

ABINIT04 workshop (10-12 May, 2004, Paris)

ABINIT applications : Rare-earth Sesquioxides/Oxysulfides and a Photochromic Molecular Crystals

Mitsubishi Chemical Group

Science and Technology Research Center, Inc.

Masayoshi Mikami and Shinichiro Nakamura



Contents:

1. $\text{RE}_2\text{O}_3/\text{RE}_2\text{O}_2\text{S}$

1.1. Why $\text{RE}_2\text{O}_3/\text{RE}_2\text{O}_2\text{S}$?

1.2. ABINIT results : Geometry, Band, RF-calculations...

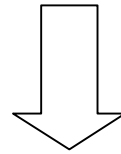
2. A Molecular Crystal : RF-results and discussion

(for detail, Mikami & Nakamura, PRB69, 134205 (2004))



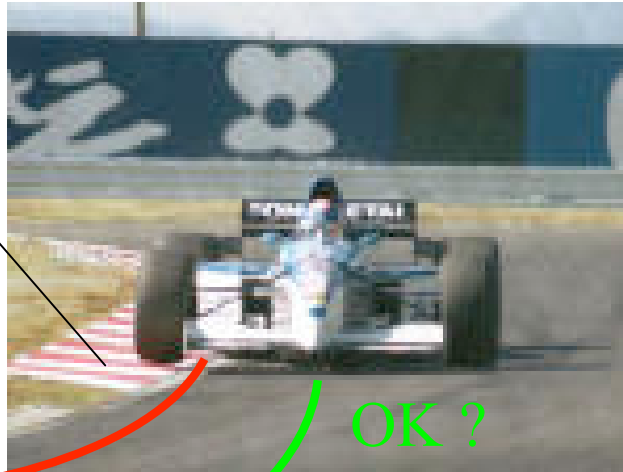
Motivation

Feasibility of first-principles calculation for extraordinary systems ?



Benchmark !

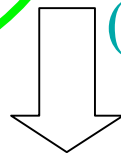
(Fuzzy) borderline of
Conventional Wisdom



Out of range ?

OK ?

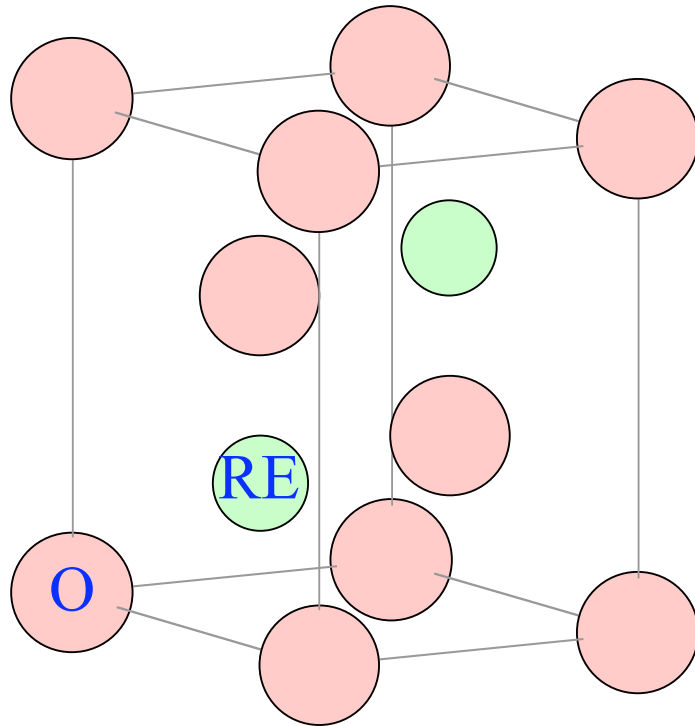
(NB: C_{60} in LDA/ not in GGA)



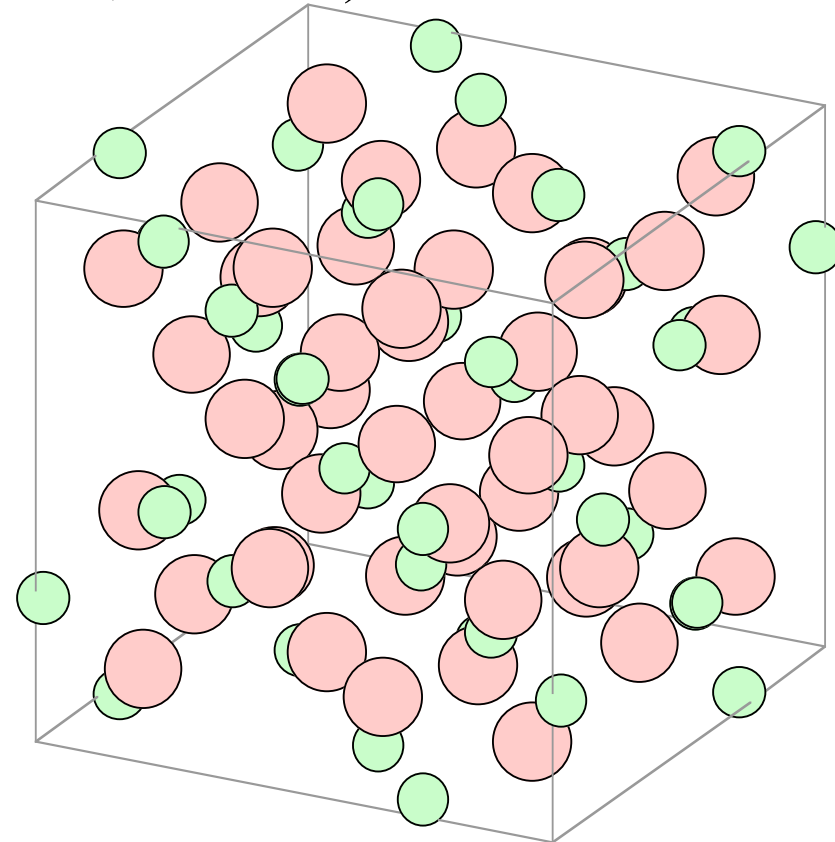
Let us share gained wisdoms & difficulties
→ *future (possible) functions may be suggested*

1. Introduction (Why RE₂O₃ ?)

Rare-earth sesquioxides (RE₂O₃: R=Y, La – Lu)



A-type ($P\bar{3}m1$) : *large* RE
(RE=La, Ce, Pr, Nd, Pm)



C-type ($Ia\bar{3}$) : *small* RE
(RE=Y, Sm, Eu, Gd, Tb, ..., Lu)

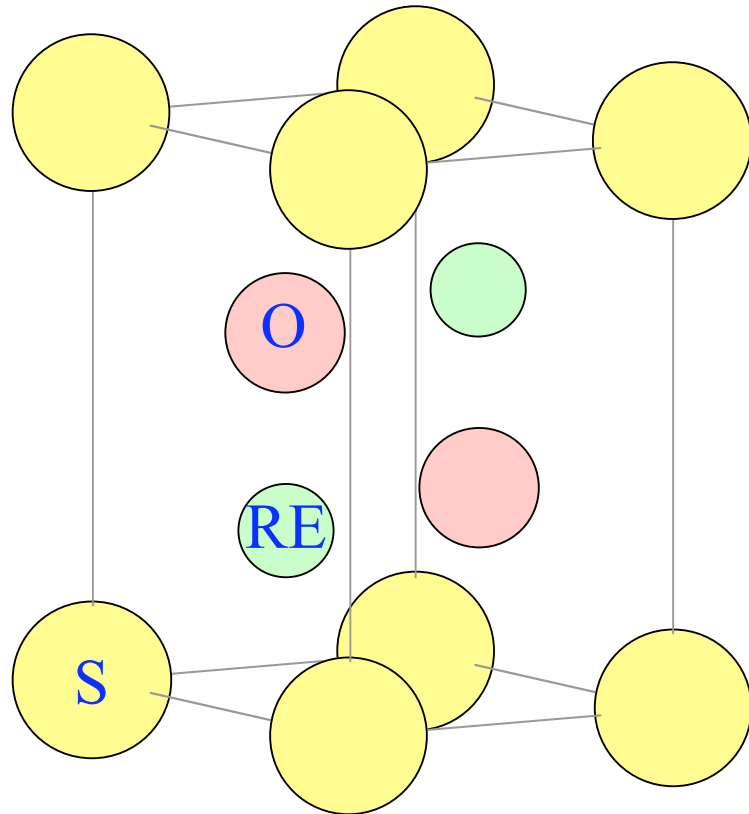
- High-*k*, Catalyst (O₂ storage in Ceria), Additive, Coating, ...

few studies on electronic structure/physical properties

- Feasibility of RE pseudopotentials ? (e.g. treatment of 4*f*)

1. Introduction (Why Oxysulfide ?)

Rare-earth Oxysulfides ($\text{RE}_2\text{O}_2\text{S}$: RE=Y, La – Lu)



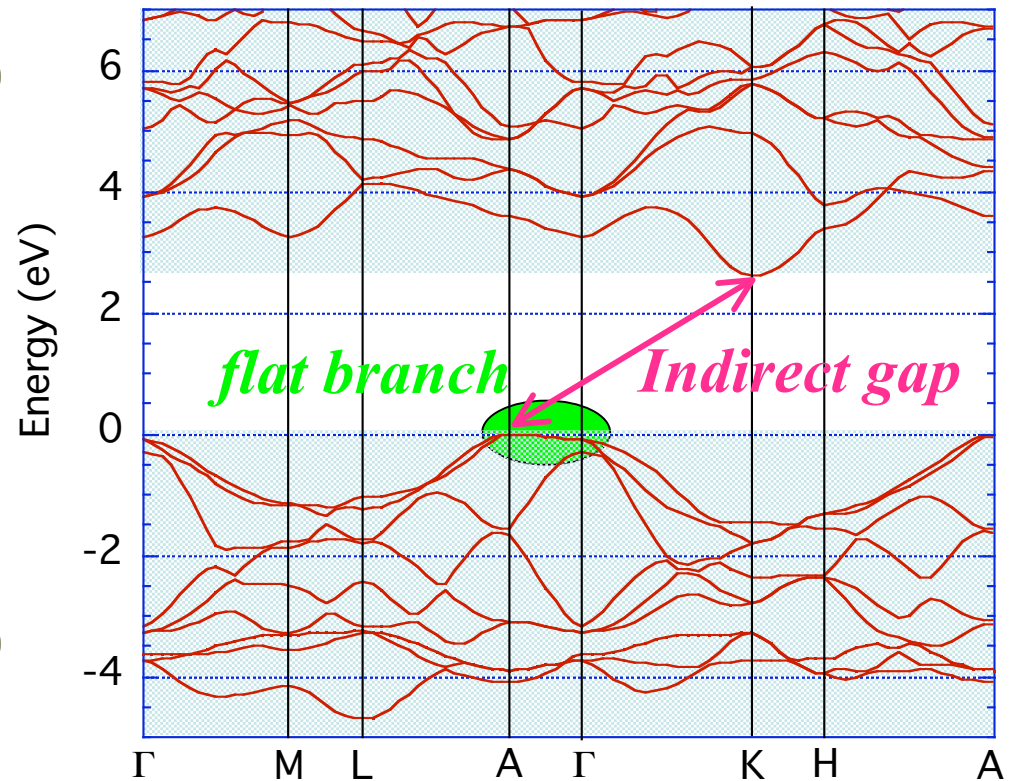
efficient hosts for phosphors

$\text{Y}_2\text{O}_2\text{S}$: Eu ••• red for TV

$\text{Gd}_2\text{O}_2\text{S}$: Pr ••• scintillator

similarity/difference between RE_2O_3 and $\text{RE}_2\text{O}_2\text{S}$?

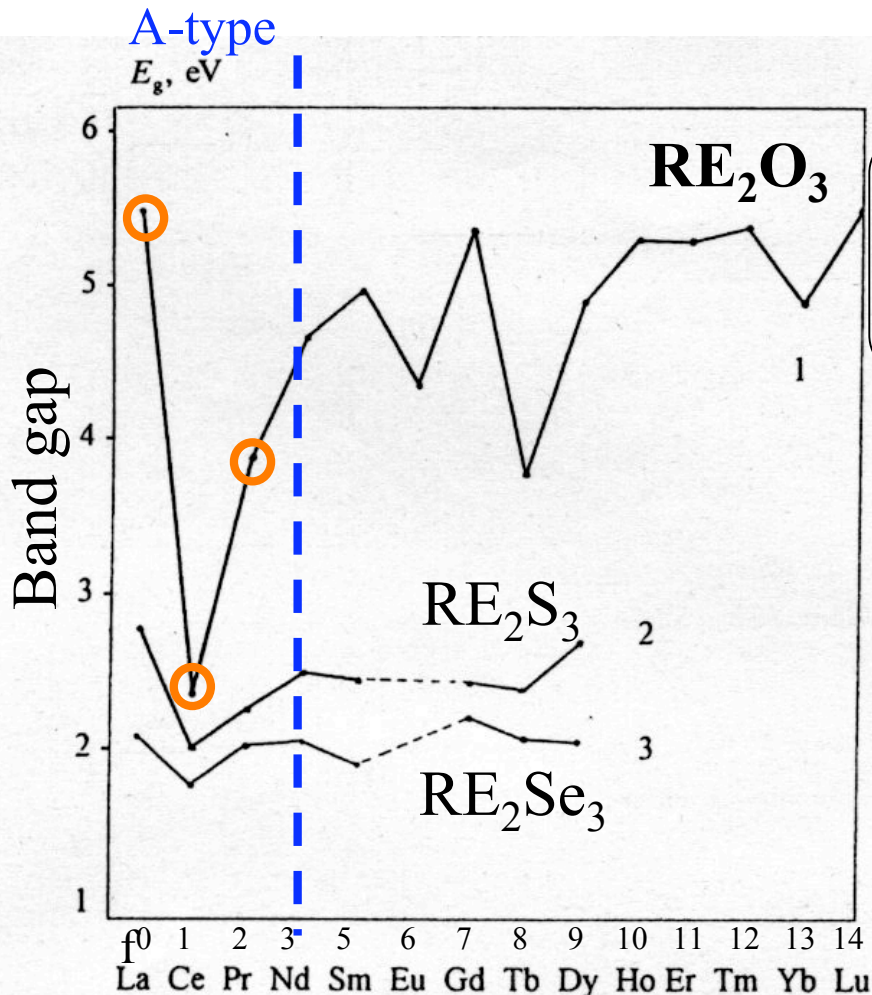
$\text{Y}_2\text{O}_2\text{S}$ band-structure



M. Mikami & A. Oshiyama,
Phys.Rev.B57, 8939 (1998)

RF calc. (phonon/ ϵ) also performed
M.Mikami et al. PRB65, 094302 (2002)

Variation of the band gap in RE_2O_3 / Body-color in $\text{RE}_2\text{O}_2\text{S}$



This variation may be explained from
 $\text{VB (O-2p)} \Rightarrow \text{CB (RE-s/d)}$?
 If not, 4f-state may be involved...

NB: Body Color of $\text{RE}_2\text{O}_2\text{S}$

- | | |
|--|--|
| $\text{Y}_2\text{O}_2\text{S}$ white | $\text{Tb}_2\text{O}_2\text{S}$ brown |
| $\text{La}_2\text{O}_2\text{S}$ white-yellowish | $\text{Dy}_2\text{O}_2\text{S}$ gray |
| $\text{Ce}_2\text{O}_2\text{S}$ brown-black | $\text{Ho}_2\text{O}_2\text{S}$ beige |
| $\text{Pr}_2\text{O}_2\text{S}$ black | $\text{Er}_2\text{O}_2\text{S}$ rose |
| $\text{Nd}_2\text{O}_2\text{S}$ bluish | $\text{Tm}_2\text{O}_2\text{S}$ beige |
| $\text{Sm}_2\text{O}_2\text{S}$ pale beige | $\text{Yb}_2\text{O}_2\text{S}$ gray |
| $\text{Eu}_2\text{O}_2\text{S}$ rose | $\text{Lu}_2\text{O}_2\text{S}$ yellowish |
| $\text{Gd}_2\text{O}_2\text{S}$ white | |

Fig. 1. Variation of the band gap E_g of Ln_2X_3 in the lanthanide series: (1) oxides; (2) sulphides; (3) selenides.

