{r}_2)$$

Gives accurate answer for bulk

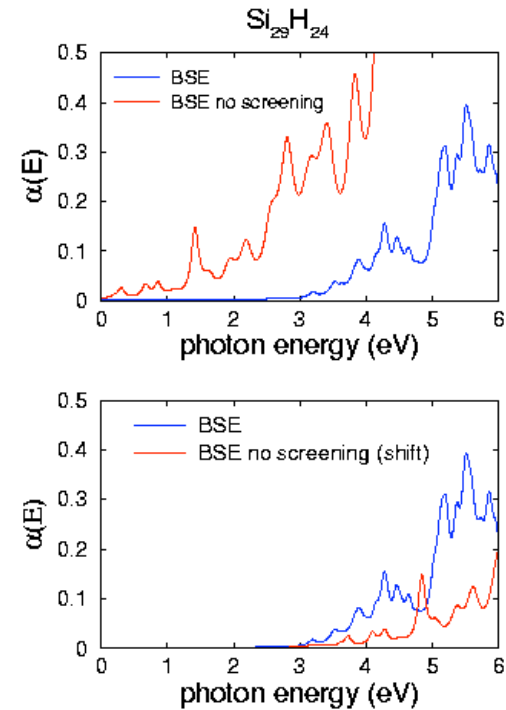


K_{coul}, K_{xc} contributions

TDLDA results, effect of K_{Coul} , K_{xc}



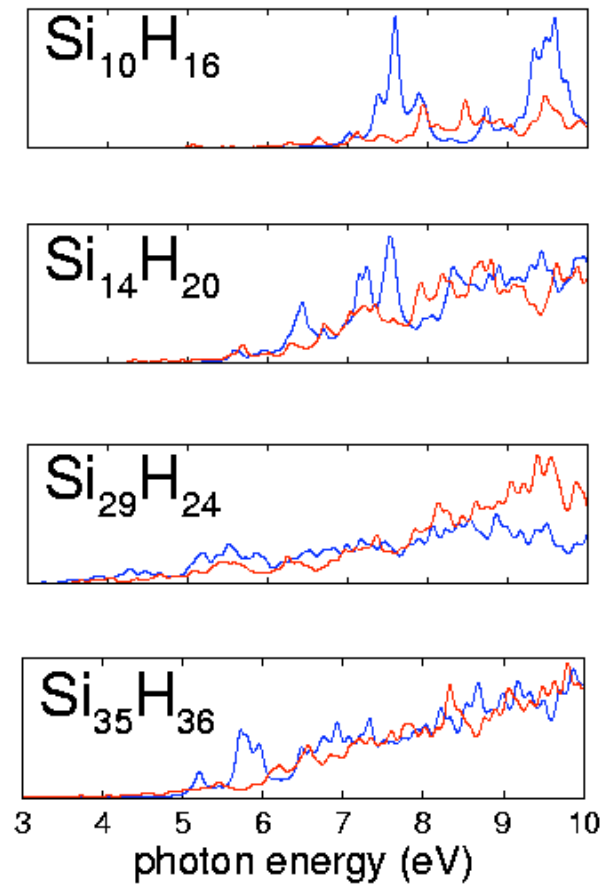
BSE results, effect of screening



K_{Coul} shifts spectral weight to higher energies

K_{xc} has almost no effect

BSE vs TDLDA



K_{Coul} shifts intensity to high E in BSE and TDLDA

K_{dir} gives rise to more structure at low E

K_{xc} has practically no effect

Future developments

- MPI version
- Other functionals
(TDLDA/LB94, TDLDA/ACLDA)