E_g variation or crystal quality ?
 (intrinsic) (extrinsic)

A.V.Prokofiev et al., J.Alloys Compd. 242, 41 (1996)
 cited in Chem.Rev.98, 1479 (1998)

2. Calculation Method

Density Functional Theory – Local Density Approximation :

xc functional : Ceperley-Alder -> Perdew-Zunger

First-Principles Band Calculation : **ABINIT code** (www.abinit.org)

Reference: X. Gonze et al., Comp. Mater. Sci. 25, 478 (2002)

(see also :M.Mikami “Kotai-Butsuri” p.219 (2003) for Japanese)

Troullier-Martins-type pseudopotentials, prepared with **FHI98PP code**

FHI98PP : www.fhi-berlin.mpg.de/th/fhi98md/fhi98PP

M. Fuchs and M. Scheffler, Comp. Phys. Comm. 119, 67 (1999)

RE PP: 4f as “core”, shallow-core (5s/5p) as “valence”

([Kr]4d¹⁰4f^x 5s²5p⁶5d¹6s², x=0,1,2 for La,Ce,Pr, respectively)

to avoid difficulties (ghost-bands, 4f-states at E_F & fractional occ.)

=> *Feasibility check* ! (geometries, energy bands except f-state, ...)

We will see if our “*cats*” are effective ... (from a Chinese saying)

Energy cut-off: 160Ry (due to RE’s shallow-core states)

1st BZ integral : Monkhorst-Pack grid (4x4x2)

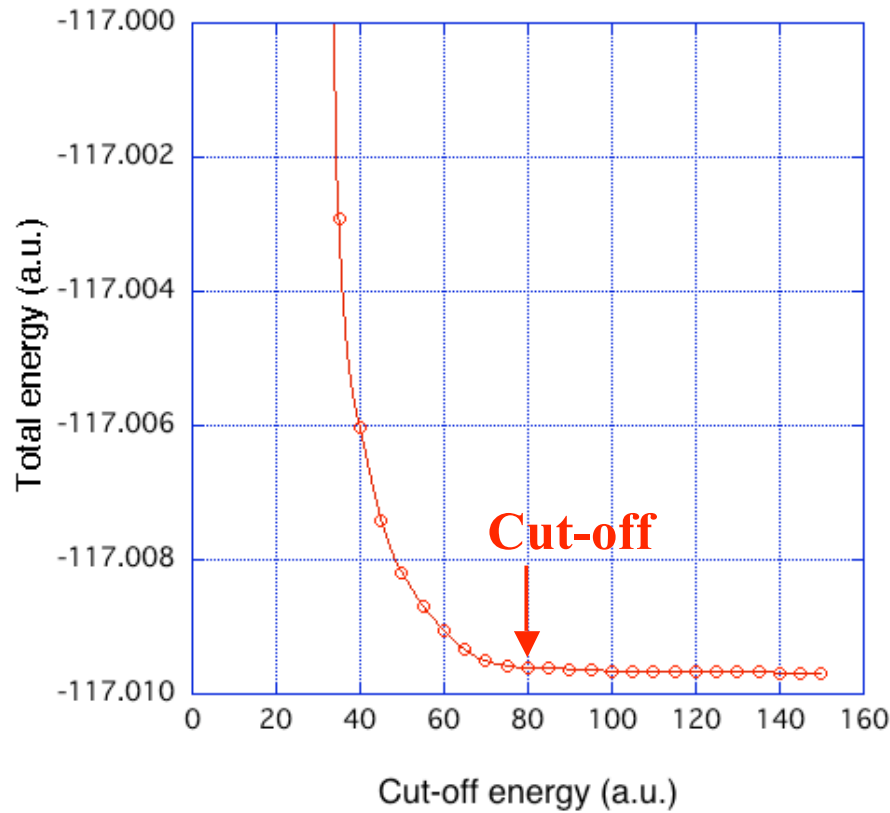
SCF cycle : Conjugate Gradient based on the minimum of the energy

X. Gonze, Phys. Rev. B54, 4383 (1996)

Geometry optimization : Broyden-Fletcher-Goldfarb-Shanno minimization

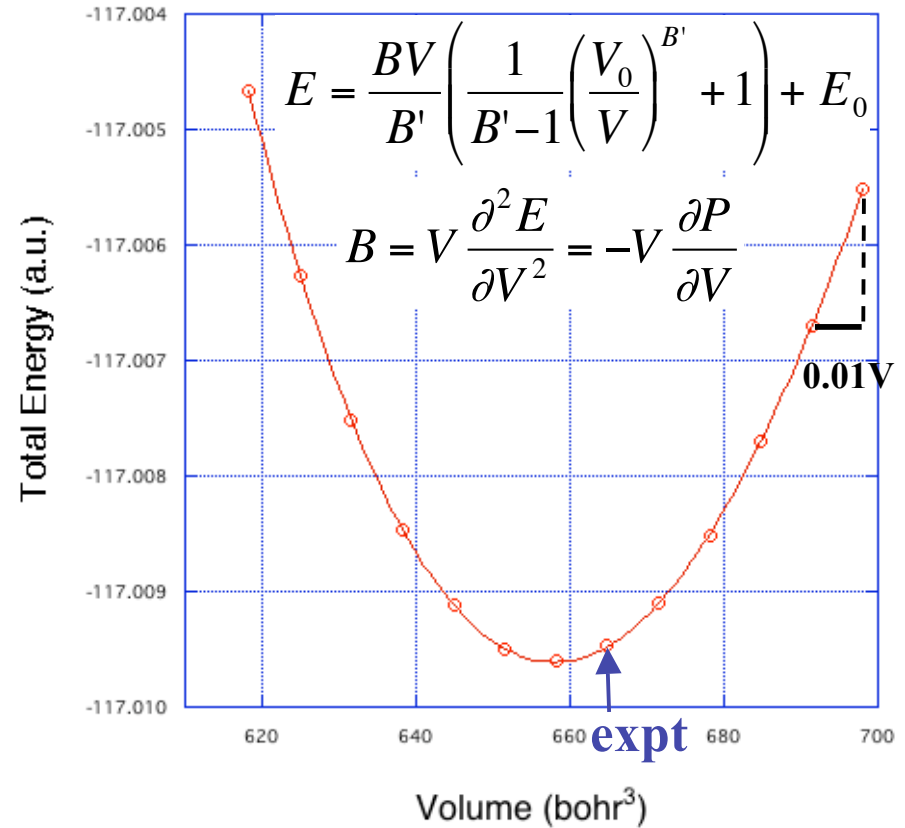
Typical examples from La₂O₂S calc.

Energy v.s. Cut-off



80 a.u. (160 Ry) adopted as the Cut-off energy

Volume v.s. Energy @ 160 Ry.



Murnaghan's equation fitting $\Rightarrow V_0$, Bulk Modulus: B

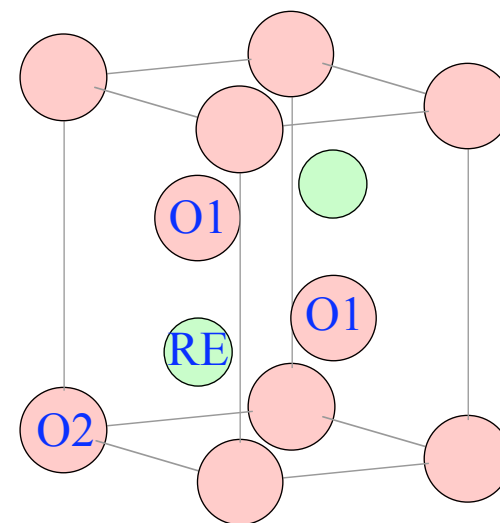
3. Structures calc. This work & Previous work (PRB57,8939)
 (expt.)

	a (Å)	c (Å)	c/a	u	v	B (GPa)
La_2O_3	3.933 (3.940)	6.086 (6.130)	1.547 (1.556)	0.247 (0.245)	0.645 (0.645)	118
Ce_2O_3	3.871 (3.888)	6.001 (6.026)	1.550 (1.550)	0.247 (0.245)	0.645 (0.647)	124
Pr_2O_3	3.824 (3.859)	5.934 (6.013)	1.552 (1.558)	0.247 (0.246)	0.645 (0.656)	130
$\text{La}_2\text{O}_2\text{S}$	4.035 (4.049)	6.915 (6.939)	1.714 (1.714)	0.279 (0.279)	0.629 (0.629)	109
$\text{Ce}_2\text{O}_2\text{S}$	3.976 (4.008)	6.822 (6.886)	1.716 (1.718)	0.280	0.629	114
$\text{Pr}_2\text{O}_2\text{S}$	3.930 (3.976)	6.752 (6.831)	1.718 (1.718)	0.281	0.629	119
$\text{Y}_2\text{O}_2\text{S}$	3.750 (3.791)	6.525 (6.596)	1.740 (1.740)	0.282	0.631	142

NB: ionic radii(Å): La (1.10), Ce (1.07), Pr (0.99-1.126), Y (0.96)

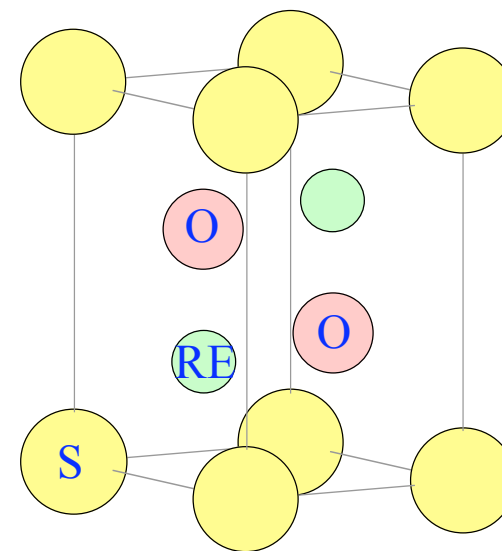
Bond length : calc. (sum of ionic radii (Σ))

	La ₂ O ₃	Ce ₂ O ₃	Pr ₂ O ₃
RE–O1	2.364,2.422 (2.50)	2.327,2.388 (2.47)	2.299,2.362 (2.46)
RE–O2	2.723 (2.50)	2.682 (2.47)	2.650 (2.46)
O1–O2	3.134 (2.80)	3.088 (2.80)	3.052 (2.80)



RE-O1 < Σ , RE-O2 > Σ

	La ₂ O ₂ S	Ce ₂ O ₂ S	Pr ₂ O ₂ S
RE–O	2.415,2.420 (2.50)	2.378,2.381 (2.47)	2.349,2.350 (2.46)
RE–S	3.025 (2.94)	2.986 (2.91)	2.958 (2.90)
O–S	3.465 (3.24)	3.417 (3.24)	3.380 (3.24)



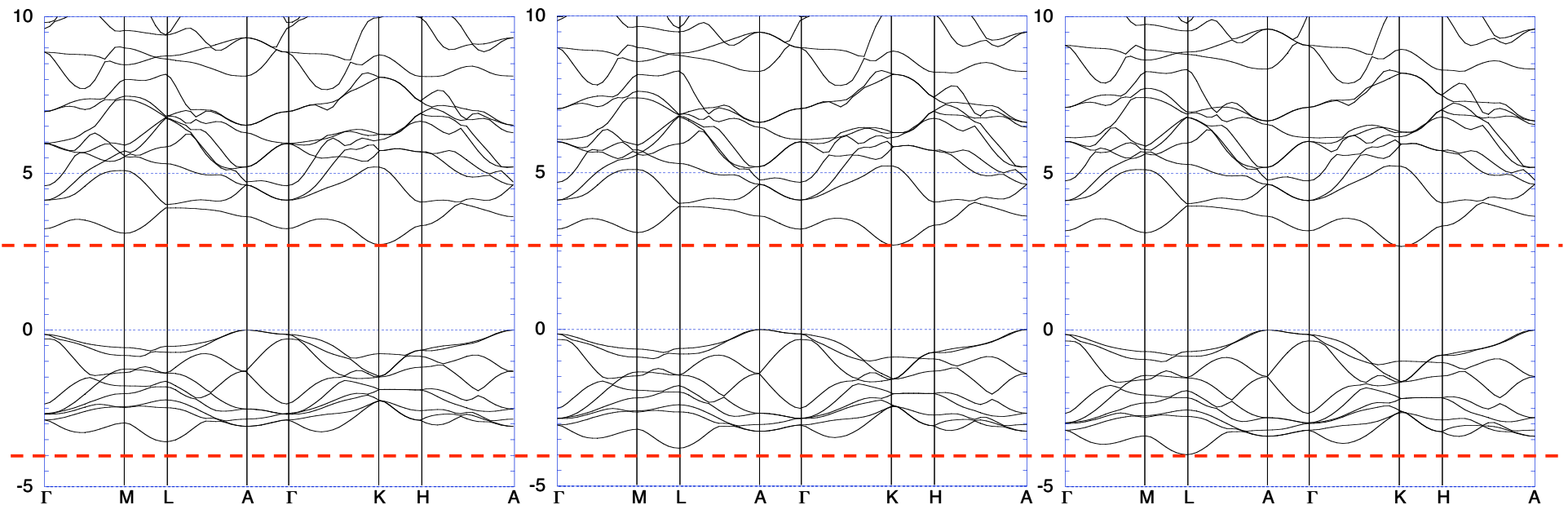
RE-O < Σ , RE-S > Σ

RE₂O₂S Bandstructures (without f-states)

La₂O₂S

Ce₂O₂S

Pr₂O₂S



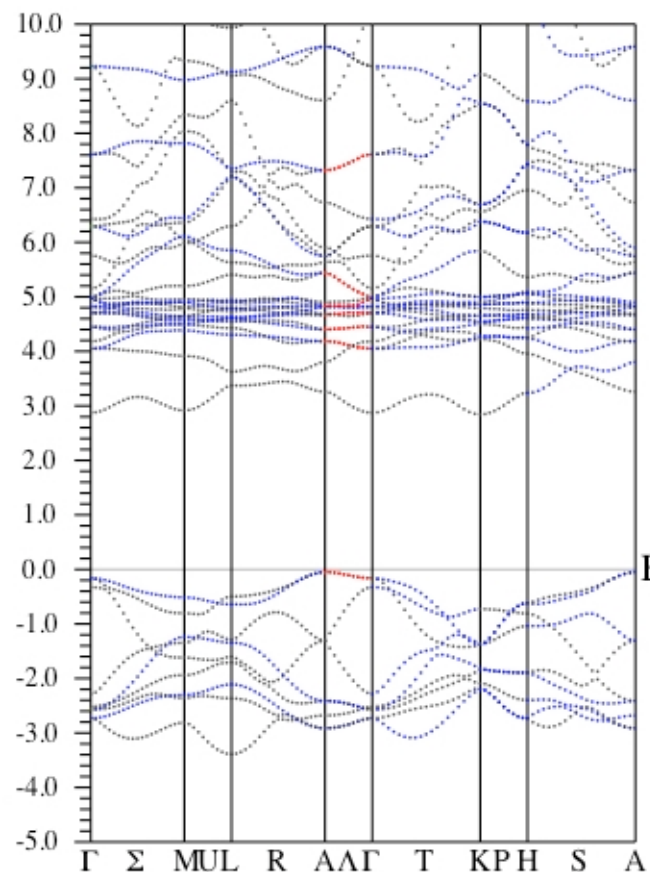
- indirect gap ~ 3 eV (underestimated due to LDA)
 expt: La₂O₂S: 4.6 eV, Gd₂O₂S: 4.7 eV, Y₂O₂S: 4.9 eV, Lu₂O₂S: 5.1 eV
- flat top branch (A–G) in VB
 ionic covalent
- wider VB (La \rightarrow Pr) : may reflect “covalency”

	La ₂ O ₂ S	Ce ₂ O ₂ S	Pr ₂ O ₂ S
VB width (eV)	3.4	3.6	3.8
ΔE (a.u.)	-1.515	-1.532	-1.543

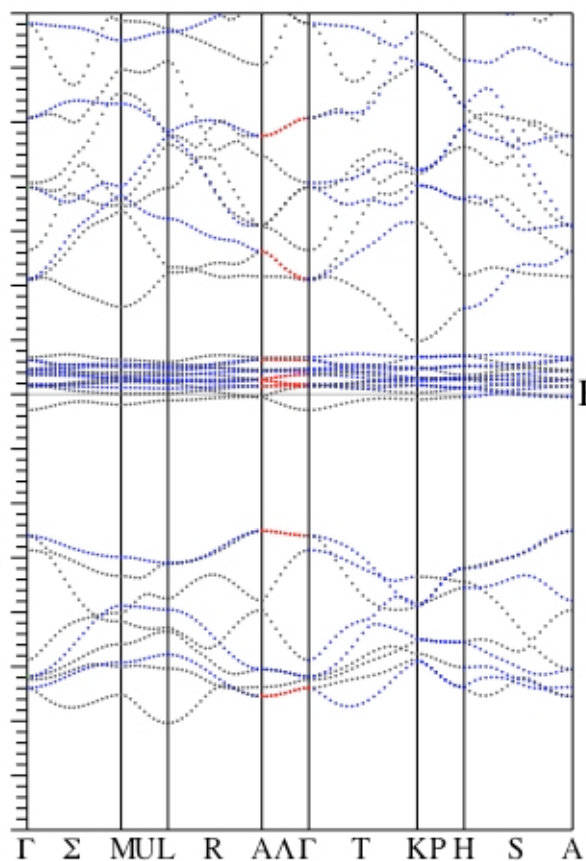
(NB: $\Delta E = E(\text{RE}_2\text{O}_3) - 2E(\text{RE}) - 2E(\text{O}) - E(\text{S})$)

Band structures calculated with FLAPW (WIEN2k)

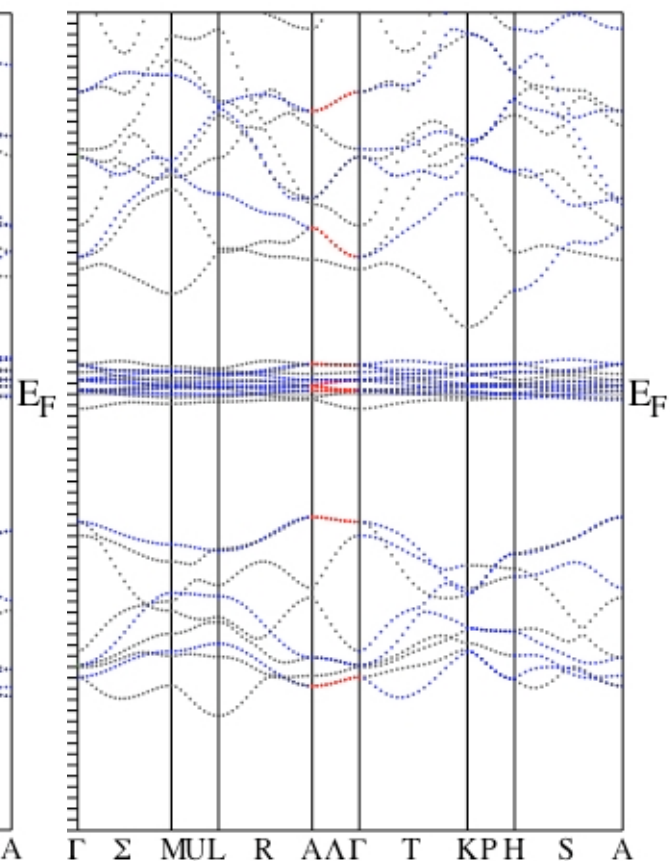
$\text{La}_2\text{O}_2\text{S}$



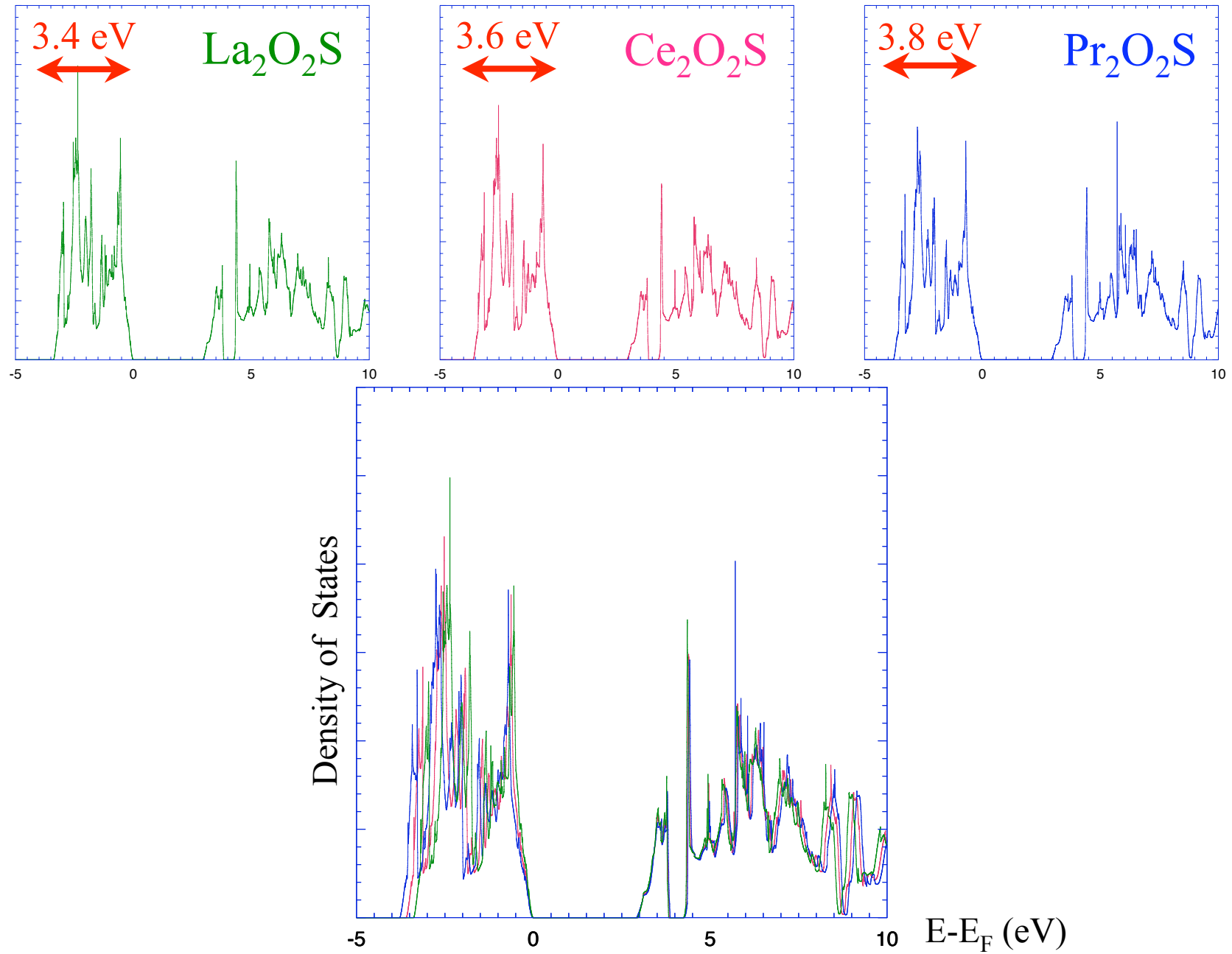
$\text{Ce}_2\text{O}_2\text{S}$



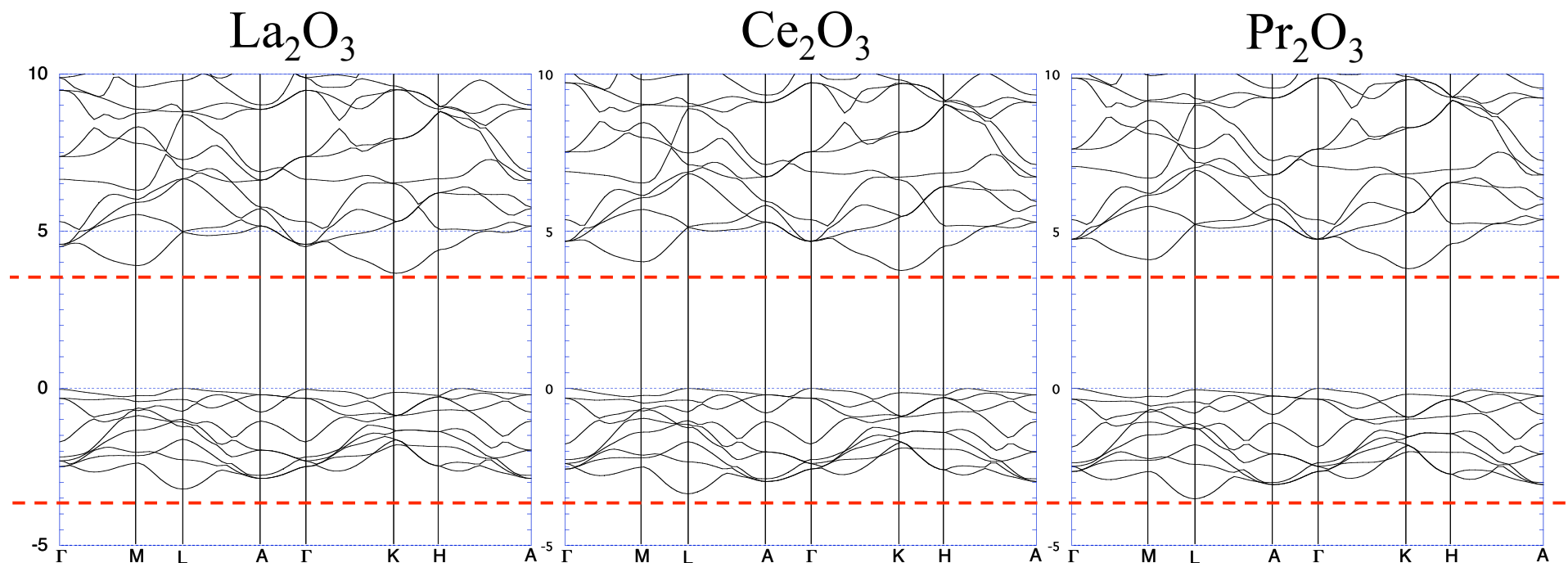
$\text{Pr}_2\text{O}_2\text{S}$



RE₂O₂S Density of States (without f-states)



RE₂O₃ Bandstructures (without f-states)

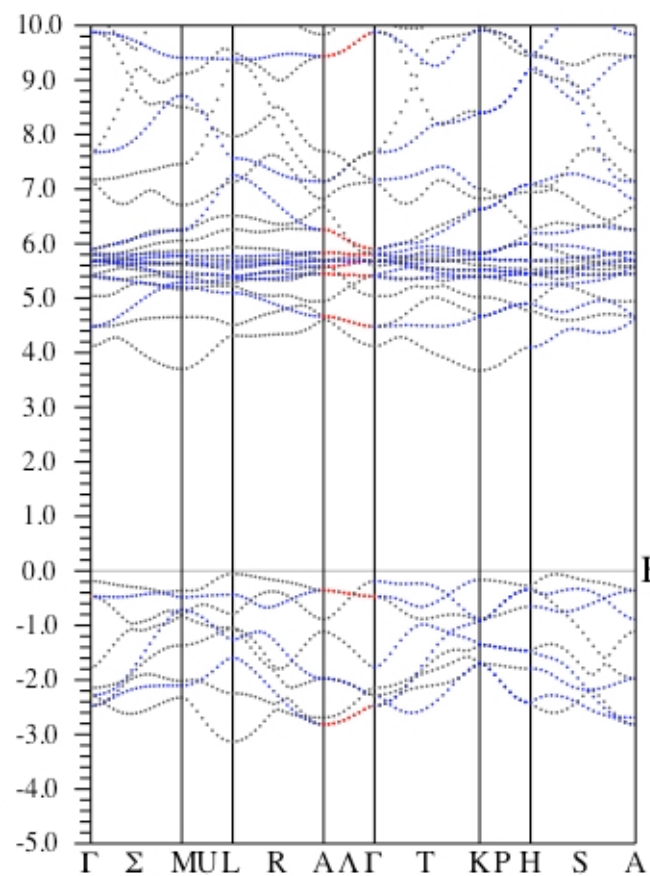


- indirect gap ~ 4.0 eV: (underestimated due to LDA)
 expt: La₂O₃: 5.5 eV, Ce₂O₃: 2.4 eV, Pr₂O₃: 3.9 eV
 => “*f*”-states *must be explicitly considered* (as discussed later)
- flat VB top, but different from that of RE₂O₂S
- wider VB (La \rightarrow Pr) : may reflect “covalency”

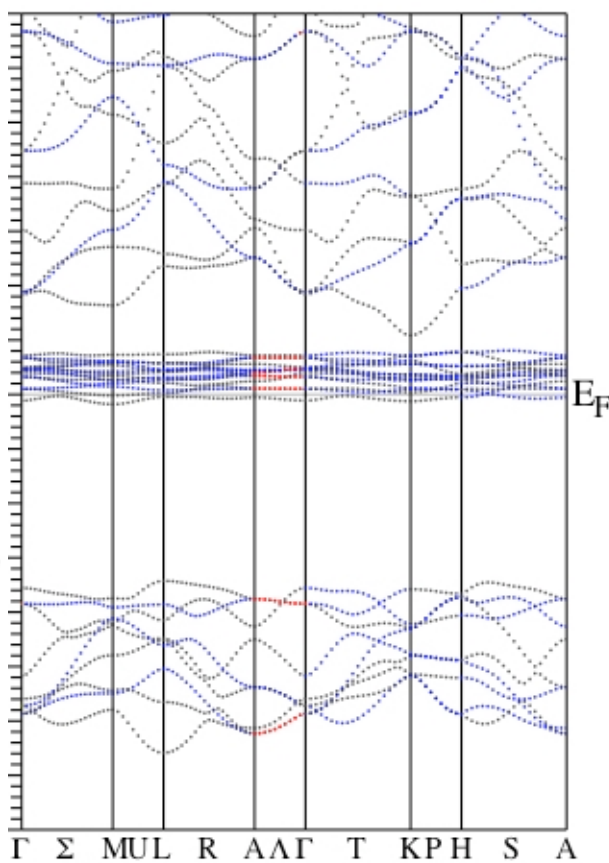
	La ₂ O ₃	Ce ₂ O ₃	Pr ₂ O ₃
VB width (eV)	3.0	3.1	3.2
ΔE (a.u.)	-1.590	-1.612	-1.625
(NB: $\Delta E = E(\text{RE}_2\text{O}_3) - 2E(\text{RE}) - 3E(\text{O})$)			

Band structures calculated with FLAPW (WIEN2k)