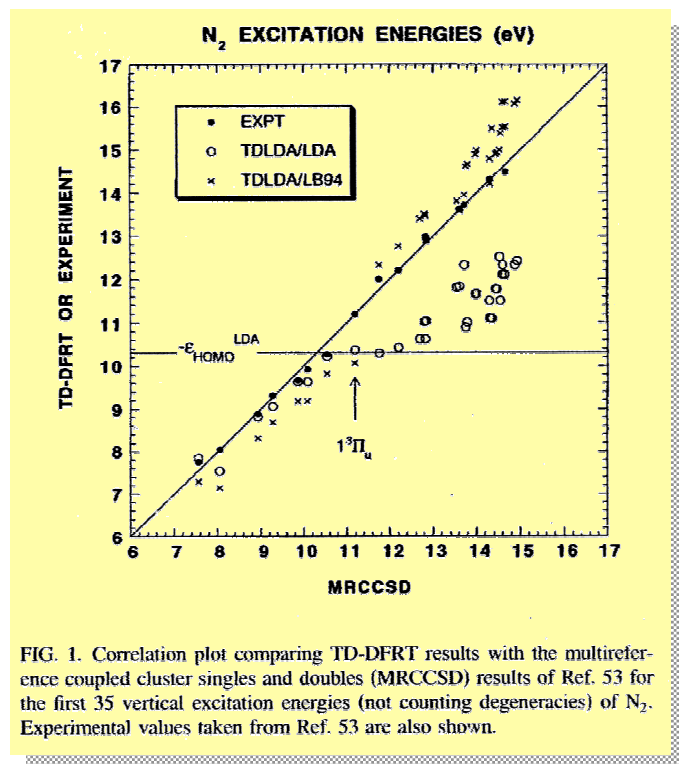


FIG. 1. Correlation plot comparing TD-DFRT results with the multireference coupled cluster singles and doubles (MRCCSD) results of Ref. 53 for the first 35 vertical excitation energies (not counting degeneracies) of N₂. Experimental values taken from Ref. 53 are also shown.

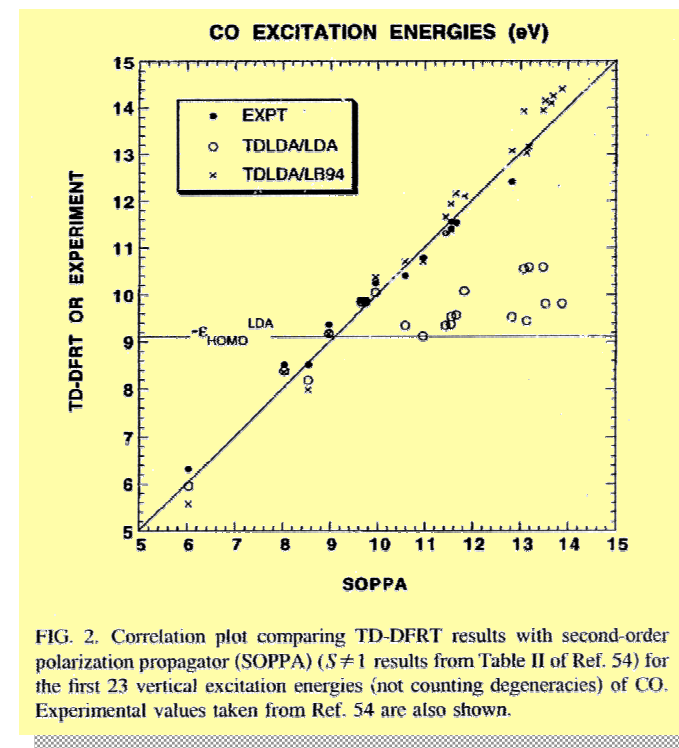


FIG. 2. Correlation plot comparing TD-DFRT results with second-order polarization propagator (SOPPA) ($S \neq 1$ results from Table II of Ref. 54) for the first 23 vertical excitation energies (not counting degeneracies) of CO. Experimental values taken from Ref. 54 are also shown.

Thanks to :

The FNRS

Christophe Bichara (CRMC2-Univ. Marseille)

Igor Vasiliev (Univ. Illinois)

Jim Chelikowsky (Univ. Minnesota)

Giulia Galli

Lorin Benedict

Andrew Williamson

& Jeff Grossman (Lawrence Livermore Natl Lab.)