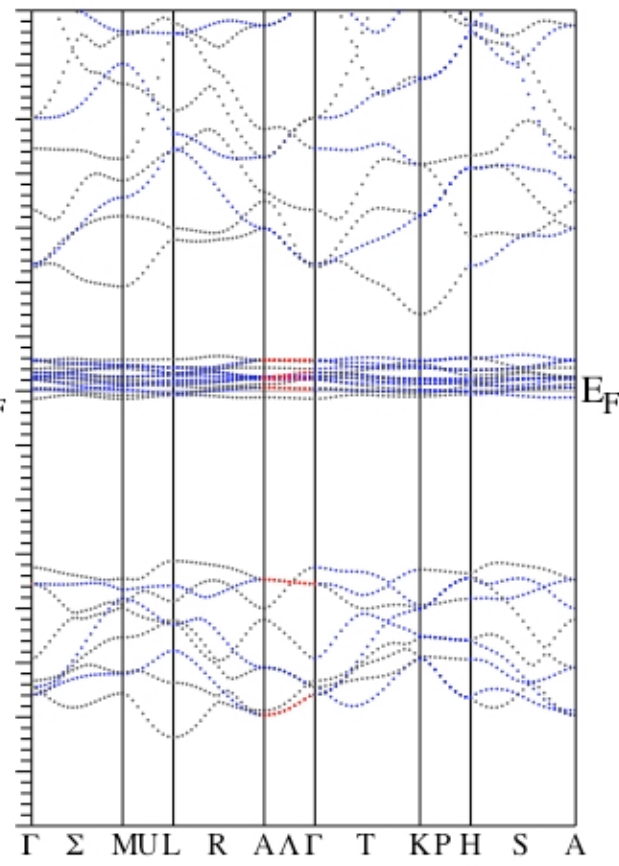
La_2O_3



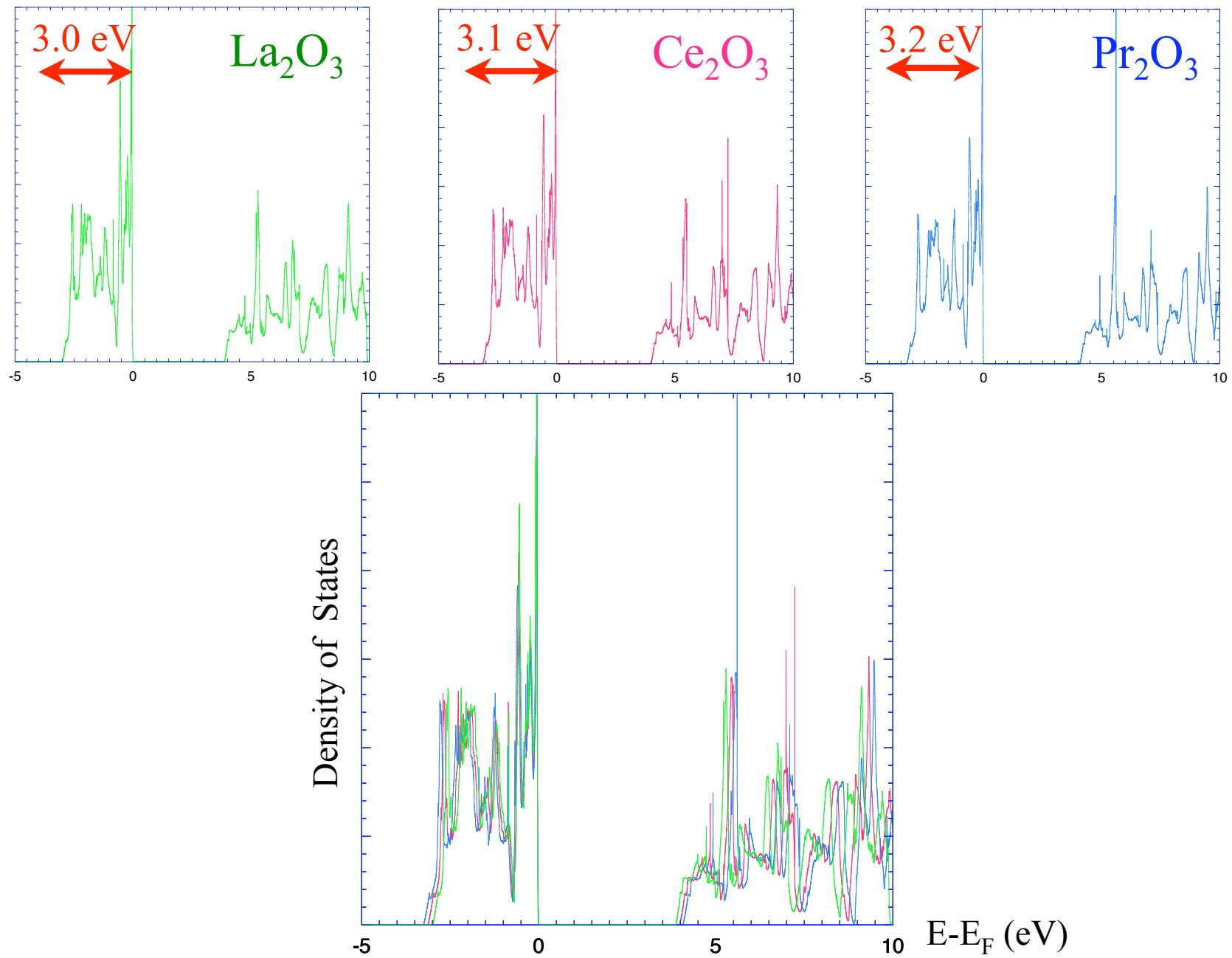
Ce_2O_3

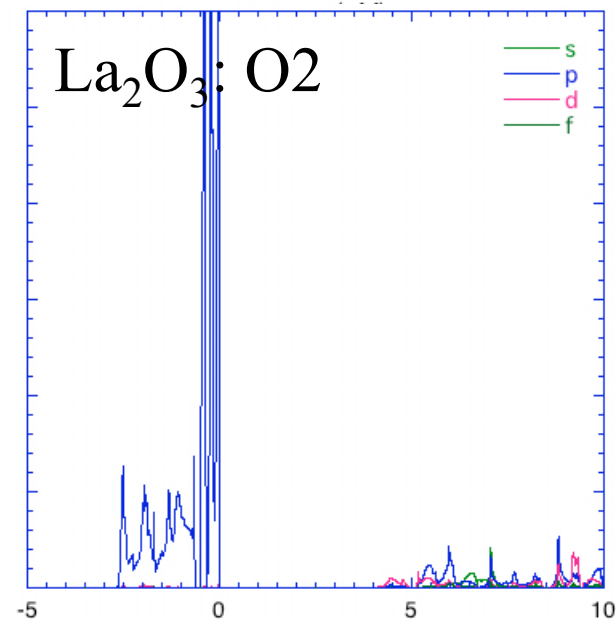
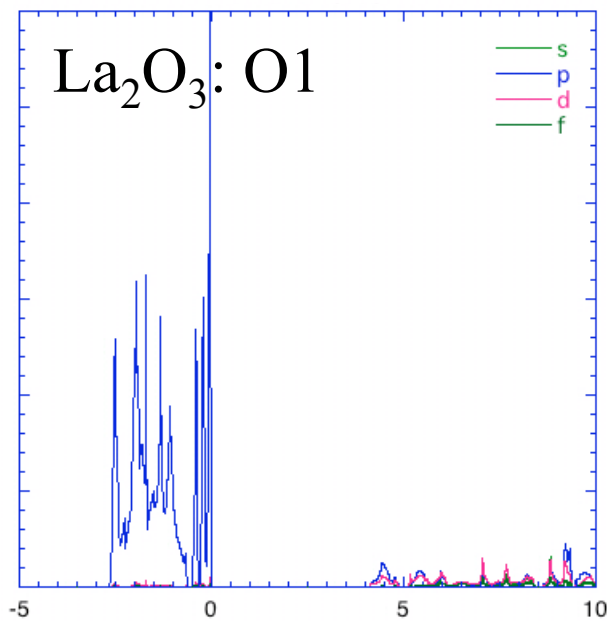
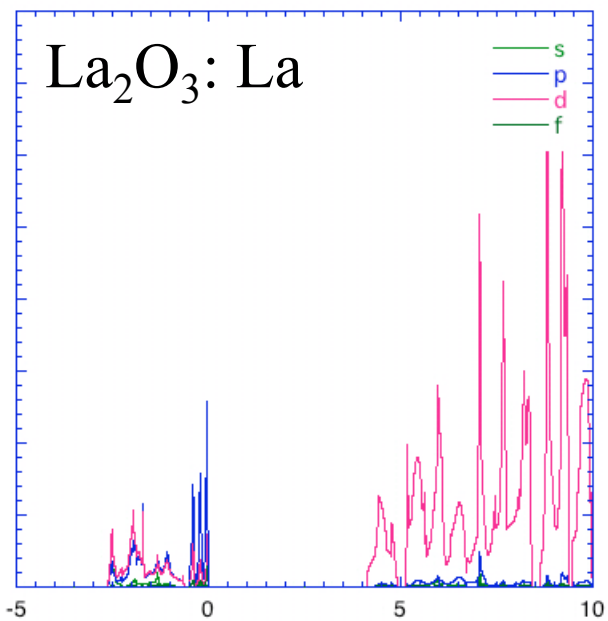
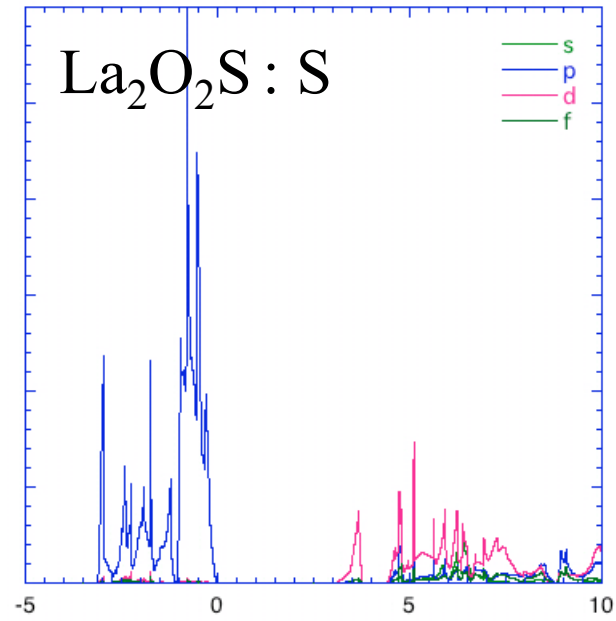
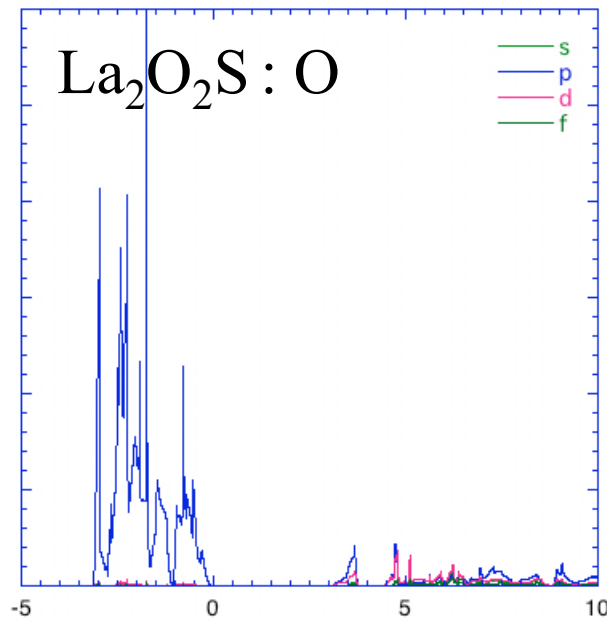
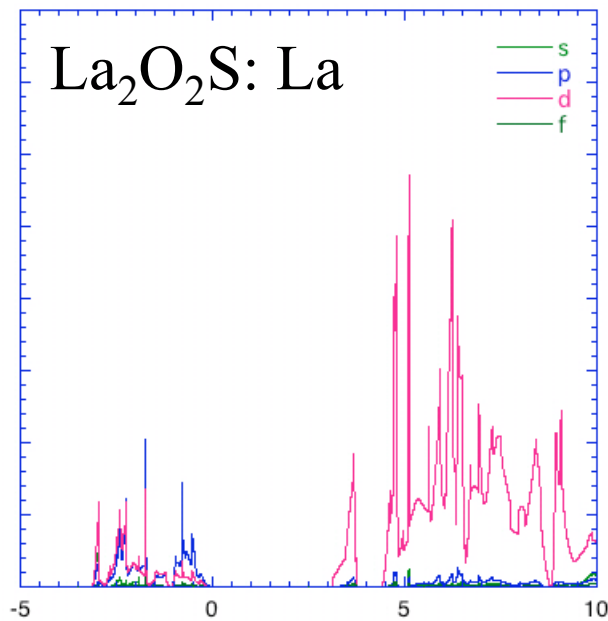


Pr_2O_3



RE₂O₃ Density of States (without f-states)





energy (eV)

energy (eV)

energy (eV)

Lattice vibrations of RE₂O₂S

unit: cm⁻¹

	La ₂ O ₂ S		Ce ₂ O ₂ S		Pr ₂ O ₂ S	
	Theory	Expt.	Theory	Expt.	Theory	Expt.
Raman						
<i>E_g</i>	107	104	109	106	111	101
<i>A_{1g}</i>	201	196	203	—	205	190
<i>E_g</i>	383	360	394	—	404	372
<i>A_{1g}</i>	398	392	410	409	421	412
IR						
<i>E_u</i>	193(TO)	262	197(TO)	248	200(TO)	—
	223(LO)	276	228(LO)	282	231(LO)	
<i>A_{2u}</i>	201(TO)	212	237(TO)	200	241(TO)	—
	297(LO)		303(LO)		308(LO)	
<i>E_u</i>	333(TO)	420	342(TO)	417	349(TO)	—
	474(LO)	458	483(LO)	450sh	491(LO)	
<i>A_{2u}</i>	406(TO)	370	415(TO)	348	423(TO)	—
	513(LO)		524(LO)		533(LO)	

Lattice vibrations of RE₂O₃

unit: cm⁻¹

	La ₂ O ₃		Ce ₂ O ₃		Pr ₂ O ₃	
	Theory	Expt.	Theory	Expt.	Theory	Expt.
Raman						
<i>E_g</i>	106	74	108	—	110	—
<i>A_{1g}</i>	201	108	203	—	205	—
<i>E_g</i>	430	444	445	—	457	—
<i>A_{1g}</i>	403	408	416	—	428	—
IR						
<i>E_u</i>	191(TO)	242	198(TO)	—	203(TO)	—
	299(LO)		308(LO)		316(LO)	
<i>A_{2u}</i>	216(TO)	242	227(TO)	—	228(TO)	—
	397(LO)		408(LO)		417(LO)	
<i>E_u</i>	397(TO)	435	409(TO)	—	418(TO)	—
	556(LO)		569(LO)		580(LO)	
<i>A_{2u}</i>	440(TO)	386	451(TO)	—	460(TO)	—
	512(LO)		523(LO)		539(LO)	

Born effective charge, high-frequency/static dielectric tensor of $\text{La}_2\text{O}_2\text{S}$

	$Z^*_{\alpha\alpha}(\text{La})$	$Z^*_{\alpha\alpha}(\text{O})$	$Z^*_{\alpha\alpha}(\text{S})$	$\epsilon^{\infty}_{\alpha\alpha}$	$\epsilon^0_{\alpha\alpha}$
xx, yy	+3.95	-2.78	-2.33	5.45 (4.53)	14.72
zz	+3.99	-2.79	-2.40	5.23 (4.40)	13.80

Born effective charge, high-frequency/static dielectric tensor of $\text{Y}_2\text{O}_2\text{S}$

	$Z^*_{\alpha\alpha}(\text{La})$	$Z^*_{\alpha\alpha}(\text{O})$	$Z^*_{\alpha\alpha}(\text{S})$	$\epsilon^{\infty}_{\alpha\alpha}$	$\epsilon^0_{\alpha\alpha}$
xx, yy	+3.66	-2.53	-2.28	5.23 (4.29)	12.51
zz	+3.71	-2.63	-2.16	4.87 (4.07)	12.20

Born effective charge, high-frequency/static dielectric tensor of $\text{La}_2\text{O}_2\text{S}$

	$Z^*_{\alpha\alpha}(\text{La})$	$Z^*_{\alpha\alpha}(\text{O})$	$Z^*_{\alpha\alpha}(\text{S})$	$\epsilon^{\infty}_{\alpha\alpha}$	$\epsilon^0_{\alpha\alpha}$
xx, yy	+3.95	-2.78	-2.34	5.45 (4.53~4.7)	14.72
zz	+3.99	-2.79	-2.40	5.23 (4.40~4.7)	13.80

Born effective charge, high-frequency/static dielectric tensor of La_2O_3

	$Z^*_{\alpha\alpha}(\text{La})$	$Z^*_{\alpha\alpha}(\text{O}_1)$	$Z^*_{\alpha\alpha}(\text{O}_2)$	$\epsilon^{\infty}_{\alpha\alpha}$	$\epsilon^0_{\alpha\alpha}$
xx, yy	+4.09	-2.74	-2.71	4.83 (4.13 ~ 4)	23.20~20
zz	+3.78	-2.49	-2.57	4.69 (4.02 ~ 4)	21.54~20

Band-by-band decomposition of Z^ in La_2O_2S*

Band	$Z_{xx}^*(La)$	$Z_{zz}^*(La)$	$Z_{xx}^*(O)$	$Z_{zz}^*(O)$	$Z_{xx}^*(S)$	$Z_{zz}^*(S)$
Z_{κ}	+11.00	+11.00	+6.00	+6.00	+6.00	+6.00
La 5s	-2-0.06	-2-0.06	0+0.05	0+0.05	0+0.01	0+0.00
O 2s	0+2.15	0+0.84	-2-2.23	-2-0.20	0+0.06	0-1.28
La 5p	-6-2.48	-6-1.19	0+2.23	0+0.08	0+0.56	0+2.23
S 3s	0+0.23	0+0.29	0+0.09	0+0.22	-2-0.63	-2-1.02
O 2p S 3p	0+1.08	0+1.14	-6-0.95	-6-0.92	-6-0.36	-6-0.30
Total	+3.95	+3.99	-2.78	-2.79	-2.34	-2.40

roughly compensated

Band-by-band decomposition of Z^* in La_2O_3

Band	$Z_{xx}^*(\text{La})$	$Z_{zz}^*(\text{La})$	$Z_{xx}^*(\text{O}_1)$	$Z_{zz}^*(\text{O}_1)$	$Z_{xx}^*(\text{O}_2)$	$Z_{zz}^*(\text{O}_2)$
Z_κ	+11.00	+11.00	+6.00	+6.00	+6.00	+6.00
La 5s	-2-0.07	-2-0.05	0+0.07	0+0.05	0-0.01	0-0.01
O 2s	0+4.67	0+2.66	-2-2.99	-2-1.72	-2-3.57	-2-1.64
La 5p	-6-4.76	-6-2.73	0+3.12	0+1.83	0+3.50	0+1.57
O 2p	0+1.25	0+0.91	-6-0.95	-6-0.62	-6-0.62	-6-0.47
Total	+4.09	+3.78	-2.74	-2.49	-2.71	-2.57

*roughly
compensated*

A reasoning about the E_g variation in RE_2O_3

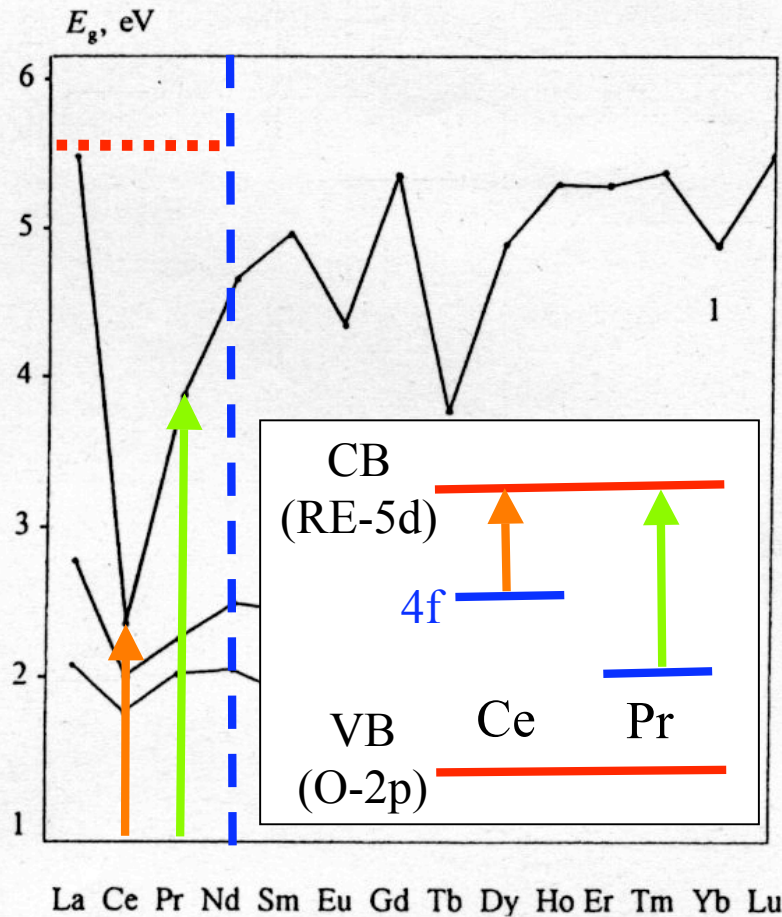
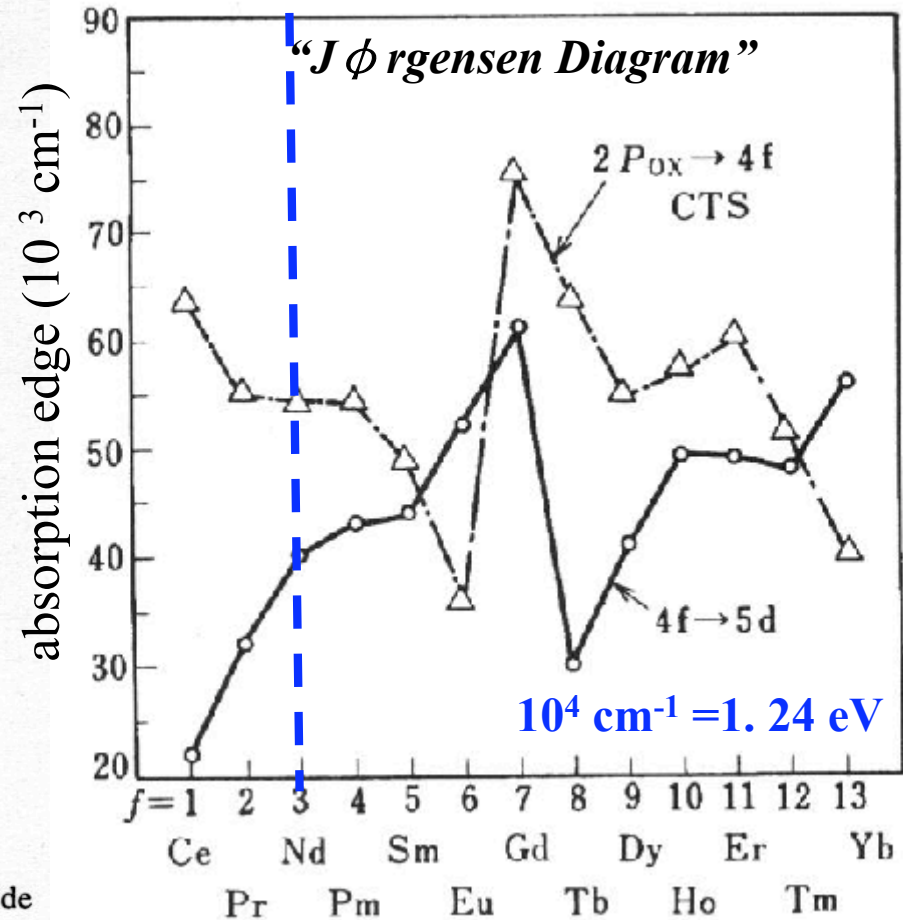


Fig. 1. Variation of the band gap E_g of Ln_2X_3 in the lanthanide series: (1) oxides; (2) sulphides; (3) selenides.

$4f^1(\text{Ce})/4f^2(\text{Pr})$ may lie between VB and CB(RE-d).

NB: prediction of f -states needs “*beyond-LDA*” methods

RE-dopant absorption in oxides



from

“Phosphor Handbook”
(Shionoya & Yen)

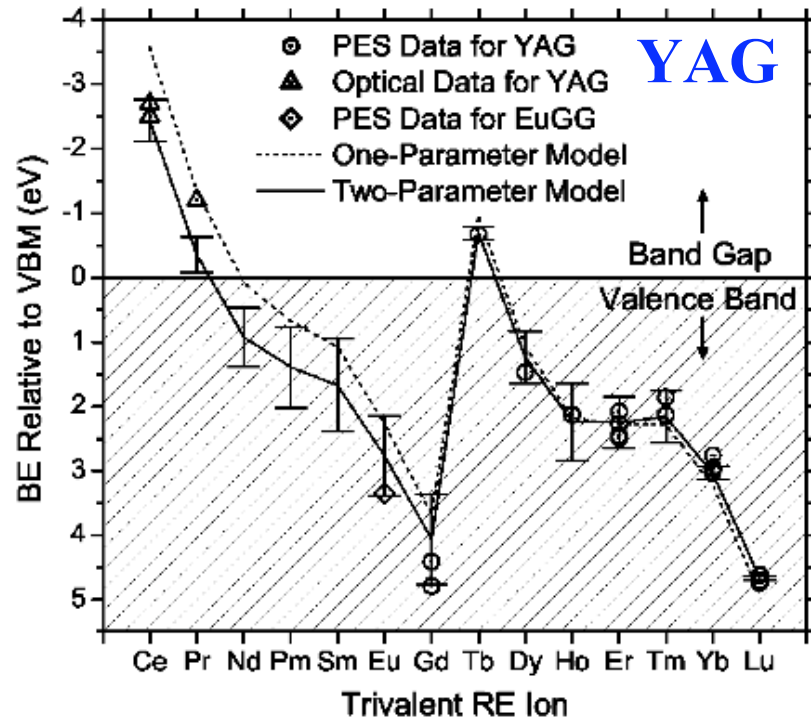


FIG. 6. Systematic behavior of $4f$ binding energies relative to the valence band maximum. Circles represent our measured binding energies relative to the VBM (at 8.7 eV); negative binding energies are within the band gap of the host and positive energies are below the VBM. The dotted line is the fit of the empirical model to our measured values without considering the effect of ionic radius. The solid line is the fit of the model to our measured values including the effect of ionic radius. The error bars on the model are due to uncertainty in the values of the free-ion ionization potentials and the error bars on the extrapolated values for Eu^{3+} through Ce^{3+} include the uncertainty due to the accuracy of the fitting parameters. The triangles represent estimated positions from excited-state absorption and photoconductivity measurements in Refs. 4, 5, and 20. The diamond is the estimated position in EuGG from Ref. 52. Note that the bottom of the conduction band lies at about -6.5 eV.

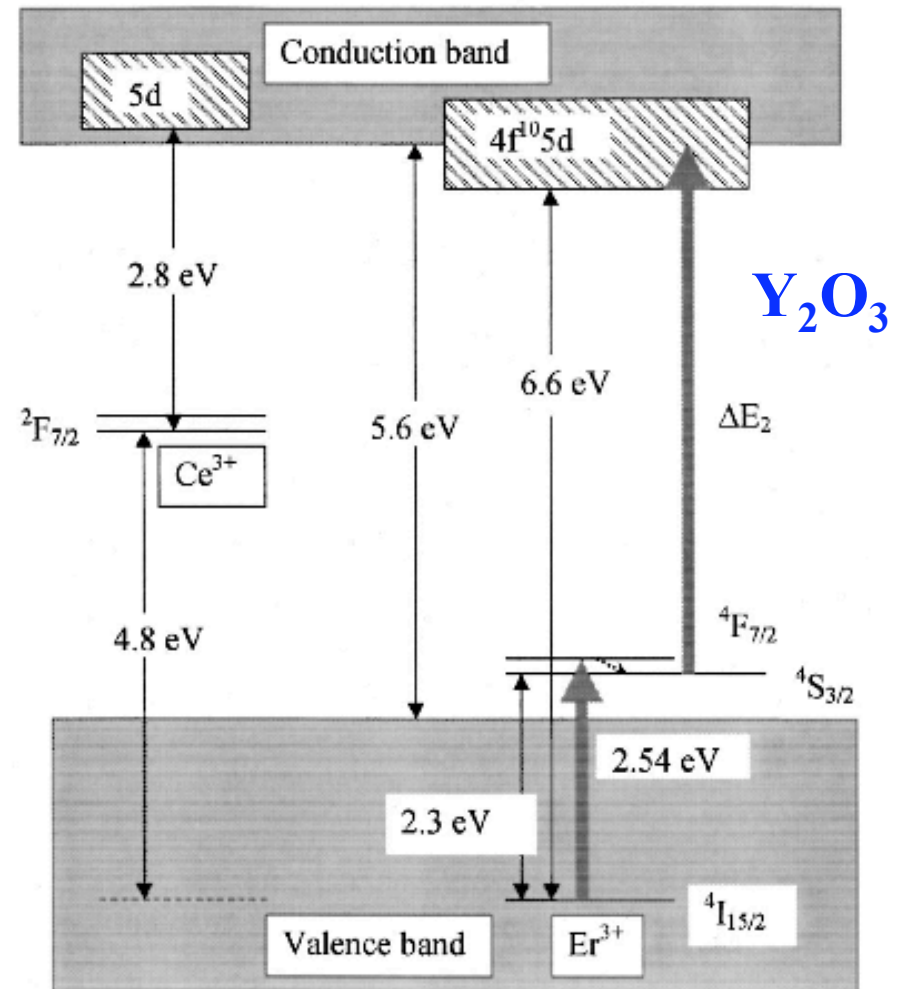


FIG. 2. Energy diagram of Er^{3+} and Ce^{3+} relative to the band gap of Y_2O_3 (only relevant levels are drawn).

“Locating lanthanide impurity levels in the forbidden band”
by Pieter Dorenbos (J. Lumin., in press)

Empirical scheme based on his database (4f-5d/CTS) predicts :

CaF₂

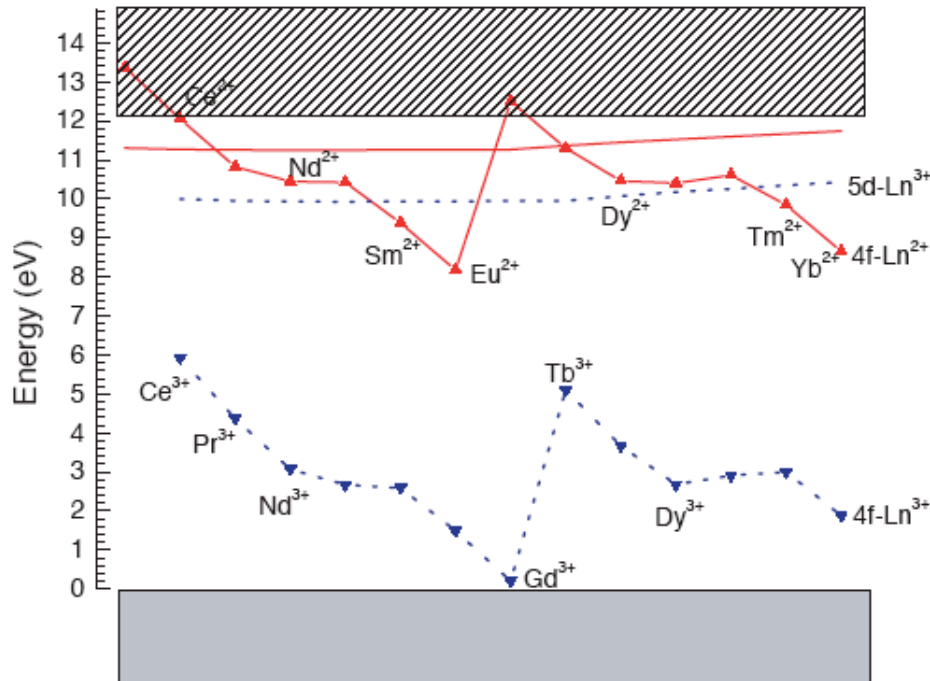


Fig. 4. Energy-level schemes of divalent and trivalent lanthanides in CaF₂.

YPO₄

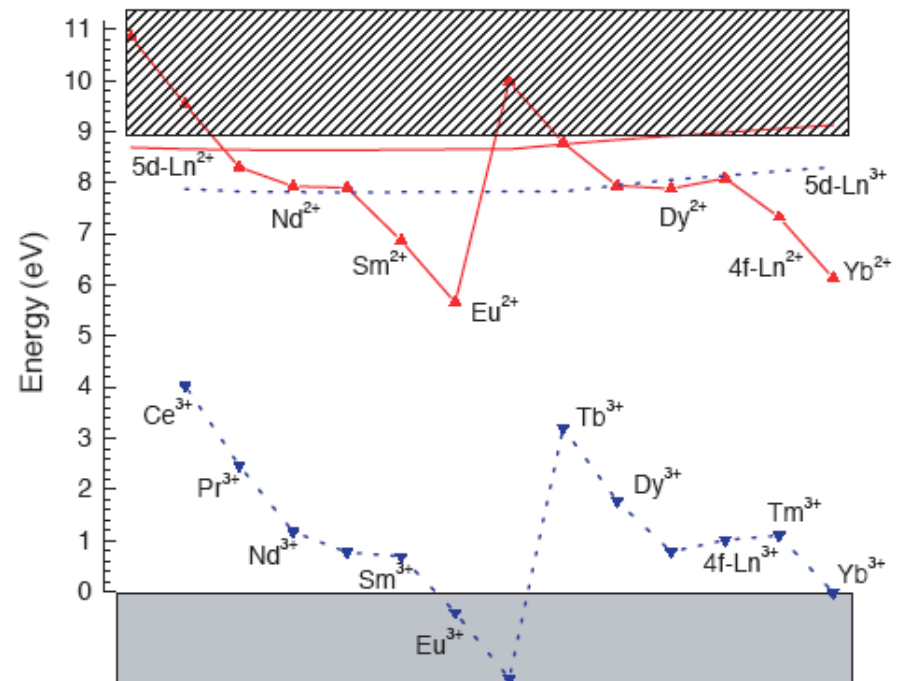


Fig. 5. Energy-level schemes of divalent and trivalent lanthanides in YPO₄.

Important for design of trap level/absorption ...

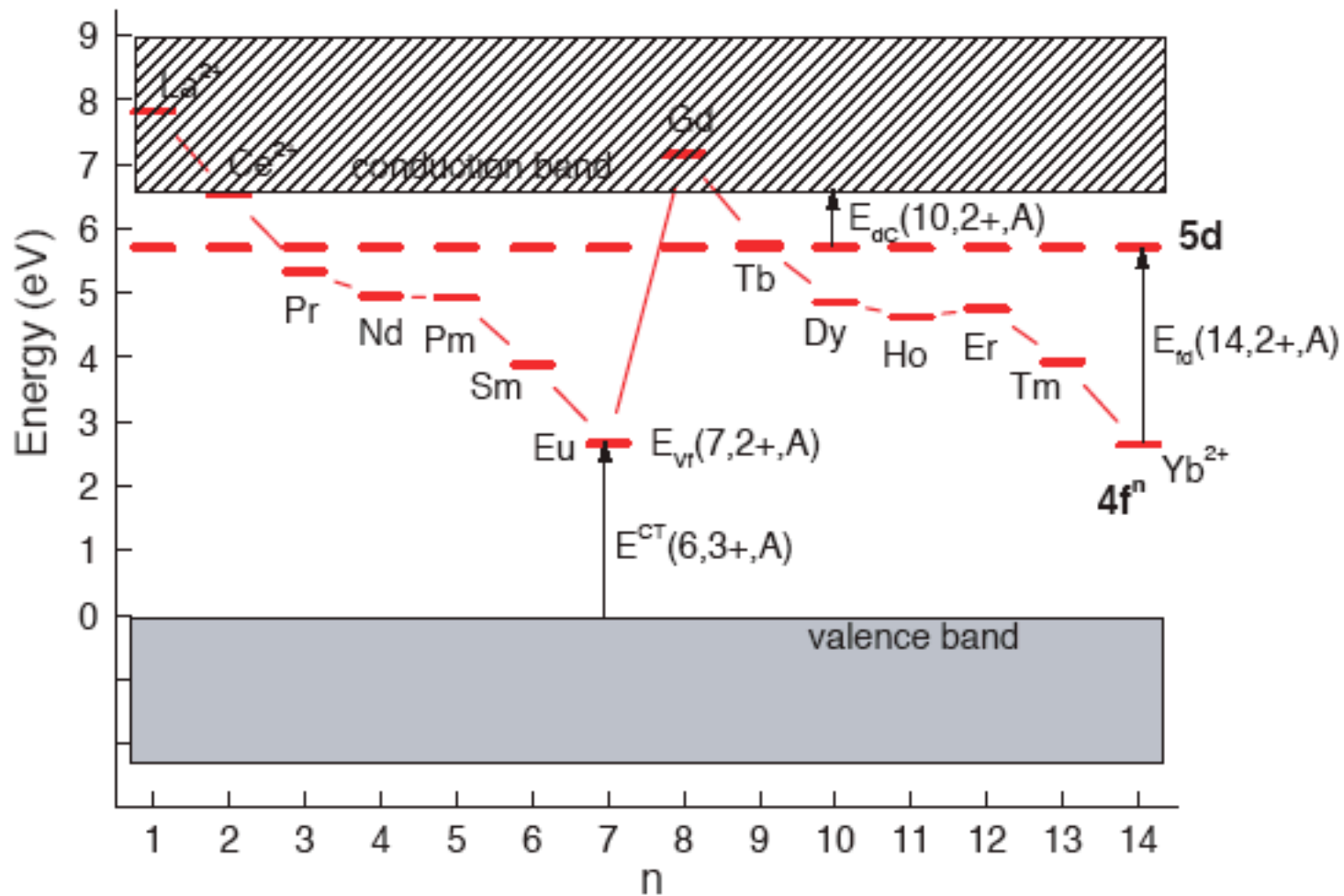


Fig. 1. Idealized systematic in the energy-level positions of divalent lanthanides in a wide band gap ionic crystal.

P. Dorenbos, J. Lumin (in press)

2. Summary for the 1st part of my talk

- Geometries well-reproduced with PPs (within $\sim 1\%$ in lattice const.)
smaller RE ... smaller a & c ... larger Bulk modulus(B)
 $B(\text{RE}_2\text{O}_3) > B(\text{RE}_2\text{O}_2\text{S})$
- *Indirect* bandstructure of $\text{RE}_2\text{O}_3/\text{RE}_2\text{O}_2\text{S}$ (NB: without f -states)
 $\text{RE}_2\text{O}_2\text{S}$ bandstructure \sim $\text{Y}_2\text{O}_2\text{S}$ bandstructure
bottom of CB : K ... due to the similar geometries
smaller RE ... wider VB width ... larger covalency

<i>ionic</i>				<i>covalent</i>
La	Ce	Pr	Y	
<i>large</i>				<i>small</i>

similar band gap between VB and CB(RE-d) among RE_2O_3 ($\text{RE}_2\text{O}_2\text{S}$)
but different E_g (from absorption/body-color)

→ $4f \rightarrow 5d$ /CTS transition (from J ϕ rgensen diagram)

$4f^1(\text{Ce})/4f^2(\text{Pr})$ may lie between VB and CB(RE-d)

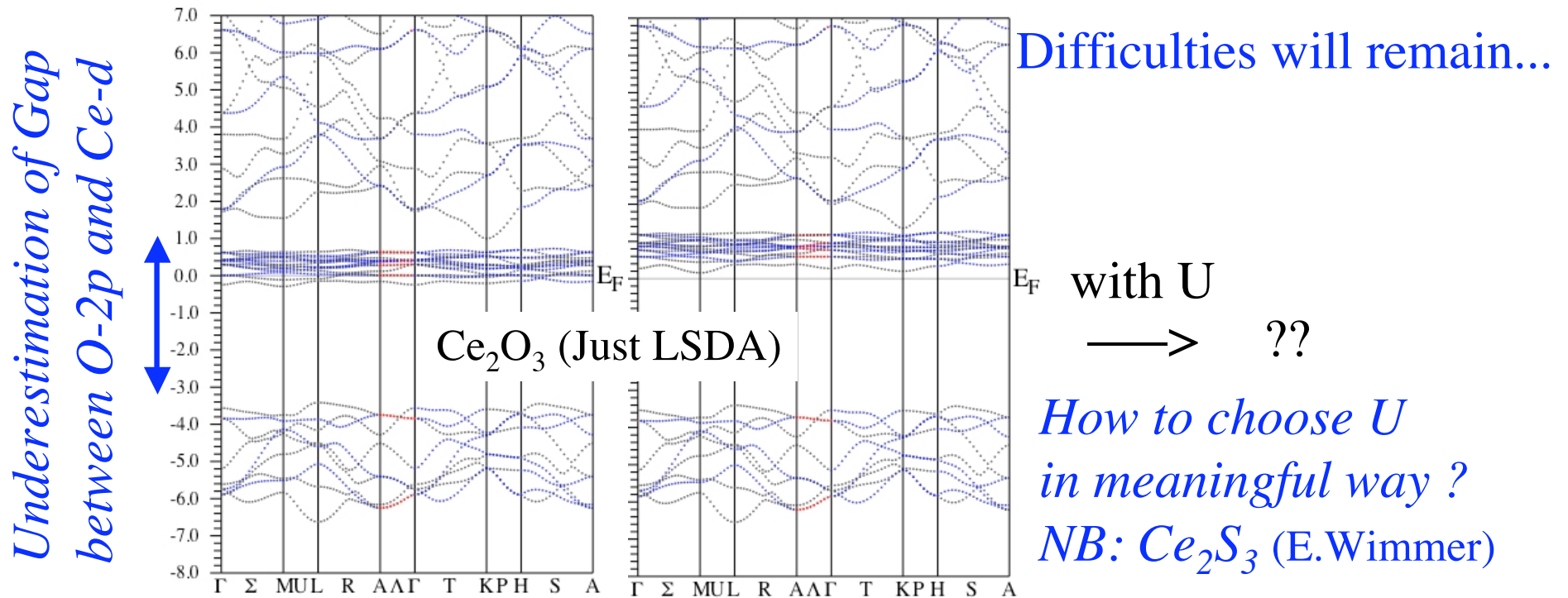
- *Response function calculation for phonon/ ϵ seems satisfactory ...*

*The transferable RE-PPs may be applied
to other “simple” RE-compounds for GS/RF calc.*

(Mikami & Nakamura, submitted to RE'04)

(possible) Future works awaited ...

- explicit treatment of *f*-state for optical transitions (e.g. LSDA+U ?)



- application of other ABINIT functions:
 - Comparison with PAW calculations (accuracy & speed ...)
 - Charge analysis (Bader)
 - Other RF calculations (stress, non-linear optical properties, ...)

2nd part of my talk taken from ...

Seminar@UCL (May 7, 2004)

First-principles study of Photochromic Molecular Crystals

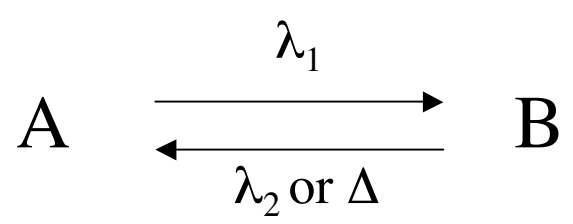
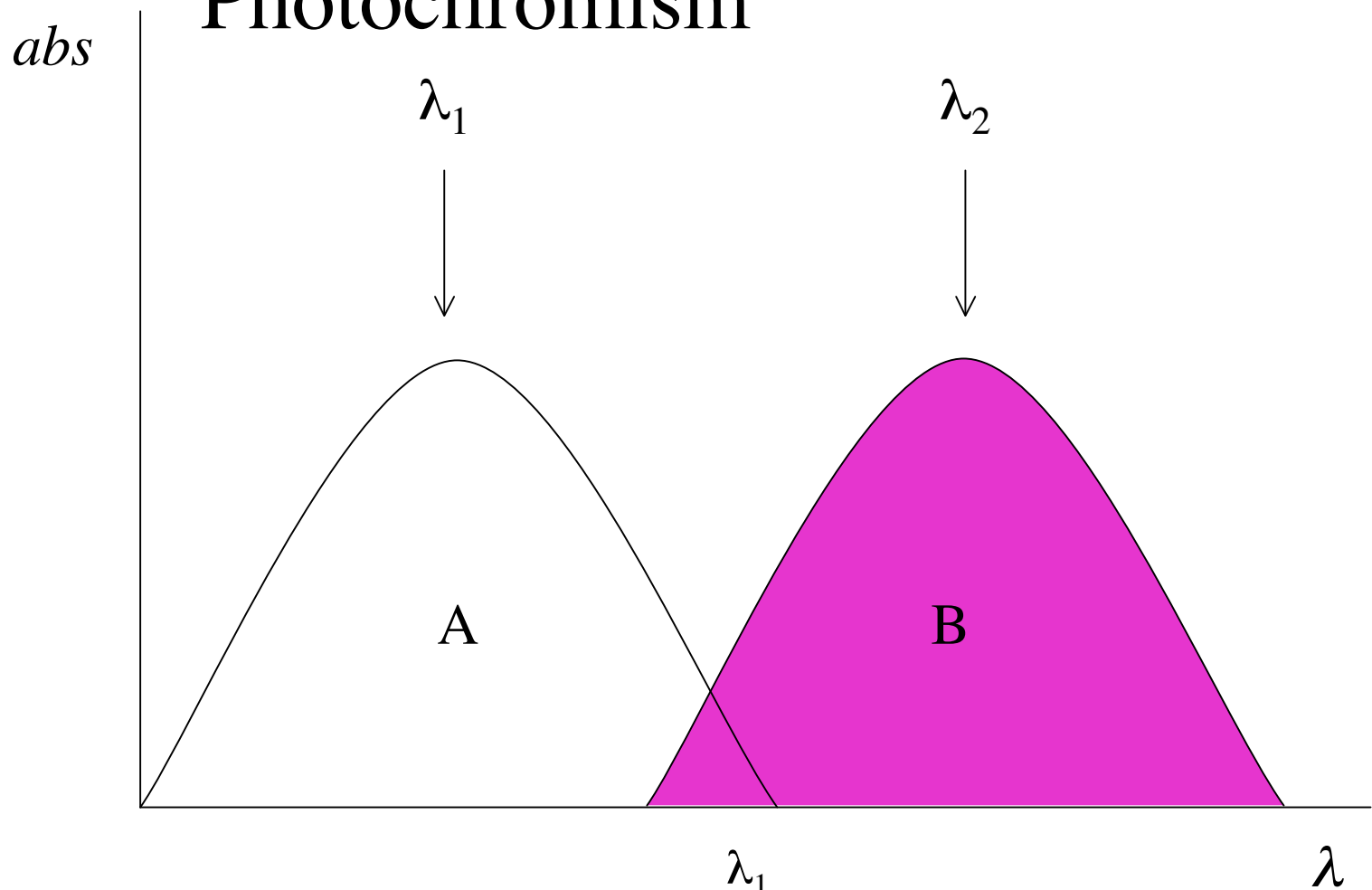
Mitsubishi Chemical Group

Science and Technology Research Center, Inc.

Masayoshi Mikami, Takao Kobayashi, Shinichiro Nakamura

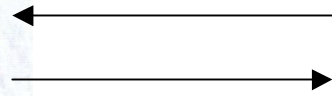
1. Background
2. Computational Method
3. Result: *Salicylideneaniline* → structures, energetics, dielectric
Diarylethene → structures, energetics, photo-absorption
4. Summary

Photochromism





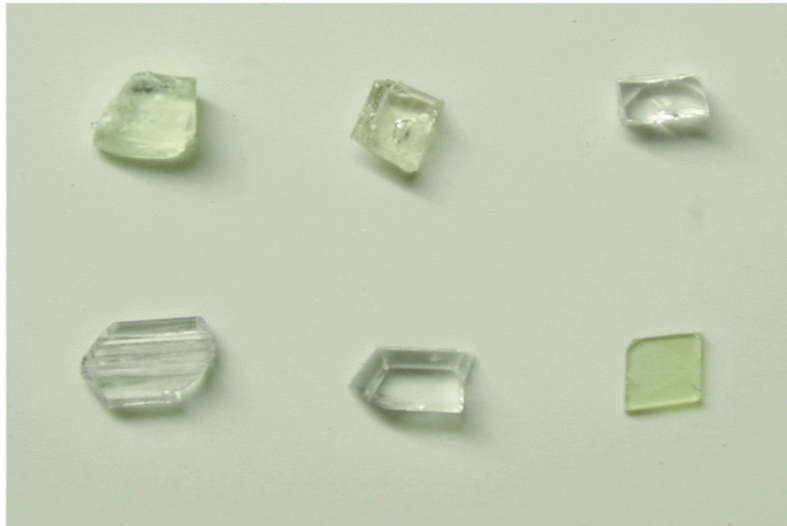
$\lambda > 500\text{nm}$



366nm



(Prof. M. Irie)



UV ↓ ↑ Vis.



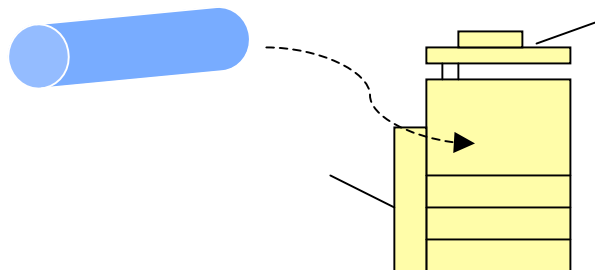
(Prof. M. Irie)

Industrial background : Functional Dyes

Now

in market →

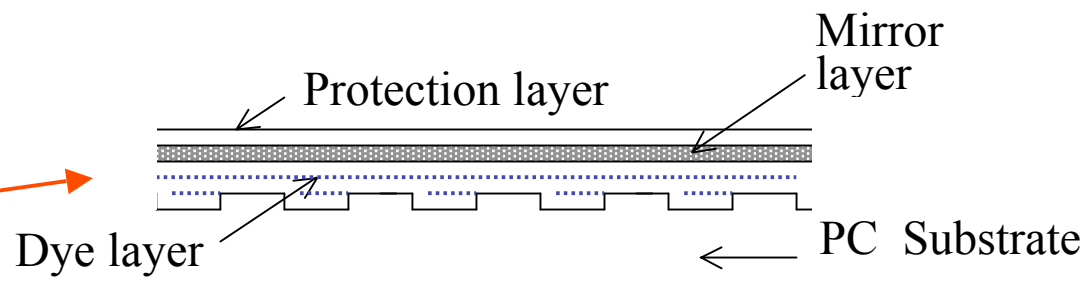
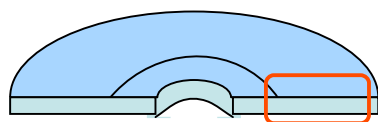
Organic Photo Conductor (Copy Materials)



Now

in market →

CD-R, DVD



→ Today

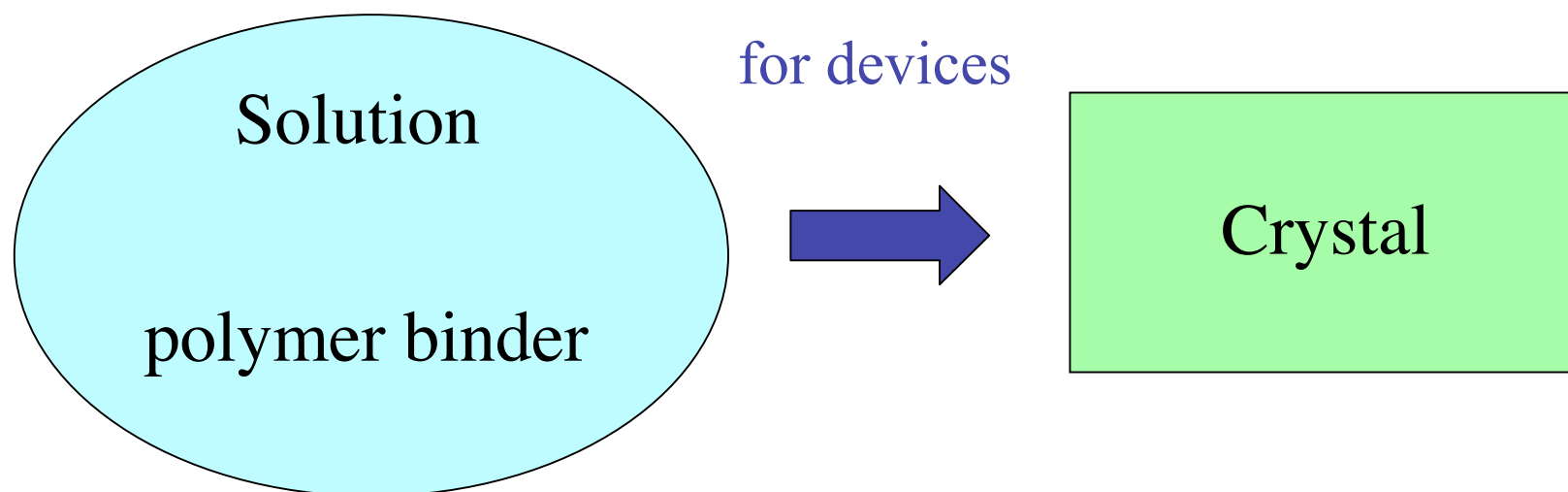
Molecular Memory and Molecular Switching Device.



Photochromic molecular device

Background

More potential in photochromic systems for devices



M.Irie., *Chem.Rev.*100,1685 (2000)

UV light writing in *Diarylethene* crystals

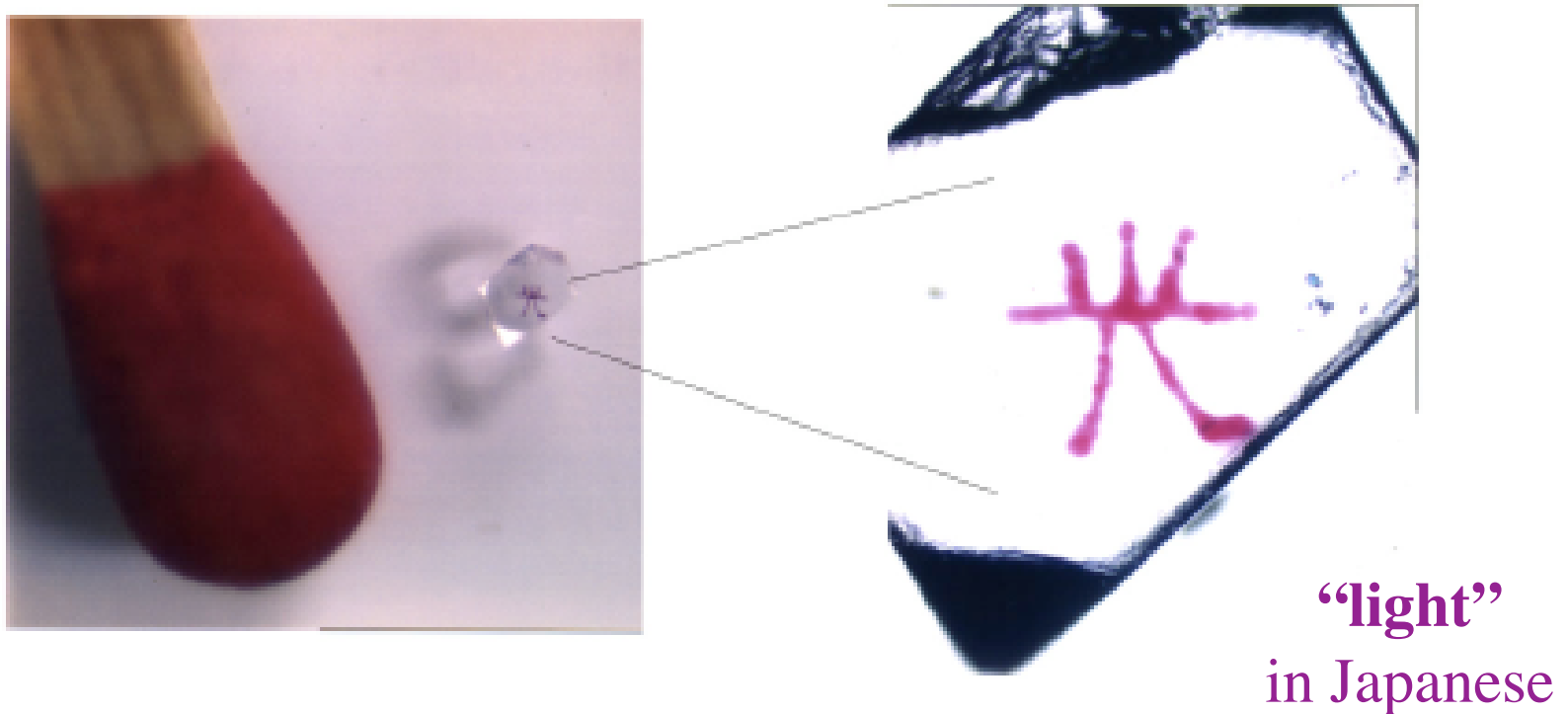


図3 結晶に書き込んだ「光」という文字

From Prof. Irie laboratory Home Page
(<http://www.cstf.kyushu-u.ac.jp/irie/irie.html>)

Motivation

From **Molecular Design**
(Ab-initio MO method)
to **Band Engineering**
(First-Principles Study).

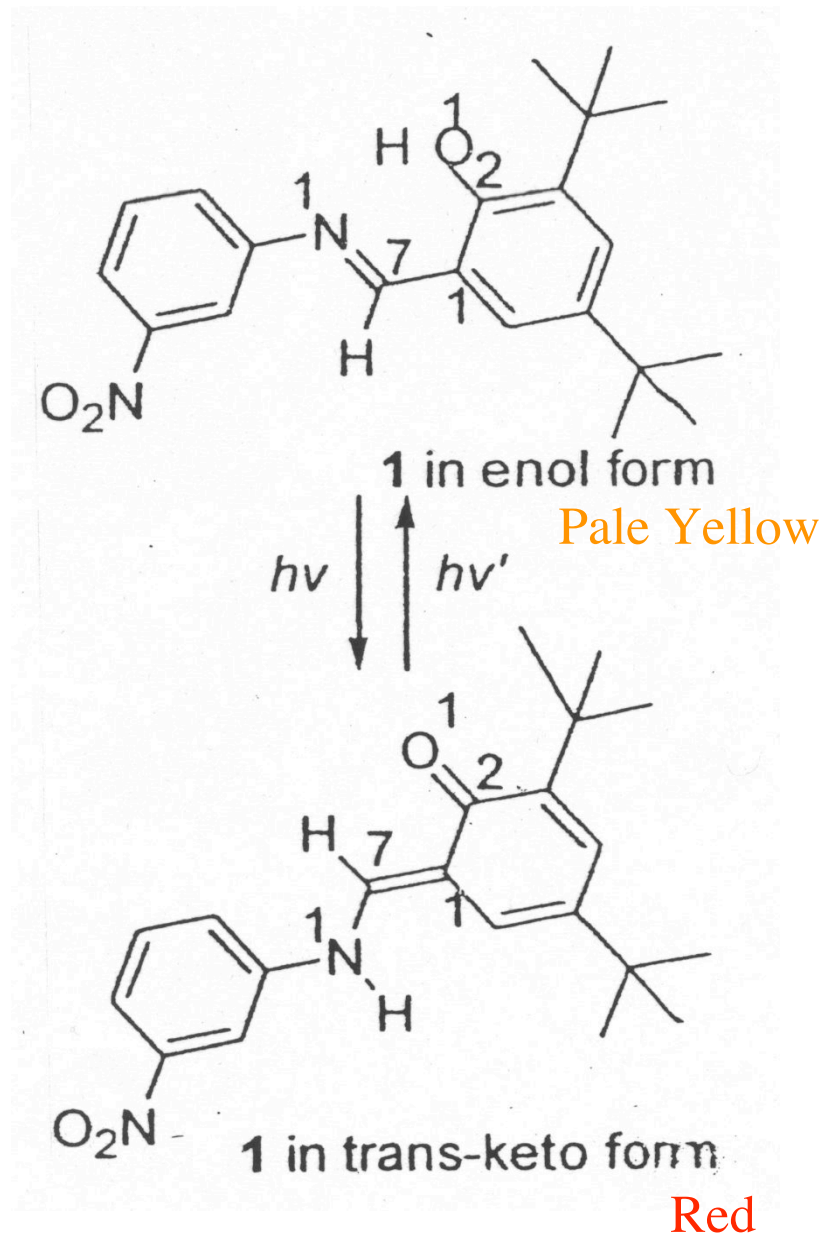
NB: Extraordinary stability of
the trans-keto form in solid state
(400 times longer life than
other ketos unsubstituted with NO₂)

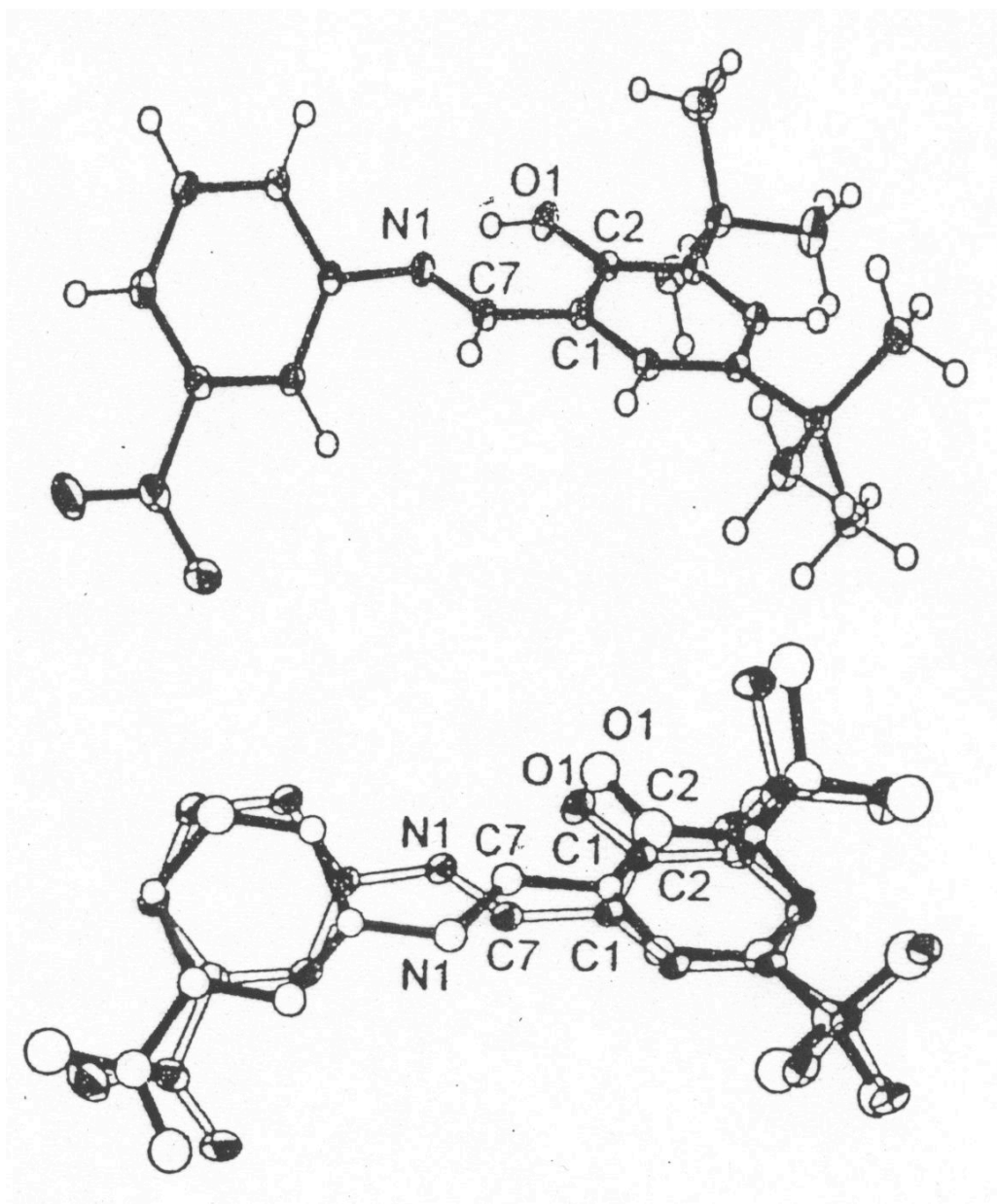
J. Harada et al.

JACS (1999) 121 5809

T. Kawato et al.

J.Photochem (1985) 28, 103





“Crankshaft motion”

J. Harada et al. *JACS* (1999) 121 5809

Calculation Method

Density Functional Theory – Generalized Gradient Approximation :
Perdew-Burke-Ernzerhof (PBE)-type functional :

Phys. Rev. Lett. 77, 3865 (1996), ibid 80, 891 (1998)

Density Functional Perturbation Theory (for dielectric permittivity tensor)

First-Principles Band Calculation : **ABINIT code** (www.abinit.org)

Reference: X. Gonze et al., Comp. Mater. Sci. 25, 478 (2002)

Troullier-Martins-type **pseudopotentials**, prepared with **FHI98PP code**

FHI98PP : www.fhi-berlin.mpg.de/th/fhi98md/fhi98PP

M. Fuchs and M. Scheffler, Comp. Phys. Comm. 119, 67 (1999)

Energy cut-off: 60Ry(salicylideneaniline)、70Ry(diarylethene)

k-point sampling : Γ -point

SCF sycle : Conjugate Gradient based on the minimum of the energy

X. Gonze, Phys. Rev. B54, 4383 (1996)

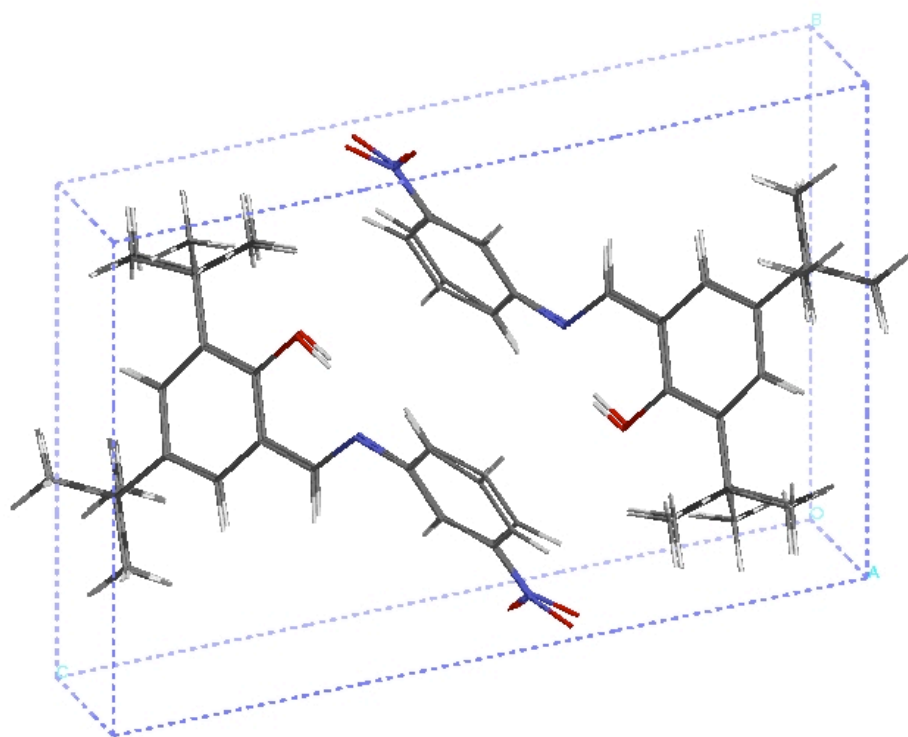
Geometry optimization : Broyden-Fletcher-Goldfarb-Shanno minimization

Convergence ≤ 1 kcal/mol should be expected for energetics

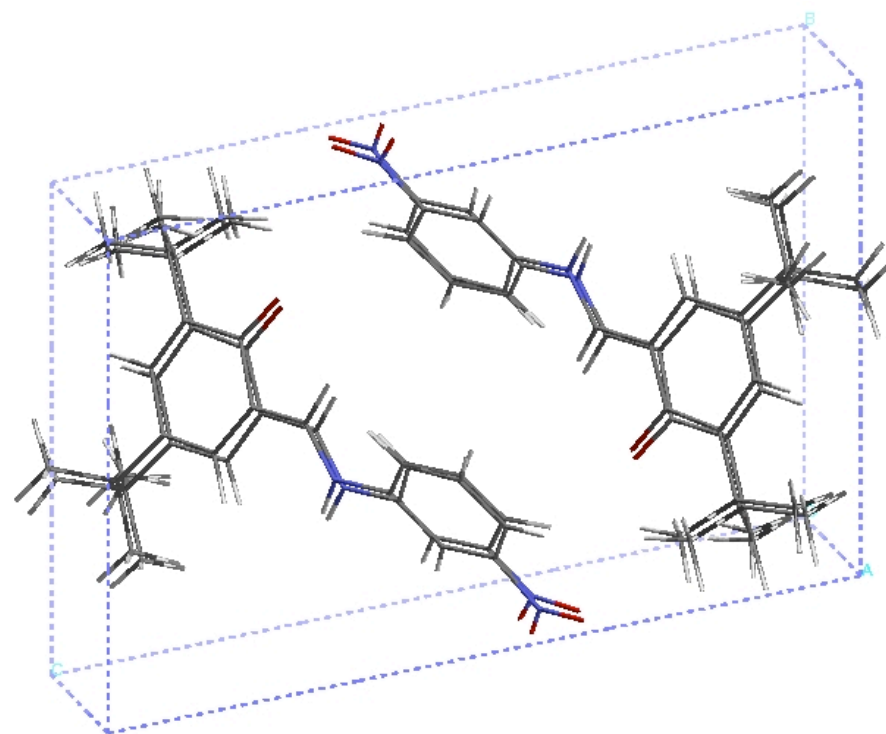
Geometry Optimization

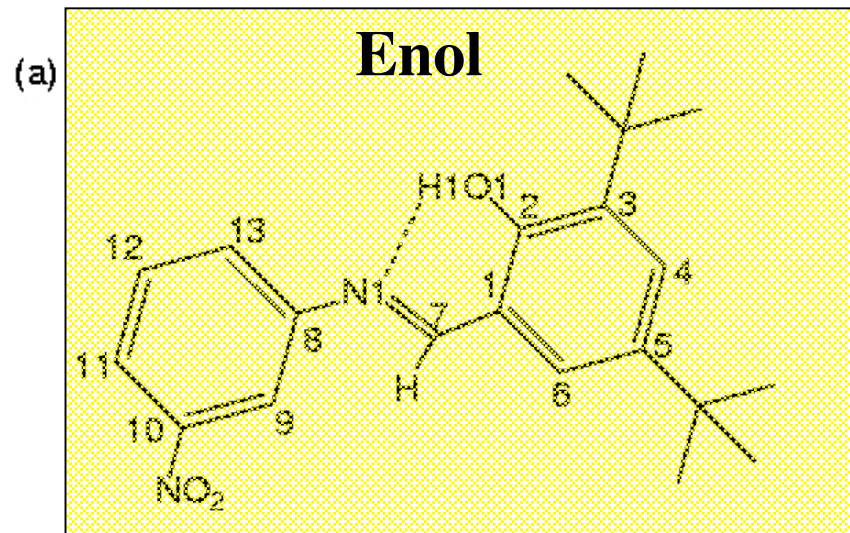
Superposed draw of X-ray structure and optimized structure

Enol

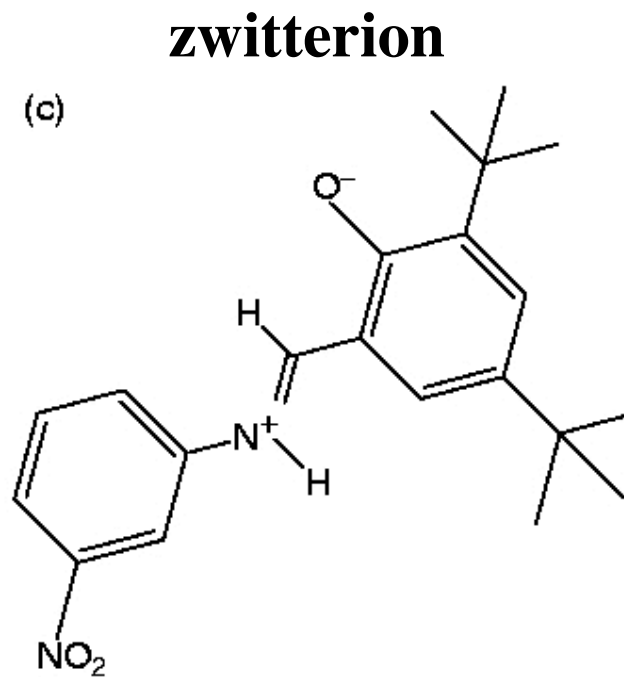
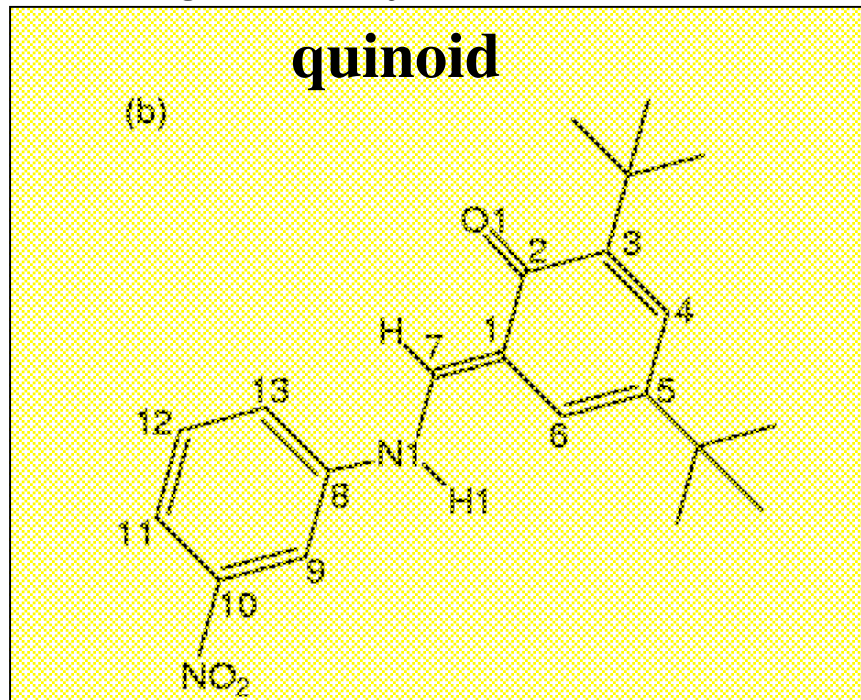


Trans-Keto

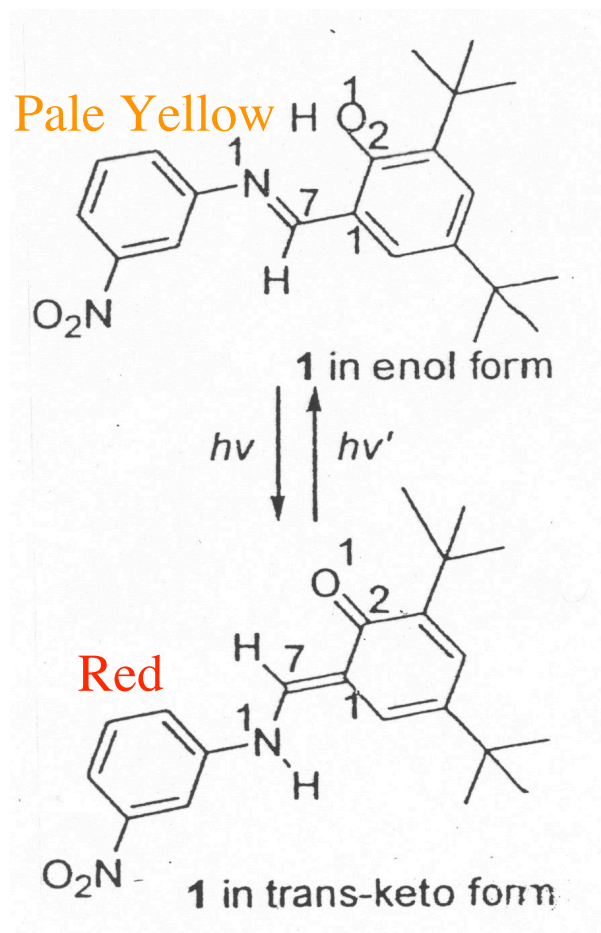




Calc. geometry ↗ ↘

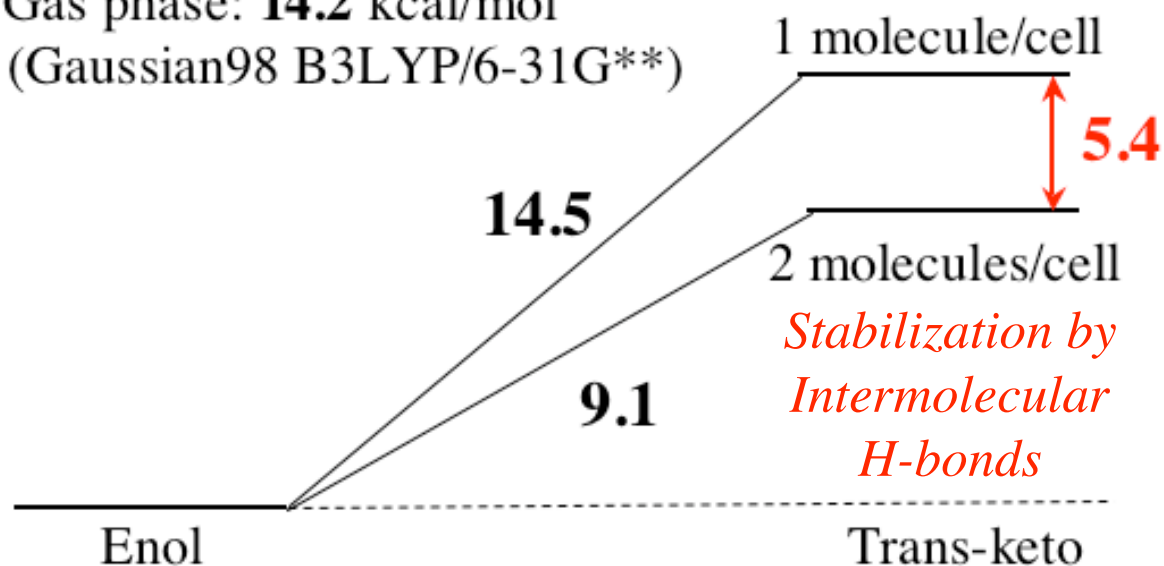


Our work on photochromic Salicylideneaniline molecular crystals



Energetics (unit: kcal/mol)

c.f. Gas phase: **14.2** kcal/mol
(Gaussian98 B3LYP/6-31G**)



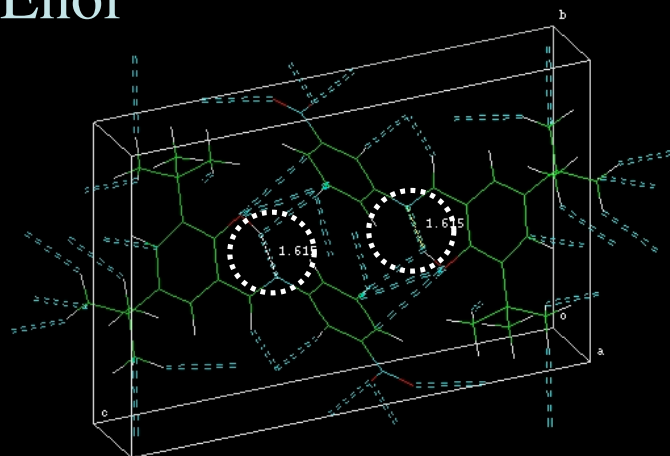
J. Harada et al.
JACS (1999) 121 5809

NB: extraordinary life-time of this trans-keto form
in crystalline phase (life depends on with/without -NO₂)

M. Mikami & S. Nakamura, PRB69, 134205 (2004)

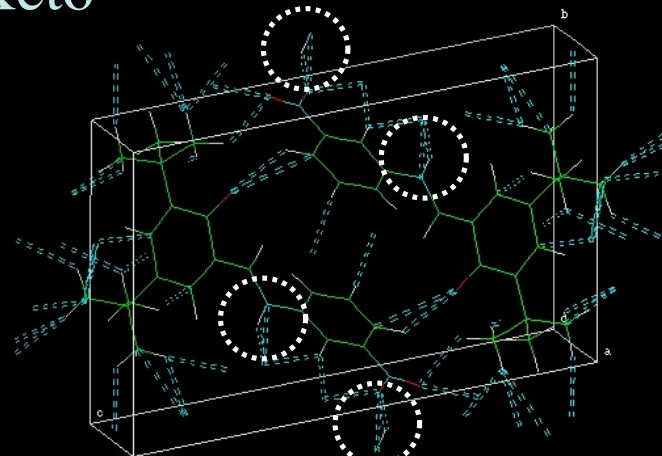
The effect of “packing” can explain the energetics ? YES !

Enol



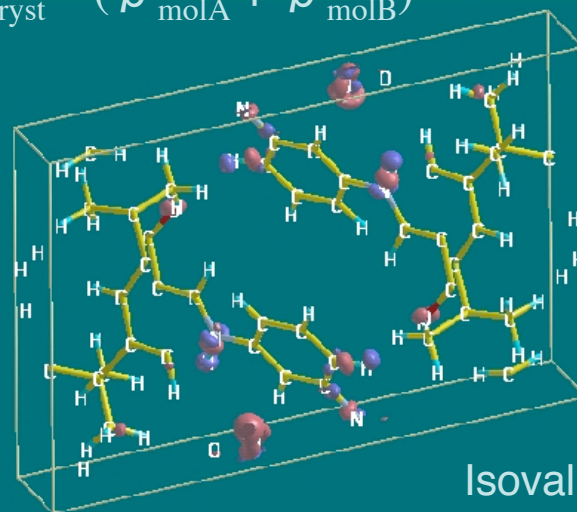
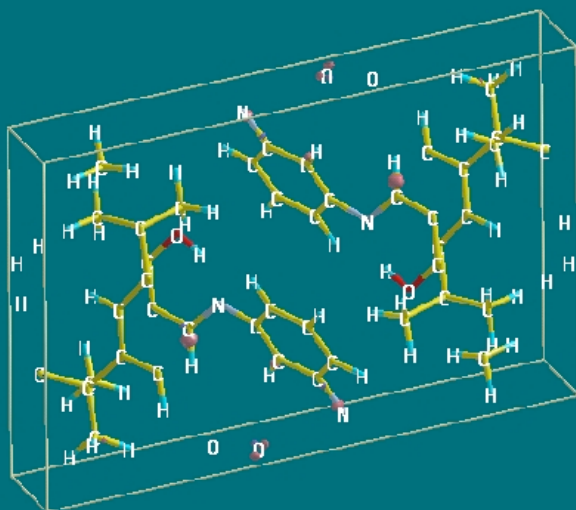
Intramolecular hydrogen bond

Keto



Intermolecular hydrogen bond

difference of electron density : $\rho_{\text{cryst}} - (\rho_{\text{molA}} + \rho_{\text{molB}})$



Isovalue = 0.003a.u.

Intermolecular hydrogen bonds are strengthened in the Keto-form.

M. Mikami & S. Nakamura PRB69, 134205 (2004)

Evaluation of optical dielectric permittivity tensors

Density Functional Perturbation Theory (owing to ABINIT code)

Enol-type	Keto-type
$\begin{pmatrix} 2.710 & 0.048 & -0.541 \\ 0.048 & 2.690 & 0.165 \\ -0.541 & 0.165 & 2.819 \end{pmatrix}$	$\begin{pmatrix} 2.889 & -0.056 & -0.671 \\ -0.056 & 2.801 & 0.323 \\ -0.671 & 0.323 & 2.985 \end{pmatrix}$
Eigenvalue 2.179, 2.719, 3.321	Eigenvalue 2.204, 2.774, 3.696
Mean value 2.740 (n=1.655)	Mean value 2.892 (n=1.701)

Refractive index change under photoirradiation ($\Delta n \sim 0.046$)

NB:Photonic memory using the change of refractive indices in a photochromic system (e.g. T.Fukaminato, S.Kobatake, T.Kawai, M.Irie, Proc.Japan.Acad.77(B), 30 (2002))

M. Mikami & S. Nakamura, PRB69, 134205 (2004)

2. Summary for the 2nd part of my talk

Salicylideneaniline molecular crystals :

- energetics → analysis/evaluation of intermolecular H-bonds
→ understanding stability of trans-keto in crystalline state
- evaluation of ϵ / n → powerful design tool for photomemory

Lessons gained:

- k-point sampling may not affect for RF-calculation
if a system has a *low-symmetry & large unit-cell*.
NB: C₆₀ fcc solid: optical dielectric permittivity
5.64 for $k=(0,0,0)$, 4.72 for $k=(1/2,1/2,1/2)$, *c.f.* expt. 4.4
- VERY SLOW convergence in geometry optimization
if an initial geometry is far from an equilibrium geometry.
other geometry optimizers ? and/or initial WF/DEN ?

Thank you for your attention !

Thank you again for ABINIT !

Our publications owing to ABINIT:

TiO₂-anatase : Jpn.J.Appl.Phys., PRB

Y₂O₂S: PRB, J.Lumin.

RE₂O₃/RE₂O₂S: submitted to RE'04 (J.Alloy Compd.)

Salicylideneaniline : PRB